

# Challenging and improving the simulation of mid-level mixed-phase clouds over the high-latitude Southern Ocean

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## Key Points:

- WRF-observation comparison during MARCUS shows the inability of the model in standard configurations to simulate austral mixed-phase clouds;
- A parameterization of ice nucleation based on new INP measurements improves the simulation of supercooled liquid water near cloud top;
- Further parameterization developments targeting the convection at cloud top are needed to reproduce the turbulence-microphysics interplay.

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**Abstract**

Climate models exhibit major radiative biases over the Southern Ocean owing to a poor representation of mixed-phase clouds. This study uses the remote-sensing dataset from the Measurements of Aerosols, Radiation and Clouds over the Southern Ocean (MARCUS) campaign to assess the ability of the Weather Research and Forecasting (WRF) model to reproduce frontal clouds off Antarctica. It focuses on the modeling of thin mid-level supercooled liquid water layers which precipitate ice. The standard version of WRF produces almost fully glaciated clouds and cannot reproduce cloud top turbulence. Our work demonstrates the importance of adapting the ice nucleation parameterization to the pristine austral atmosphere to reproduce the supercooled liquid layers. Once simulated, droplets significantly impact the cloud radiative effect by increasing downwelling longwave fluxes and decreasing downwelling shortwave fluxes at the surface. The net radiative effect is a warming of snow and ice covered surfaces and a cooling of the ocean. Despite improvements in our simulations, the local circulation related to cloud-top radiative cooling is not properly reproduced, advocating for the need to develop a parameterization for top-down convection to capture the turbulence-microphysics interplay at cloud top.

**Plain Language Summary**

Among the major shortcomings of climate models is a poor representation of clouds over the Southern Ocean. Thanks to new measurements from the Measurements of Aerosols, Radiation and Clouds over the Southern Ocean campaign that took place aboard the Aurora Australis ice breaker, we can now better assess the ability of models to represent clouds off Antarctica. In particular, we focus here on clouds that are mostly composed of ice crystals but that are topped by a thin layer of so-called ‘supercooled’ liquid droplets that form at temperatures below zero Celsius. While the standard version of the model produces clouds composed only of ice, we show that by adapting the formulation of ice crystal formation to the very pristine atmospheric conditions peculiar to the Southern Ocean it is possible to successfully reproduce thin layers of supercooled liquid droplets observed in mixed-phase clouds. The latter significantly changes how much sunlight these clouds reflect to space, which is critical to understanding the climate. Compared to ice crystals, liquid droplets tend to reflect more solar energy towards space and

61 at the same time, they enhance the cloud infrared emission towards the surface of the  
62 Antarctic ice sheet.

## 63 **1 Introduction**

64 The Southern Ocean is a region where radiative biases in models involved in the  
65 5th Coupled Model Intercomparison Project (CMIP) are amongst the largest globally  
66 (Flato & coauthors, 2013; Hyder et al., 2018). Such biases have been attributed to a poor  
67 representation of clouds that cover more than 80 % of the total Southern Ocean surface  
68 on average (Mace, 2010) and that are mostly of mixed-phase composition, i.e. contain-  
69 ing both ice crystals and supercooled liquid water (SLW). Low-level mixed-phase clouds  
70 are the primary source of those biases (Bodas-Salcedo et al., 2014) but mid-level clouds  
71 associated with the passage of warm fronts are also partly responsible (Mason et al., 2014).  
72 While the climate sensitivity in some of recent climate models highly depends on South-  
73 ern Ocean clouds (Gettelman et al., 2019; Zelinka et al., 2020), substantial shortcom-  
74 ings regarding the simulation of mixed-phase clouds persist (e.g., Lenaerts, Van Tricht,  
75 Lhermitte, & L'Ecuyer, 2017; Kawai et al., 2019).

76 The SLW amount in austral mixed-phase clouds is particularly high in summer,  
77 at low altitude and over ice-free surfaces (Listowski et al., 2019). Highly reflective SLW  
78 droplets substantially enhance the cloud albedo and therefore the amount of shortwave  
79 radiation reflected towards space (Kay et al., 2016; Protat et al., 2017). By significantly  
80 increasing the cloud optical depth, the amount of SLW in clouds is also critical for their  
81 radiative forcing in the infrared spectrum.

82 Atmospheric models generally struggle to reproduce the albedo (Bodas-Salcedo et  
83 al., 2014, 2016; Varma et al., 2020) and the surface longwave radiative flux associated  
84 with frontal clouds over the Southern Ocean, that can be further advected over the Antarc-  
85 tic ice sheet (King et al., 2015; Listowski & Lachlan-Cope, 2017; Vignon et al., 2018; Hines  
86 et al., 2019; Ricaud et al., 2020). This is highly problematic for reproducing the net cloud  
87 radiative forcing at the ice sheet surface and for predicting melting events associated with  
88 oceanic intrusions of warm, moist and cloudy air masses (Nicolas et al., 2017; Wille et  
89 al., 2019; Silber, Verlinde, Cadeddu, et al., 2019; Gilbert et al., 2020). Along the Antarc-  
90 tic edge, SLW is also a key ingredient for cloud (Zhang et al., 2019; Silber, Fridlind, et  
91 al., 2019; Lubin et al., 2020) and precipitation formation and growth, in particular through

92 secondary ice production processes (Young et al., 2019; Sotiropoulou et al., 2020) and  
93 the riming of snowflakes (Grazioli et al., 2017; Vignon, Besic, et al., 2019).

94 In mixed-phase clouds, SLW is thermodynamically unstable and depletes through  
95 transfer of water vapor towards ice crystals by the Wegener-Bergeron-Findeisen (WBF)  
96 process. The presence of SLW in mixed-phase clouds for more than a few hours is thus  
97 explained by a complex interplay between radiative exchanges, turbulent mixing and mi-  
98 crophysics (Morrison et al., 2012; A. V. Korolev & Mazin, 2003). A body of literature  
99 has documented this *a priori* surprising resilience of SLW in cold clouds, especially in  
100 boundary-layer clouds in the Arctic (see reviews in A. Korolev et al., 2017 and Andronache  
101 & coauthors, 2017). In particular, for typical mixed-phase stratocumulus and altocumu-  
102 lus found at mid- or high latitudes (Hogan et al., 2003; P. A. Barrett et al., 2020), the  
103 SLW resilience results from the following mechanism. At cloud top, the radiative cool-  
104 ing of the air - and to a second extent the sublimation and evaporation of hydromete-  
105 ors - drive a top down turbulent mixing that in turn generates compensating updrafts.  
106 If the updrafts are intense enough (A. V. Korolev & Mazin, 2003), the relative humid-  
107 ity can exceed saturation with respect to liquid through air adiabatic cooling during as-  
108 cent. Cloud droplets thus form and are advected upward, thereby forming a thin - a few  
109 hundred meter deep - layer of SLW at cloud top, below which ice crystals grow through  
110 the WBF process and possibly other mechanisms like riming and then sediment. SLW  
111 formation is further favored in conditions of high concentrations of cloud condensation  
112 nuclei (CCN) and low concentrations of ice nucleating particles (INPs).

113 The difficulty for atmospheric models to simulate SLW in austral mixed-phase clouds  
114 - be they either low-level stratocumulus or mid-level clouds - mostly lies in: *i*) their too  
115 coarse vertical resolution since SLW layers are a few tens or hundreds meters deep, i.e.,  
116 often thinner than model layers in common atmospheric models (A. I. Barrett et al., 2017b);  
117 *ii*) in a deficient representation of the turbulent mixing at the sharp cloud top bound-  
118 ary (Sotiropoulou et al., 2016) and *iii*) inadequate parameterizations or tuning of cold  
119 microphysical processes for the typical conditions encountered at high latitude (Forbes  
120 & Ahlgrim, 2014; A. I. Barrett et al., 2017a; Furtado et al., 2016; Listowski & Lachlan-  
121 Cope, 2017). The atmosphere above the Southern Ocean being particularly pristine, with  
122 INPs in the boundary layer that mostly originate from sea spray aerosols only (DeMott  
123 et al., 2016; McCluskey et al., 2018; Uetake et al., 2020), many current model formula-  
124 tions for ice nucleation may be inadequate. Such formulations were indeed mostly de-

125 veloped for mid-latitude conditions where much higher concentrations of INPs are typ-  
126 ically present. They can potentially lead to substantial underestimation of SLW droplets  
127 in clouds and hence major radiative biases in models (Vergara-Temprado et al., 2018).  
128 In addition, previously underappreciated processes like secondary ice production through  
129 ice particle break-up also seem particularly critical to explain the concentration of ice  
130 crystals in clouds over the Antarctic coast (Young et al., 2019; Sotiropoulou et al., 2020).

131 During the austral summer 2017-2018, the Measurement of Aerosols, Radiation and  
132 Clouds over the Southern Ocean (MARCUS) campaign was conducted aboard the Aus-  
133 tralian ice-breaker Aurora Australis as the ship made three return crossings of the South-  
134 ern Ocean from Hobart to East Antarctica in order to resupply the three Australian Antarc-  
135 tic stations (Sato et al., 2018; Alexander et al., 2020).

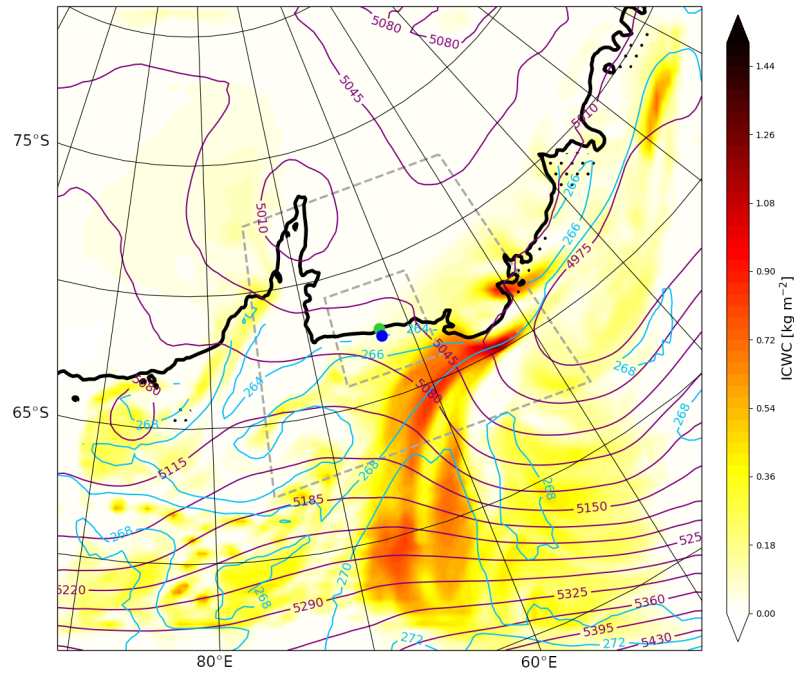
136 The MARCUS campaign offers a unique dataset to evaluate the ability of atmo-  
137 spheric models to represent frontal mixed-phase clouds adjacent to the Antarctic coast  
138 and to foster the development, evaluation and tuning of adequate microphysics and tur-  
139 bulence parameterizations in models.

140 In this study, we make use of those data to evaluate and improve the representa-  
141 tion of austral mixed-phase clouds in the Weather Research and Forecasting (WRF) model.  
142 We focus on clouds associated with the passage of a warm front between the 14 and the  
143 16 February 2018 above Mawson station (67.6°S, 62.9°E, identified with a green dot in  
144 the map plotted in Figure 1). This case study corresponds to the third precipitation event  
145 described in Alexander et al. (2020). We pay particular attention to the challenging rep-  
146 resentation of SLW layers at the top of mid-altitude clouds preceding and following the  
147 front. Beyond the WRF evaluation, the aim of the paper is to identify priorities and pro-  
148 pose pathways for parameterization development and tuning which can assist cloud mod-  
149 eling over the Southern Ocean.

## 150 **2 Meteorological setting, observations and simulations**

### 151 **2.1 Remotely-sensed and in situ observations from the Aurora Australis**

152 A comprehensive suite of instrumentation from the second Atmospheric Radiation  
153 Measurement (ARM) Mobile Facility (McFarquhar et al. 2020, submitted to BAMS) was  
154 deployed aboard the ship. A vertically-pointing W-band (95 GHz) Doppler cloud radar  
155 (MWACR) sampling every 2 s and set-up on a stabilizing platform provided vertical pro-



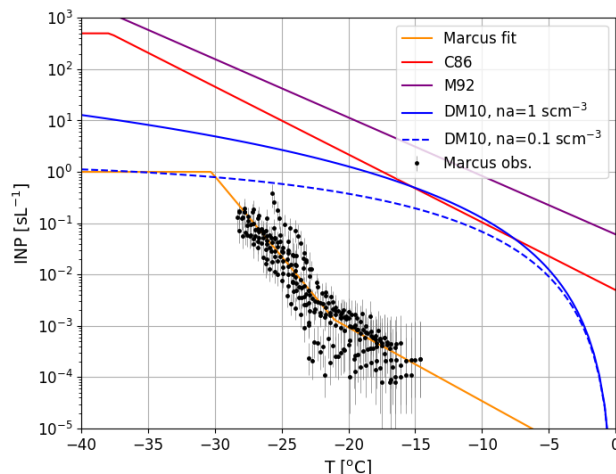
**Figure 1.** Map of synoptic conditions around Mawson station at 00 UTC, 15 February 2018, from the ctrl Polar WRF simulation (27-km resolution domain). The purple (resp. cyan) contours show the 500 hPa geopotential height in m (resp. the 900 hPa temperature above the Ocean in K). The color shading shows the vertically integrated condensed water content (ICWC, sum of cloud liquid droplets, cloud ice crystals, snow, rain and graupel species). Dashed grey lines delimit the 9-km and 3-km resolution domains. Regions where the sea ice concentration is greater than 0.5 are marked with small black dots. The green circle locates Mawson station while the blue circle indicates the position of the Aurora Australis at 00 UTC, 15 February 2018.

156 files of reflectivity, Doppler velocity and spectral width. The reflectivity measurements  
157 were calibrated following Kollias et al. (2019). During this case study, the ship was at  
158 Mawson station during standard working hours, but moved a few nautical miles to the  
159 north during local ‘night’. In any case, the ship was in very calm waters thanks to off-  
160 shore ice that damped sea swells. Subsequently, the radar Doppler velocity uncertainty  
161 due to ship’s heave is very low (the standard deviation of the heave velocity during the  
162 three days of interest is lower than  $0.01 \text{ m s}^{-1}$ ). From the processing of Doppler veloc-  
163 ity time series, it is possible to estimate the dissipation rate  $\epsilon$  of turbulent kinetic en-  
164 ergy (TKE) within the cloud (see Sect. 1 of the supporting information). A micro-pulse  
165 lidar (MPL) with a polarization sensitive system and a 5-min temporal resolution allowed  
166 for the identification of SLW layers following Alexander and Protat (2018). Further de-  
167 tails on radar and lidar data processing, uncertainties and analysis are available in Alexander  
168 et al. (2020). Radiosondes were launched from the ship every six hours - 0530, 1130, 1730,  
169 2330 UTC (Sato et al., 2018) - and standard meteorological variables were also measured,  
170 including downward shortwave and longwave radiative fluxes. The liquid water path (LWP)  
171 was estimated from microwave radiometer data following Marchand et al. (2003).

172 Ice nucleating particles were also measured from aerosol filter collections, as in prior  
173 ship campaigns (McCluskey et al., 2018). Cumulative temperature spectra of the num-  
174 ber concentration of INPs active via immersion freezing were derived from data collected  
175 on the freezing of dilute (purified) water droplet suspensions of collected aerosols using  
176 the Colorado State University ice spectrometer instrument system (McCluskey et al., 2018).  
177 Details of the instrument methods, clean protocols, calculation of cumulative INPs per  
178 volume of suspension, conversion of these to numbers per liter of sampled air versus tem-  
179 perature, and calculation of confidence intervals (95%) are discussed in DeMott et al.  
180 (2018). Filter samples were 24 or 48 hour collections, representing approximately 21 or  
181  $42 \text{ m}^3$  of air, respectively. Temperature spectra (six represented) of the INP concentra-  
182 tions measured close to Mawson station during MARCUS are plotted in Figure 2.

## 183 2.2 WRF simulations

184 This work is based on the version 4.1.1 of the WRF model. The simulation con-  
185 figuration follows that used by Vignon, Besic, et al. (2019). The model has been run with  
186 a downscaling method where a 27-km resolution parent domain contains a 9-km reso-  
187 lution domain which itself contains a  $102 \times 102 \text{ km}^2$  nest at a 3-km resolution (see Fig-



**Figure 2.** Temperature spectrum of the INP concentration in  $\text{sL}^{-1}$  (per standard litre). Black dots show measurements off Mawson station during the present MARCUS case study. Errorbars represent the 95% confidence intervals calculated in the same manner as in McCluskey et al. (2018). The orange line shows a fit on the data (see eq. 1). The red line shows the relationship from Cooper (1986) (C86). The purple line shows eq. 2.6 in Meyers et al. (1992) (M92). Blue lines show the DeMott et al. (2010)’s relationship for two extreme values of the concentration of aerosols larger than  $0.5 \mu\text{m}$  ( $n_a$ ) which commonly ranges between  $0.1$  and  $1 \text{ scm}^{-3}$

188 ure 1). Note that achieving a 3-km resolution is needed to correctly capture the dynam-  
 189 ics of Antarctic katabatic winds and in particular their coastal transition (Vignon, Traullé,  
 190 & Berne, 2019; Vignon et al., 2020). All WRF domains have been built with the same  
 191 polar stereographic projection and they are centered over Mawson station. The nesting  
 192 is one way i.e. no information is passed in return from one domain to its parent. Lat-  
 193 eral forcings, sea ice concentration, sea surface temperature and initial conditions are from  
 194 the ERA5 reanalysis (Hersbach et al., 2020). The topography is from the 1-km resolu-  
 195 tion Reference Elevation Model of Antarctica dataset (Howat et al., 2019; Gerber & Lehn-  
 196 ing, 2020). The model is run with 96 vertical levels up to 50 hPa. The so-called ‘stan-  
 197 dard’ grid (black circles in Figure 3) is automatically generated by WRF after setting  
 198 the vertical level number. It shows layer thicknesses between 200 and 250 m in the mid-  
 199 troposphere. Using 1D simulations of mixed-phase altocumulus, A. I. Barrett et al. (2017b)  
 200 stress that a resolution of at least 100 m is needed to sustain a SLW layer at cloud top.  
 201 A so-called ‘refined’ grid has thus been set-up to refine the vertical resolution in the mid-

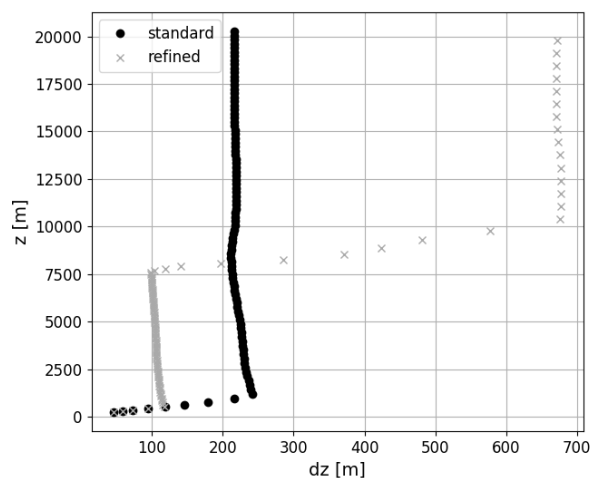


202 troposphere to about 100 m at the expense of the representation of the stratosphere (grey  
203 crosses in Figure 3).

204 Simulations start on February, 14 2018 00 UTC corresponding to a 17 h spin-up  
205 time before the arrival of the first frontal clouds above the ship location. To allow for  
206 a concomitant comparison between *in situ* observations and simulations and to ensure  
207 a realistic synoptic dynamics in the model, the 27-km resolution domain has been nudged  
208 above the boundary layer towards ERA5 reanalysis for zonal and meridional wind speed,  
209 with a relaxation time scale of 6 h. The nudging only helps provide the best lateral forc-  
210 ing for the free 9-km and 3-km resolution domains. The physics options employed through-  
211 out the study include the new version of the Rapid Radiative Transfer Model for Gen-  
212 eral Circulation Models radiation scheme for longwave and shortwave spectra, the Noah  
213 land surface model with adaptations by Hines and Bromwich (2008) and the Mellor-Yamada-  
214 Nakanishi-Niino (MYNN) planetary boundary layer scheme coupled with its associated  
215 surface layer scheme. For the domains with a resolution greater than or equal to 9 km,  
216 the Kain-Fritsch cumulus scheme has been activated. For a proper comparison with MWACR  
217 data, W-band radar reflectivity from WRF outputs has been calculated by means of the  
218 Cloud Resolving Model Radar Simulator (CR-SIM, Oue et al., 2020) version 3.1. CR-  
219 SIM uses the T-matrix method for computing the scattering properties of cloud water,  
220 cloud ice, rain, snow, graupel, and hail hydrometeors. In this study, CR-SIM has been  
221 configured as a virtual MWACR vertically profiling radar - with a frequency of 94 GHz  
222 (close to the 95 GHz frequency of the real instrument) and similar radar beamwidth and  
223 range resolution - that follows the track of the Aurora Australis.

### 224 ***2.2.1 Microphysical scheme setting***

225 We employ the microphysical parameterization from Morrison et al. (2005) which  
226 was shown to produce more realistic amounts of liquid water in Antarctic clouds com-  
227 pared to less advanced WRF parameterizations and also produces realistic precipitation  
228 in coastal Adélie Land (Listowski & Lachlan-Cope, 2017; Hines et al., 2019; Vignon, Besic,  
229 et al., 2019). The scheme has a single-moment treatment of cloud droplets and a double-  
230 moment treatment of cloud ice, rain drops, snow and graupel particles. The activation  
231 of cloud droplets on CCN is not parameterized in the Morrison scheme (except when cou-  
232 pling WRF with its chemical module) and the droplet number concentration is a con-  
233 stant number. We set it to  $100 \text{ cm}^{-3}$ , a value that reasonably concurs with other stud-



**Figure 3.** Mean altitude of WRF  $\eta$  levels ( $z$ ) plotted versus the corresponding layer thickness ( $dz$ ). Black circles refer to the ‘standard’ 96-level grid. Grey crosses refer to the ‘refined’ 96-level grid with thinner layers in the low- and mid-troposphere.

ies over the Antarctic coast and with CCN measurements collected aboard the Aurora Australis during MARCUS (see Sect. 2 of the supporting information).

Regarding primary ice production, tendencies of ice number and mass concentrations associated with homogeneous freezing of droplets (at temperatures  $\leq -40^\circ\text{C}$ ) and three heterogeneous ice nucleation mechanisms are parameterized and are active at temperatures  $\leq -4^\circ\text{C}$ . In our control simulations, immersion freezing of cloud droplets and raindrops is taken into account following the stochastic approach of Bigg (1953). Contact freezing is parameterized as a flux of contact INP to cloud droplets and the number of contact nuclei is given by Meyers et al. (1992) (M92). Deposition/condensation freezing nucleation is parameterized as a nudging term towards an INP concentration predicted as a function of temperature following Cooper (1986) (C86). Although our control (ctrl) simulation has been run with this configuration, the heterogeneous nucleation schemes are questionable for our study case. First, Bigg (1953)’s scheme based on laboratory data does not explicitly account for ice nuclei and it was shown to be poorly reliable for polar conditions (e.g., de Boer, Hashino, Tripoli, & Eloranta, 2013; Paukert & Hoose, 2014). Second, except at temperatures warmer than about  $-10^\circ\text{C}$  where contact freezing dominates, the ice production in the ctrl WRF simulation during MARCUS is

251 dominated by the deposition/condensation freezing nucleation scheme, but especially at  
 252 temperatures lower than  $-15^{\circ}\text{C}$  (see Figure S2). Immersion freezing nucleation is thought  
 253 to be the dominant nucleation mode in most mixed-phase clouds (Andronache & coau-  
 254 thors, 2017). It is likely that this mode is represented in the mixed-phase cloud obser-  
 255 vations from C86 that are parameterized as deposition/condensation freezing in WRF,  
 256 but the number concentrations are representative of the mid-latitude, continental regions  
 257 where the observations were primarily collected. Indeed, the INP concentration prescribed  
 258 in the C86's deposition nucleation scheme is much higher than the measured INP con-  
 259 centration in the immersion freezing mode for the Mawson region at the time of this case  
 260 study (Figure 2). This excess of INP also impedes the generation of SLW and of all sub-  
 261 sequent freezing processes.

262 As underlined by O'Shea et al. (2017), C86 and M72 parameterizations were de-  
 263 veloped for continental conditions in which the INP concentrations are several orders of  
 264 magnitude higher than in the pristine atmosphere above the Southern Ocean (DeMott  
 265 et al., 2016; Kanji et al., 2017). DeMott et al. (2010) further developed an INP param-  
 266 eterization using not only the temperature but also the concentration of aerosols. This  
 267 parameterization better predicts the ice crystal number concentration present in clouds  
 268 over the Antarctic Peninsula than C86 or M92 (Listowski & Lachlan-Cope, 2017). How-  
 269 ever it overestimates the INP concentration off Mawson station (Figure 2) and using it  
 270 instead of C86's formulation only - as in Young et al. (2019) - decreases the ice nucle-  
 271 ation rate but maintains ice formation at temperatures lower than  $-20^{\circ}\text{C}$  (see Figure S2).

272 We thus replaced all the heterogeneous nucleation parameterizations in the Mor-  
 273 rison microphysical scheme with a unique empirical one - reflecting immersion freezing  
 274 - in the manner of Paukert and Hoose (2014). Note that the Bigg's parameterization is  
 275 nonetheless kept active for the freezing of big rain drops. INP measurements during MAR-  
 276 CUS have first been fitted with the following equation (see orange line in Figure 2):

$$\log_{10}(N_{INP}) = \begin{cases} -0.14(T - T_1) - 2.88, & \text{if } T > T_1 \\ -0.31(T - T_1) - 2.88, & \text{if } T_2 \leq T \leq T_1 \\ 0.0 & \text{if } T < T_2 \end{cases} \quad (1)$$

277 with  $N_{INP}$  the INP number concentration in  $\text{sL}^{-1}$ ,  $T$  the temperature in  $^{\circ}\text{C}$ ,  $T_1 =$   
 278  $-21.06^{\circ}\text{C}$  and  $T_2 = -30.35^{\circ}\text{C}$ . INP measurements were performed at  $T > -28^{\circ}\text{C}$

279 questioning extrapolation of the curve at very low temperatures. Here, we taper the ex-  
 280 ponential increase with decreasing temperature and constrain  $N_{INP}$  not to exceed  $1 \text{ sL}^{-1}$ ,  
 281 a value close to the prediction from the DeMott et al. (2010)'s parameterization for  
 282 low aerosol concentrations (Figure 2). Setting such a threshold is motivated by recent  
 283 measurements during the CAPRICORN campaign over the Southern Ocean in McCluskey  
 284 et al. (2018). The authors revealed that the INP concentration in the immersion mode  
 285 no longer increases with decreasing temperature - staying below  $1 \text{ sL}^{-1}$  when temper-  
 286 ature is lower than about  $-28^\circ\text{C}$ . Similar behavior has been observed for other geograph-  
 287 ical contexts (Kanji et al., 2017).

Then, the ice crystal production term follows the equation:

$$\frac{dN_i}{dt} \Big|_{nucleation} = \begin{cases} \frac{N_{INP} - (N_i + N_s + N_g)}{\Delta t}, & \text{if } N_{INP} > N_i + N_s + N_g \\ 0.0 & \text{otherwise} \end{cases} \quad (2)$$

288 where  $\Delta t$  the model timestep and  $N_i$ ,  $N_s$  and  $N_g$  the number concentration of ice  
 289 crystals, snowflakes and graupel particles respectively. As this empirical parameteriza-  
 290 tion reflects immersion freezing, the produced mass of cloud ice is removed from cloud  
 291 liquid water. It is worth noting that this new ice nucleation parameterization is based  
 292 on INP measurements in the boundary-layer off Mawson station. 5-day back-trajectories  
 293 revealed that the air parcels arriving in the mid-troposphere above the ship during the  
 294 study case mostly originate from the north and west of the station and has been lifted  
 295 from the marine boundary-layer in the vicinity of the station (see Figure S3). The present  
 296 nucleation scheme should therefore be reasonably valid in both boundary-layer and mid-  
 297 level frontal clouds.

298 Furthermore, the Morrison scheme accounts for secondary ice production through  
 299 the rime-splintering process (Hallett-Mossop) in the  $[-8^\circ\text{C}, -3^\circ\text{C}]$  temperature range.  
 300 However, Young et al. (2019) show that this process should be artificially enhanced by  
 301 a factor of 10 to reproduce the observed ice crystal concentrations over the Weddell Sea.  
 302 Sotiropoulou et al. (2020) suggest that it may be due to the absence of parametrization  
 303 for the secondary ice production through ice particle break-up after hydrometeor colli-  
 304 sion. By default in our simulations we do not activate a parameterization of collisional  
 305 break-up but complementary sensitivity experiments have been carried out.

### 2.2.2 Cloud top turbulence parameterization

SLW layers at cloud top are a few tens or hundreds of meters deep (Sedlar et al., 2012; Sotiropoulou et al., 2016), i.e. of comparable width or even thinner than common atmospheric model layers, and they are characterized by a vigorous turbulence that is critical to generate and maintain the SLW. This turbulence should be represented in models. However, cloud tops are regions of sharp vertical gradients of atmospheric properties which are difficult to simulate with the current vertical resolutions of models. The turbulent mixing at cloud top - or entrainment - has been and is still an active subject of research especially for warm stratocumulus found over the tropical oceans (e.g., Stevens, 2002; Mellado, 2017). In particular, representing the buoyancy flux and the subsequent top-down convection associated with cloud top radiative cooling and to a lesser extent, with the evaporation or sublimation of condensates (see for instance large eddy simulation studies in Brient, Couvreur, Villefranque, Rio, & Honnert, 2019), requires specific parameterizations (Lenderink & Holtslag, 2000).

Some studies using 1-order turbulent mixing schemes proposed to adapt the vertical profiles of the eddy-diffusivity coefficient between the ground and the cloudy boundary-layer top depending on the radiative and evaporative cooling (Lock et al., 2000; Wilson, 2015; Ghonima et al., 2017). However, such schemes do not properly apply for mid-tropospheric clouds. In this study, we follow the approach of Guo et al. (2019) based on the pioneering ideas of Deardoff (1972), Lock (1998) and Grenier and Bretherton (2001). This study includes a specific parameterization for the TKE production term associated with the buoyancy flux at the top of a liquid cloud. Briefly, this parameterization accounts for the buoyancy flux associated with the fraction of the radiative flux divergence that is not explicitly resolved by the model due to its too coarse vertical resolution. This additional TKE production term  $P_R$  can read:

$$P_R = \mathcal{F}(q_c, p) \frac{g}{\theta_v} \frac{\Delta_z F_{LW} \Delta z}{c_p \rho \Pi} \quad (3)$$

where  $g$  is the acceleration of gravity,  $\theta_v$  is the virtual potential temperature,  $\rho$  is the air density,  $c_p$  is the air heat capacity,  $\Pi$  is the Exner function,  $\Delta_z F_{LW}$  is the long-wave radiative flux vertical divergence at cloud top and  $\Delta z$  is the cloud top model layer depth.  $\mathcal{F}(q_c, p)$  is a function of the cloud liquid water content  $q_c$  and pressure  $p$  and is

335 bounded between 0 and 1. Because estimating  $\mathcal{F}$  for a mixed-phase cloud would be much  
 336 more complex, we decide to follow a simplified approach:

$$P_R = \phi \frac{g}{\theta_v} \frac{\Delta_z F_{LW} \Delta z}{c_p \rho \Pi} \quad (4)$$

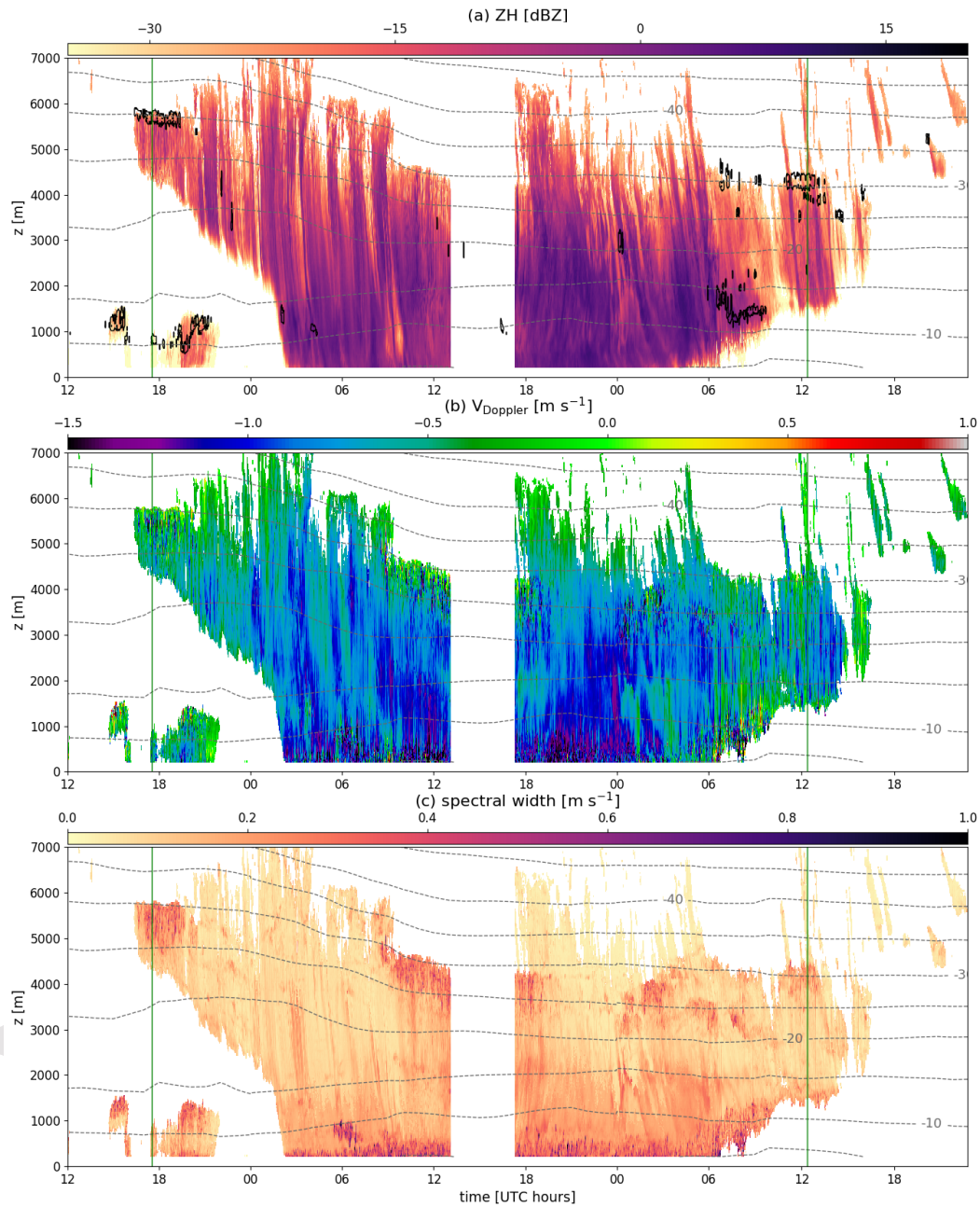
337 with  $\phi$  is tuning coefficient ranging between 0 and 1. By default, we set  $\phi = 0.05$   
 338 (value that gives reasonable cloud top liquid content and turbulence, see next section)  
 339 but the sensitivity to this value will be assessed.

### 340 3 Results

#### 341 3.1 Brief description of the evolution of clouds and precipitation from 342 observations

343 The synoptic circulation and the cloud properties during our case study are thor-  
 344 oughly analysed in Alexander et al. (2020). We provide here a brief description of the  
 345 evolution of clouds and precipitation from observations to help the interpretation of model  
 346 results. The synoptic meteorological conditions at 00 UTC, 15 February 2018 in the ctrl  
 347 WRF simulation are plotted in Figure 1. A synoptic weather system manifesting as a  
 348 minimum of 500-hPa geopotential height sets at the north-west of Mawson, advecting  
 349 warm and moist oceanic air towards the ice sheet along its eastern flank. In particular,  
 350 a zonally elongated tongue of integrated condensed water content (shading) is moving  
 351 towards the station and the ship (blue dot). This tongue preceding a warm sector (tem-  
 352 perature in cyan contours) corresponds to the warm front of the system. During the 15  
 353 and 16 February, the warm front moves to the south-east of the station and dissipates.  
 354 The ship thus enters the warm sector, the mid-tropospheric flow above it progressively  
 355 changes from a northerly to a westerly direction while the low-level flow, characterized  
 356 by a clear katabatic jet at about 500 m a.s.l., keeps an easterly direction (Alexander et  
 357 al., 2020). Meanwhile, the cold front of the system remains far from the coast over the  
 358 Southern Ocean and the extra-tropical cyclone progressively weakens at the west of Maw-  
 359 son and disappears during the second half of the 16 February.

360 Figure 4 shows the time-height plot of the MWACR reflectivity (panel a), Doppler  
 361 velocity (panel b) and Doppler spectral width (panel c) above the ship during the event.  
 362 Note that the radar ceased functioning between 13 and 17 UTC, 15 February. In panel



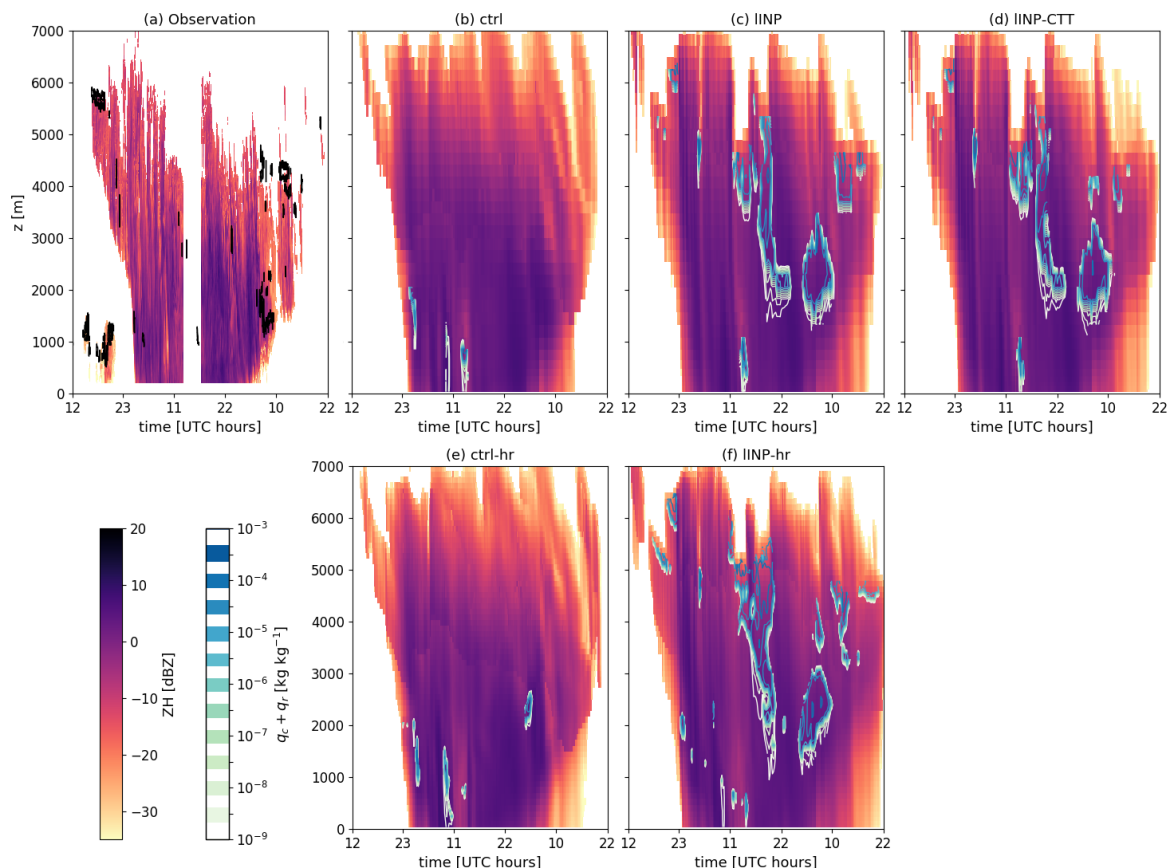
**Figure 4.** Time height plots of the radar reflectivity ZH (a), Doppler velocity  $V_{\text{Doppler}}$  (b) and Doppler spectral width (c) measured by the MWACR above the ship between the 14 and 16 February 2018. Grey contours indicate the air temperature ( $5^{\circ}\text{C}$  intervals) from the ERA5 reanalyses. Vertical green lines indicate the two specific times analyzed in Figure 6. In panel a, black outlines locate regions where the MPL detects SLW.

363 a, black contours indicate regions identified as SLW cloud layers using the MPL data.  
364 Panel a indicates a pre-precipitation virga period (16 UTC, 14 February to 02 UTC, 15  
365 February) during the arrival of the warm front above the ship and is characterized by  
366 significant reflectivity values in altitude but not at the surface. This period is followed  
367 by actual surface precipitation within the warm sector - with high reflectivity values at  
368 the first radar gate - which is followed by a post-precipitation phase (06 to 17 UTC, 16  
369 February) when the extra-tropical cyclone dissipates. Such temporal structure (pre-precipitation  
370 virga, surface precipitation, post-precipitation virga) associated with the passage of a  
371 warm front above the station was shown to be representative of the precipitation events  
372 affecting the coast of Adélie Land (Jullien et al., 2020), East Antarctica. From the li-  
373 dar data, clear SLW layers are particularly identified:

- 374 1. at the top of boundary-layer stratocumulus upstream of the warm front in the cool  
375 sector, within the first 1500 m a.s.l. and between 15 and 22 UTC, 14 February;
- 376 2. at the top of the first high frontal clouds (altocumulus), just above pre-precipitation  
377 iced-virga between 17 and 21 UTC, 14 February;
- 378 3. at the top of the boundary-layer (about 1500 m a.s.l.) between 6 and 10 UTC, 16  
379 February;
- 380 4. sitting on top of post-precipitation ice virga at about 4500 m a.s.l. between 11 and  
381 13 UTC, 16 February;

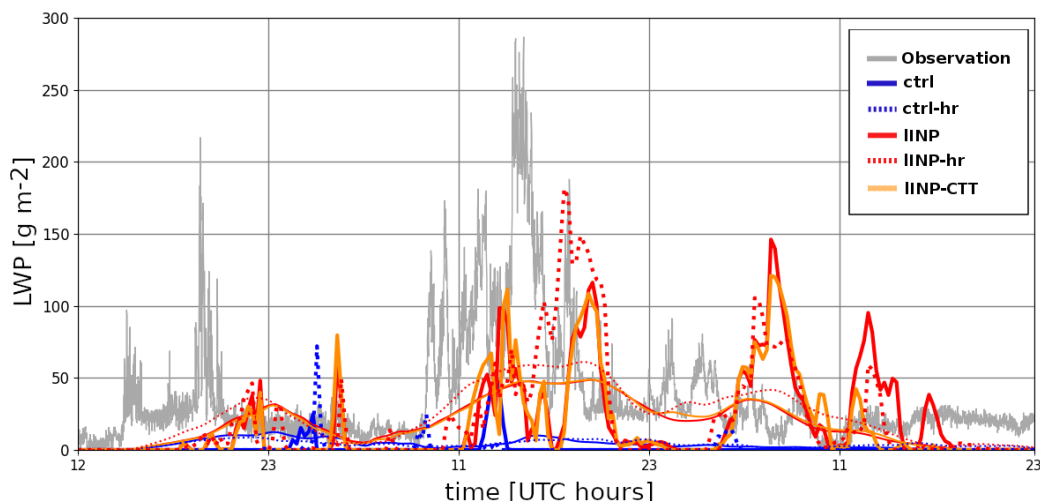
382 Their depth is generally comprised between 100 and 350 m, although we note this is likely  
383 underestimating the full vertical extent of some of these SLW layers due to full lidar sig-  
384 nal attenuation (see Figure S4). The Doppler velocity field shows that where SLW is present,  
385 weakly-negative or even positive values of the mean vertical velocity are measured (see  
386 Figure 4b and the Doppler velocity distribution conditioned to SLW patches in Figure  
387 S1b). Below SLW layers, one can point out rapid alternations of strongly and weakly neg-  
388 ative Doppler velocities. Similarly, the Doppler spectral width - that strongly depends  
389 on turbulence - exhibits large values within and in the few hundred meters below SLW  
390 layers (Figure 4c). The creation and resilience of SLW at the top of the frontal mixed-  
391 phase clouds thus appears related to the dynamics of cloud-top convective cells (A. V. Ko-  
392 rolev & Mazin, 2003; A. Korolev et al., 2017) as within mid-latitude altocumulus (Heymsfield  
393 et al., 1991; Smith et al., 2009; P. A. Barrett et al., 2020). It is important to note that  
394 the lidar signal is fully attenuated by precipitation during the middle part of the event





**Figure 5.** Time-height plot of the W-band reflectivity in MWACR observation (panel a) and as calculated from WRF simulations with the CR-SIM radar simulator (panels b-f). In panel a, black outlines locate regions where the MPL detects SLW. In panels b-f, yellow-to-blue contours show the mass mixing ratio of cloud liquid water (sum of cloud and rain droplets).

(see Figure S4), so there could have been SLW between 15 February 02 UTC and 16 February 06 UTC. As a matter of fact, the highest LWP values estimated from the microwave radiometer were measured between 09 and 19 UTC 15 February (see next section). This suggests the presence of SLW layers or patches within or at the top of the deep nimbostratus during this period, especially within or at the summit of layers with both high values of Doppler velocity and Doppler spectral width. The visual inspection of Doppler spectra indeed confirms the occurrence of elevated SLW layers during the precipitation period (Figure S5).



**Figure 6.** 14-16 February 2018 time series of the LWP above the Aurora Australis position (thick lines) and averaged over the whole domain excluding the relaxation zone near the domain's boundaries (thin lines) in WRF simulations. The LWP estimated from radiometer observations is added in grey line. Note that the LWP averaged over the whole domain from the IINP and IINP-CTT simulations are almost superimposed.

### 3.2 Simulating the vertical structure of liquid-topped frontal mixed-phase clouds

We now assess the ability of WRF to reproduce the observed cloud vertical structure. Unlike the control (ctrl) simulation with the standard Morrison microphysical scheme, simulations using the empirical high-latitude Southern Ocean ice nucleation parameterization with a lower - but more realistic - INP concentration, are named 'IINP'. Simulations accounting for the cloud top turbulence parameterization are named with the '-CTT' suffix. Likewise, simulations run with the refined vertical grid in the troposphere are designated with the '-hr' suffix. By direct comparison with radiosoundings, we verified that the vertical profiles of temperature, wind speed and wind direction in the simulations are reasonably well reproduced so that we can focus on the representation of cloud microphysics (not shown).

#### 3.2.1 Analysis of the control simulation

Figure 5 shows the time height plot of the radar reflectivity and cloud liquid water content above the ship's position in the WRF simulations. It reveals that the ctrl sim-

418 ulation reproduces the timing and the overall structure of the system reasonably well.  
419 However the local low-level clouds preceding the passage of the warm front are absent  
420 in the simulation above the ship position but similar local clouds form a few kilometers  
421 away (not shown). In addition, the model generally overestimates the cloud top height  
422 particularly owing to the excessive ice nucleation at cold temperatures. More importantly,  
423 Figure 5b and the time series of the liquid water path in Figure 6 show that the ctrl con-  
424 figuration produces almost fully glaciated clouds. Refining the vertical grid in the mid-  
425 troposphere (ctrl-hr simulation) barely improves the production of liquid droplets. Note  
426 that changing the microphysical scheme to the one from Thompson et al. (2008) - that  
427 together with the Morrison scheme yields the best cloud liquid water content and sur-  
428 face radiative fluxes in previous Antarctic studies with WRF (Listowski & Lachlan-Cope,  
429 2017; Hines et al., 2019) - leads to the same conclusion (not shown). Note also that re-  
430 placing the INP formulation with the one from DeMott et al. (2010) in the deposition/condensation  
431 freezing nucleation parameterization leads to slightly more SLW in the lowest part of the  
432 clouds (where the temperature is greater than  $-15^{\circ}\text{C}$ ) but its overall amount remains  
433 strongly underestimated. It is also worth mentioning that unlike WRF in its standard  
434 configuration, the recent ERA5 reanalysis produces some cloud liquid content during this  
435 event, but not the correct amount nor at the correct location (at too low altitude and  
436 too warm temperature, see Figure S6 and Sect. 3 of the supporting information). This  
437 result concurs with the conclusions of Silber, Verlinde, Wang, et al. (2019) at two other  
438 Antarctic sites.

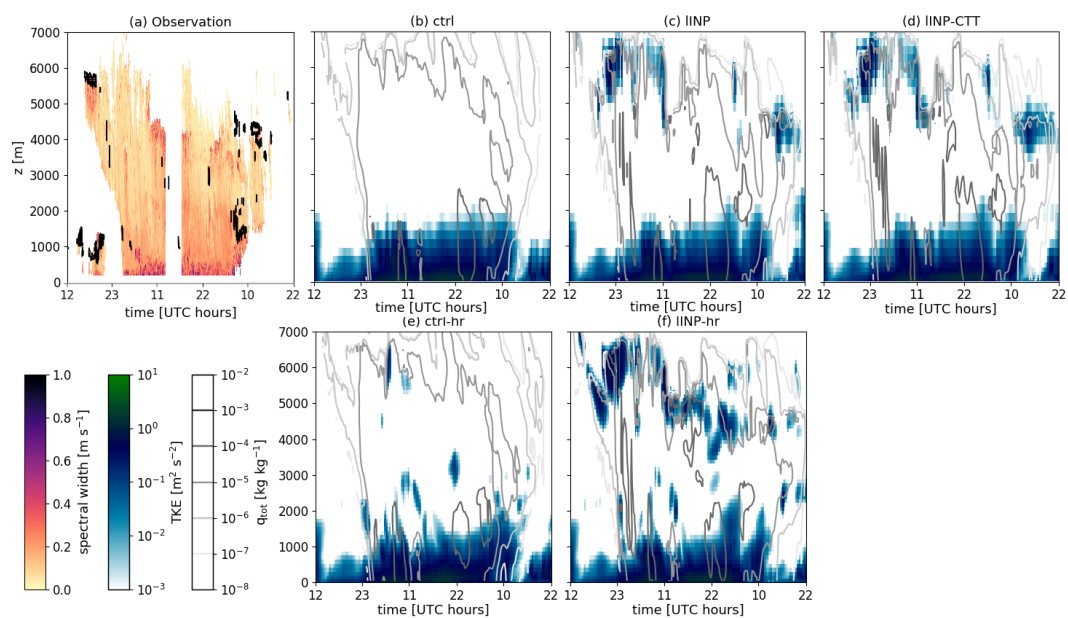
### 439 *3.2.2 Sensitivity experiments*

440 The empirical INP formulation leads to a significant increase in cloud liquid wa-  
441 ter content throughout the event. Such a conclusion holds not only at the ship's loca-  
442 tion but also for the whole simulation domain (thick and thin lines in Figure 6). Fur-  
443 ther analysis shows that this enhanced production of cloud liquid water over the whole  
444 model domain in the IINP simulation is associated with a strong decrease in cloud ice  
445 - owing to the less active heterogeneous nucleation process (Figure S7b,c) - and with a  
446 slight increase in snow mixing ratio in the mid-troposphere (Figure S7d) due to the WBF  
447 process. However the total condensed water content is not significantly modified (Fig-  
448 ure S7a).

449 The IINP simulation also exhibits sharp vertical gradients of condensate mixing ra-  
450 tio in the uppermost part of the clouds (Figure 5c) as well as vigorous cloud-top turbu-  
451 lence that is absent in the ctrl simulation (see the time-height plot of the TKE above  
452 the ship’s position in WRF simulations in Figure 7). We will hereafter show that this  
453 turbulence is triggered by a stronger buoyancy flux due to an enhance cloud-top radia-  
454 tive cooling.

455 Figure 8 shows vertical profiles of atmospheric variables for two particular times  
456 with clear liquid-topped altocumulus identified in observations (see vertical green lines  
457 in Figure 4). During the arrival of the warm front at 1730 UTC, 14 February 2018, the  
458 new INP parameterization makes WRF able to reach the saturation with respect to liq-  
459 uid in a layer around 5600 m whatever the vertical resolution employed. A thin SLW layer  
460 is therefore simulated at cloud top but its height is slightly underestimated compared  
461 to lidar observations. The analysis of vertical profiles of the source and loss terms of the  
462 ice and snow mixing ratio shows that below the SLW layer, ice crystals grow by vapor  
463 deposition and sediment (Figures 9b). The presence of liquid droplets at cloud top also  
464 enhances the radiative cooling, leading to an almost neutral vertical profile of potential  
465 temperature in agreement with radiosonde observation (Figure 8a). However, with the  
466 coarse vertical resolution employed in IINP, the liquid layer does not persist in time. When  
467 refining the vertical resolution (IINP-hr simulation), the resilience of the SLW layer dur-  
468 ing the warm front arrival (Figure 5f,6) is better reproduced - in agreement with the 1D-  
469 simulations of A. I. Barrett et al. (2017b). The altitude of the liquid layer gradually de-  
470 creases owing to the drying effect associated with cloud-top turbulent entrainment.

471 The IINP simulation does not reproduce the cloud top turbulence during this spe-  
472 cific period but IINP-hr exhibits both a resilient SLW layer and vigorous mixing (Fig-  
473 ure 8f). Activating the additional parameterization for cloud top turbulence enhance-  
474 ment in the low-resolution configuration (IINP-CTT simulation) helps generate turbu-  
475 lence in the upper part of the altocumulus. A similar conclusion can be drawn for the  
476 middle phase of the event (around 1200 UTC, 15 February, see Figure 7d). However, this  
477 parameterization does not improve the persistence of the SLW layer through time. Tur-  
478 bulence tends to thin the SLW layer out by mixing it with underlying and overlying drier  
479 air. As expected, increasing the  $\phi$  parameter increases the TKE and  $\epsilon$  but for  $\phi \geq 0.1$ ,  
480 the mixing becomes too intense - with respect to the vertical resolution used - for SLW  
481 to survive over more than a few time steps (see Figure S8).



**Figure 7.** Panel a: Time-height plot of the spectral width in MWACR observation. Black outlines locate regions where the MPL detects SLW. Panels b-f: Time height plots of the TKE (color shading) and of the mass mixing ratio of cloud condensates (contours, sum of cloud droplet, cloud ice, snow, graupel and rain species,  $q_{\text{tot}}$ ) above the ship position for different WRF simulations.

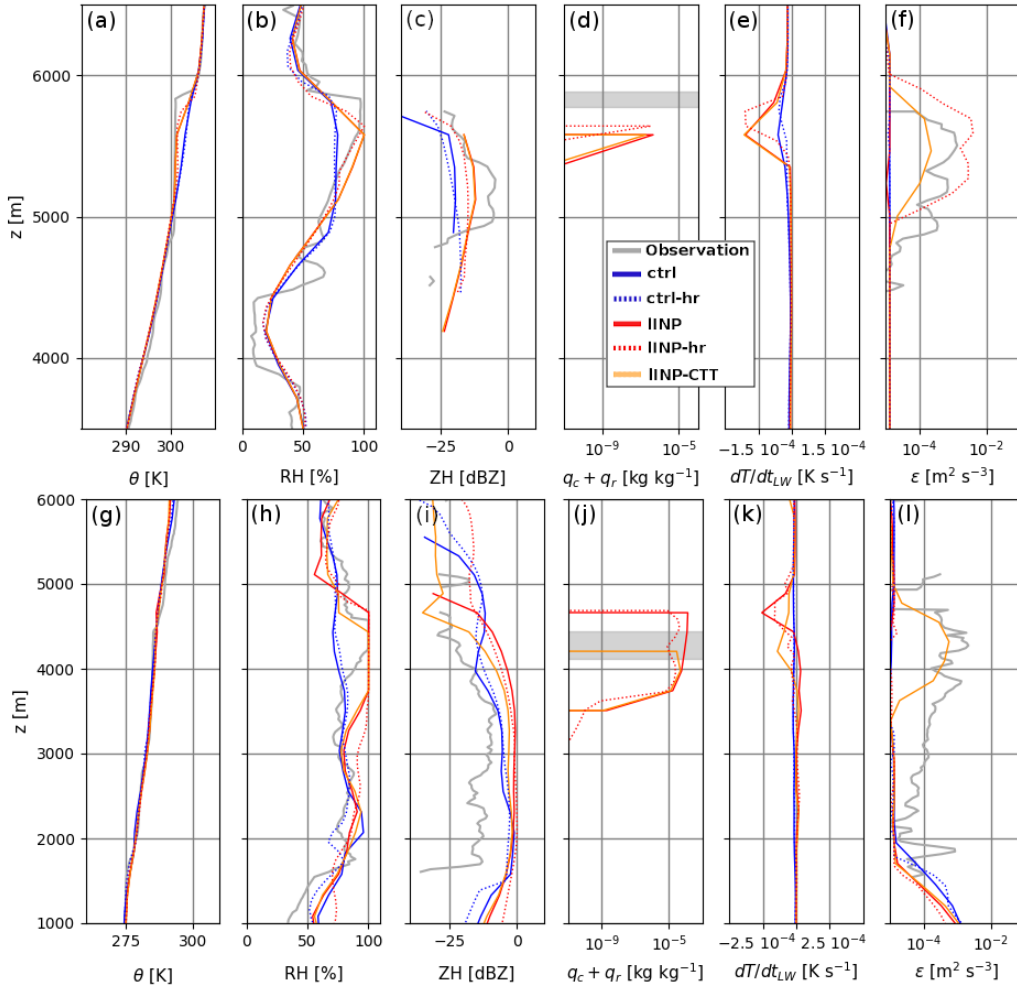
482 Similarly to the IINP-CTT simulation, the turbulence in the IINP-hr simulation  
483 tends to thin the SLW layer by mixing with drier air (Figure 8d). Interestingly, the par-  
484 allel analysis of the vertical profiles of the source and loss terms of SLW (Figures 9a,d)  
485 and of the temperature tendencies (Figures 9c,e) shows that SLW does not form in the  
486 atmospheric layer where turbulent mixing cools the air. This is somewhat contradictory  
487 with the conceptual model of mixed-phase altocumulus of (P. A. Barrett et al., 2020)  
488 in which supercooled droplet condensation occurs within adiabatically cooled turbulent  
489 updrafts. This aspect will be discussed in Sect. 4.3.

490 Analysis of profiles at 1230 UTC, 16 February (Figures 8g-l) generally concurs with  
491 our main inferences regarding the performances of WRF at the beginning of the event.  
492 We can still notice the absence of turbulence between 3000 and 4600 m in the IINP-hr  
493 simulation which is explained by the cloud being too deep (see Figure 5f and Figure 8h)  
494 - so an overestimated cloud top height and underestimated radiative cooling between 4000  
495 and 4500 m (Figure 8k) - at this specific time. We do not have a clear explanation for  
496 this bias but it seems that the deep nimbostratus stays too long over the ship location  
497 and, interestingly, a thin SLW layer at around 4600 m is simulated during the end of the  
498 16 February (Figure 5f). In absence of turbulence, the SLW layer in the IINP and IINP-  
499 hr simulations - at this specific time - is too thick. It becomes more realistic later in the  
500 day.

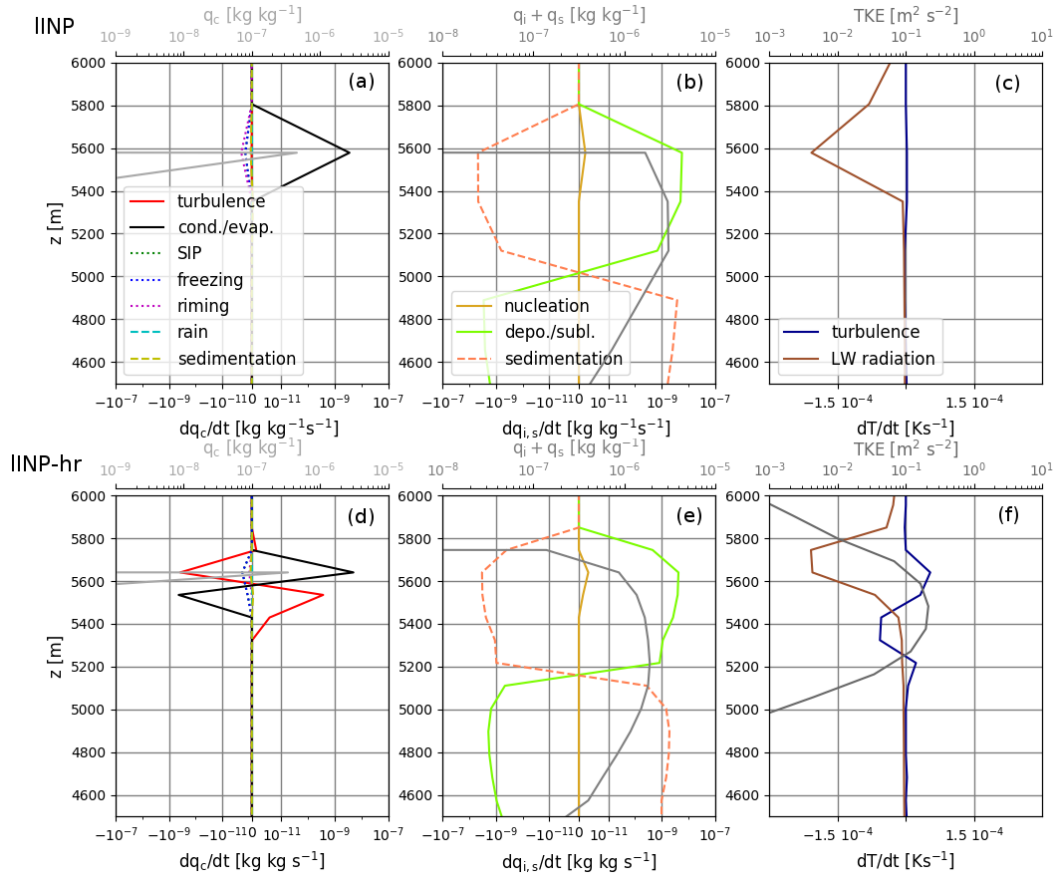
501 As previously mentioned, Sotiropoulou et al. (2020) suggest that secondary ice pro-  
502 duction through ice particle collisional break-up might be an important process in coastal  
503 Antarctic clouds. We have assessed the model sensitivity to this process on our study  
504 case (details in Sect. 4 of the supporting information). Collisional break-up significantly  
505 modifies the ice particle number concentration at temperature greater than  $-25^{\circ}\text{C}$  but  
506 the available observational dataset does not enable us to state whether this is truly ben-  
507 efcial to our simulations or not. In any case, a collisional break-up parameterization that  
508 moderately increases the ice crystal number concentration is not detrimental to the sim-  
509 ulation of SLW layers which is our main scope here.

### 510 3.3 Cloud radiative effect

511 Achieving the simulation of SLW layers substantially impacts the radiative fluxes  
512 at the surface through changes in cloud albedo and optical depth. Comparison of the



**Figure 8.** Vertical profiles of the potential temperature (a and g), relative humidity with respect to liquid (b and h), W-band radar reflectivity (c and i), liquid water content (sum of cloud droplets and rain drops, d and j), temperature tendency due to longwave radiative warming (e and k) and rate of turbulent kinetic energy dissipation (f and l) in observations (grey lines) and WRF simulations. Panels a-f refers to the 14 February 2018 at 1730 UTC while panels g-l refers to the 16 February 2018 at 1230 UTC. In panels a, b, g and h, observational data are from the closest-in-time radiosounding. In panels c, f, i and l, observations are from MWACR data. In panels d and j, the grey shading indicates the altitude range where the MPL detects SLW.

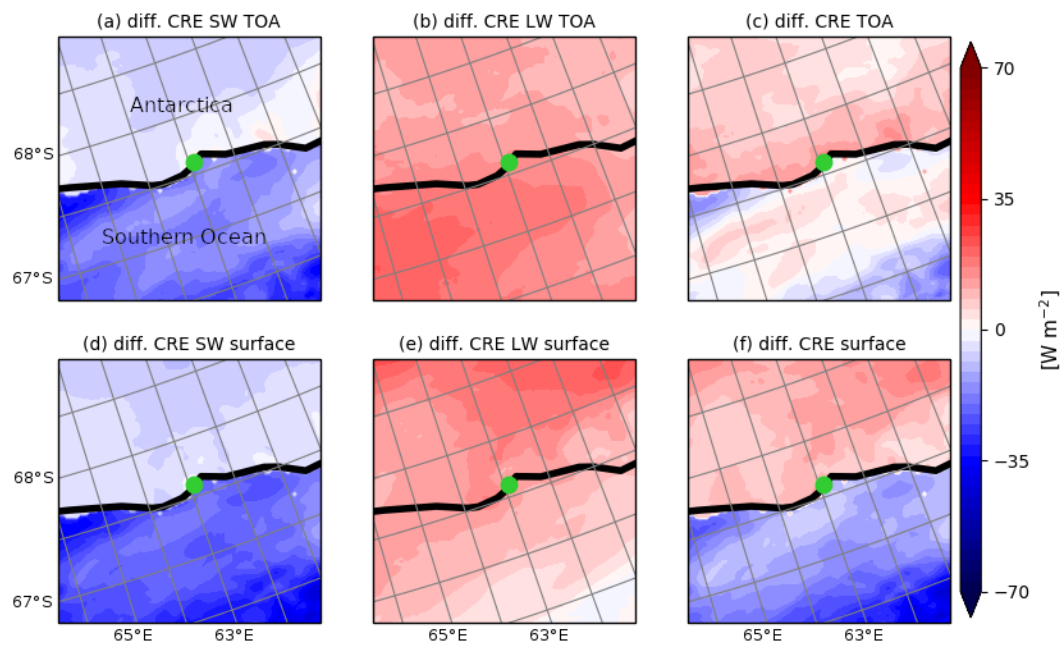


**Figure 9.** Vertical profiles at 1730 UTC, 14 February 2018, of different model variables for the IINP (panels a-c) and IINP-hr (panels d-f) WRF simulations. Panel a shows the cloud liquid water content ( $q_c$ , light grey line, top x-axis). Note that the rain mixing ratio  $q_r$  is negligible here. The different source/loss terms of cloud liquid water are also plotted in colored lines (note the logarithmic scale on the x-axes). 'turbulence' refers to turbulent mixing; 'cond./evap.' refers to droplet condensation or evaporation in a saturated/unsaturated atmosphere; 'SIP' refers to secondary ice production by splintering of droplets accreted on iced hydrometeors; 'riming' refers to the riming of iced precipitation; 'freezing' refers to the ice-nucleation through freezing (loss term for droplets), 'rain' refers to the autoconversion to rain and 'sedimentation' refers to the sedimentation of droplets. Panels b and e show the mass mixing ratio of the ice and snow species ( $q_i + q_s$ , grey line, top x-axis) and the relative tendencies due to ice nucleation (solid gold line), vapor deposition or sublimation (solid green line) and sedimentation (dashed orange line). Panels c and f: TKE (dark grey line, top x-axis), longwave radiative (brown) and turbulent (blue) heating rates. Note that the model does not simulate any TKE in panel c.



513 surface downward longwave radiative flux above the ship reveals a better agreement when  
514 the new INP parameterization is activated. The mean downwelling longwave flux value  
515 between 1200 UTC, 14 February and 2200 UTC, 16 February equals  $292.2 \text{ W m}^{-2}$  in  
516 the observations, and  $227.5 \text{ W m}^{-2}$ ,  $237.6 \text{ W m}^{-2}$ ,  $238.0 \text{ W m}^{-2}$ ,  $241.1 \text{ W m}^{-2}$  in the  
517 ctrl, IINP, IINP-CTT and IINP-hr simulations respectively. The value is however signif-  
518 icantly underestimated in all the simulations. Inspection of flux time series (not shown)  
519 reveals that this is mostly due to the absence of local low-level clouds just above the ship  
520 position and preceding the warm front. Such clouds indeed have a particularly strong  
521 warming effect. Comparison with model grid points in the vicinity of the ship that con-  
522 tains low-level clouds shows a substantially higher (up to  $40 \text{ W m}^{-2}$ ) downward long-  
523 wave radiative flux at the arrival of the warm front. Likewise, the mean downwelling short-  
524 wave flux value between 1200 UTC, 14 February and 2200 UTC, 16 February, has been  
525 improved when activating the new INP parameterization mostly owing to the increase  
526 in cloud albedo when SLW is reproduced. While the mean observed value equals  $160.4 \text{ W m}^{-2}$ ,  
527 the simulated values are  $187.8 \text{ W m}^{-2}$ ,  $166.8 \text{ W m}^{-2}$ ,  $167.5 \text{ W m}^{-2}$ ,  $165.9 \text{ W m}^{-2}$  in the  
528 ctrl, IINP, IINP-CTT and IINP-hr simulations respectively.

529 The changes in radiative fluxes substantially modify the cloud radiative effect (CRE)  
530 at the surface and the top of the atmosphere (TOA) during the event. Figure 10 shows  
531 the difference in CRE averaged over the whole study case between the IINP-hr (the con-  
532 figuration with the most realistic SLW layers) and ctrl simulations. At the TOA, the IINP-  
533 hr simulation exhibits more reflected shortwave radiation than the ctrl simulation (panel  
534 a), especially over the Southern Ocean because of an increase in cloud albedo, while the  
535 albedo discrepancy over snow and ice covered areas over the continent is less significant.  
536 This increase in albedo is also responsible for a decrease in the amount of shortwave ra-  
537 diation that reaches the ground surface (Figure 10d). On the other hand, the outgoing  
538 longwave radiative flux towards space diminishes due to colder cloud tops. Importantly,  
539 as liquid-bearing clouds are optically thicker, the IINP-hr simulation shows a much higher  
540 downward radiative flux ( $+3.5 \text{ W m}^{-2}$  in average between 1200 UTC, 14 February and  
541 2200 UTC, 16 February), leading to a significant surface warming over the ice sheet sur-  
542 face with respect to the ctrl simulation (panel f). The same conclusions can be drawn  
543 for the IINP and IINP-CTT simulations. It is also worth noting that although our new  
544 parameterizations targeted mid-level clouds, inspection of vertical profiles of cloud prop-  
545 erties over the whole simulation domain shows that boundary-layer clouds are also - but



**Figure 10.** Difference in cloud radiative effect (CRE) averaged over the whole duration of the study case at the top of the atmosphere (TOA, top row) and at the surface (bottom row) between the IINP-hr and ctrl WRF simulations (3-km resolution innermost domain). Panels a and d show the shortwave (SW) component, panels b and e the longwave (LW) component, and panels c and f the total difference. The black line is the Antarctic landfall and the green dot locates Mawson station.

546 to a lesser extent because of the warmer temperatures at lower altitude - modified with  
 547 higher SLW content (Figure S7). Figure 10 thus integrates combined effects from changes  
 548 on both mid-level and low-level clouds. Note also that the differences in CRE during the  
 549 whole event duration shown in Figure 10 depend not only on changes in cloud phase but  
 550 also on differences in cloud duration and cover. However, the CRE difference calculated  
 551 during the middle-phase of the event, i.e. during a period in which the whole domain  
 552 is covered by clouds in the two simulations, shows similar patterns as in Figure 10 but  
 553 also a slightly lower magnitude of the differences (not shown). This suggests a dominant  
 554 role of the change in cloud phase and a secondary but significant effect of the change in  
 555 cloud duration and cover.

## 4 Discussion

### 4.1 Remaining shortcomings in our simulations

Despite improvements regarding the simulation of SLW layers, shortcomings remain in our simulations whatever the physical configuration used. Amongst the most striking biases, Figures 5 and 8h evidence an insufficient low-level sublimation during the last day of the event that is associated with an overestimation of the relative humidity when comparing with radiosoundings. This aspect can be improved when accounting for secondary ice production through ice particle break-up (see Sect. 4 of the supporting information).

Figure 6 also reveals an overall underestimation of the LWP in all the simulations as well as issues concerning the timing of the LWP peaks. Changing the intensity of the 27-km resolution domain nudging or adding a nudging term on the temperature and/or the humidity fields has only little effect and does not alleviate those biases (not shown). A bias propagation from the ERA5 forcings into our inner simulation domains can thus not be excluded. Moreover, the absence of lidar measurements during the strong precipitation phase that coincides with the highest LWP values prevents us from precisely evaluating the SLW representation during this period. Warm frontal systems often exhibit SLW layers or patches within deep nimbostratus associated with embedded convective cells (Keppas et al., 2018). The MWACR data shows high values (in magnitude) of the Doppler velocity and of the Doppler spectral width at the top of the nimbostratus between 9 and 13 UTC, 15 February, suggesting that intense cloud-top turbulent updrafts may explain a significant part of the SLW production during this period. In addition to turbulence, Gehring et al. (2020) show that within a nimbostratus over Korea, the large scale ascent corresponding to the warm conveyor belt of an extra-tropical cyclone can be sufficient to create and sustain SLW. Comparing Figure 5 and Figure 7 shows that the IINP and IINP-hr simulations also exhibit SLW patches in the middle of the nimbostratus (between about 2000 and 3500 m) i.e. in an altitude range with low values of TKE in the model and low values of spectral width in the MWACR data. Further inspection of the resolved vertical velocity field in the model reveals that those SLW patches coincide with significant ascents (around  $+0.1 - 0.2 \text{ m s}^{-1}$ , not shown) but their realism cannot be assessed by comparison with our observational dataset. Hence, it remains difficult to disentangle whether the remaining biases in SLW quantity and timing dur-

588 ing the precipitation period are due to a poor representation of the turbulence at the top  
589 of the nimbostratus and/or to the modeling of the large-scale ascent associated with syn-  
590 optic dynamics.

#### 591 **4.2 INP, turbulence, vertical resolution: what matters the most for achiev-** 592 **ing the simulation of SLW layers?**

593 Our results highlight that without a realistic ice nucleation parameterization that  
594 accounts for the particularly low INP concentration over the high-latitude Southern Ocean,  
595 the representation of thin turbulent SLW layers and realistic SLW contents cannot be  
596 achieved. This conclusion holds whatever the vertical resolution tested, with or without  
597 additional subgrid turbulent mixing at cloud top. From the present analysis, the nature  
598 of the heterogeneous ice nucleation parameterization in atmospheric models, especially  
599 the representation of the limited INP numbers concentrations over this region, is an es-  
600 sential prerequisite to simulate the liquid phase in frontal mid-level mixed-phase clouds  
601 at high southern latitudes. Furthermore, it makes the model produce significant TKE  
602 near cloud top - which is missing in the ctrl simulation - due to enhanced radiative di-  
603 vergence. In our IINP and IINP-hr simulations, the persistence of the saturation with  
604 respect to liquid - and of the resulting SLW layer - mostly depends on a subtle compe-  
605 tition between air cooling (primarily due to radiative divergence, see Figure 9) and mois-  
606 ture removal associated with the growth of ice crystals. Increasing the vertical resolu-  
607 tion helps maintain the saturation because newly formed crystals get more easily sep-  
608 arated from the liquid layer while falling. One can refer to A. I. Barrett et al. (2017b)  
609 for further discussion on the link between SLW resilience and model vertical resolution.  
610 In IINP, although SLW continues to form at 1730 UTC, the ice particle growth (Figure  
611 9) makes the air under-saturated with respect to liquid after a few minutes. When the  
612 liquid layer disappears, the precipitating ice crystals falling towards the lower layer are  
613 not replaced by newly formed crystals and the total cloud water content decreases. The  
614 reappearance of SLW becomes impossible if other moistening processes (through advec-  
615 tion for instance) do not come into play or until the temperature reaches the dew point  
616 through radiative cooling. In contrast in IINP-hr, the atmospheric layer between 5600  
617 and 5750 m shows lower ice crystal concentration, a weaker vapor deposition on ice and  
618 significant radiative cooling (Figure 9), enabling the persistence of the SLW layer for sev-  
619 eral hours.

620 Even though the 100-m grid spacing in the mid-troposphere employed in the IINP-  
621 hr simulation helps reproduce the resilient thin SLW layers (at least qualitatively), it is  
622 probably still too coarse to accurately capture their fine vertical structure. Further in-  
623 creasing the vertical resolution in the WRF regional model would nonetheless not be rea-  
624 sonable for computation cost and physical reasons, especially in the perspective of long  
625 climate runs. In line with A. I. Barrett et al. (2017b), further work on the parameter-  
626 ization of the subgrid vertical distribution of cloud condensates in mixed-phase condi-  
627 tions would thus be needed, but this is beyond the scope of the present paper.

628 Regarding the representation of turbulence, the underestimation of the occurrence  
629 and intensity of cloud top mixing at coarse vertical resolution could be anticipated and  
630 motivated the implementation of an additional source term in the TKE equation. The  
631 latter parameterization leads to better agreement with  $\epsilon$  estimations from Doppler radar  
632 measurements during the front arrival and during the course of the event. However and  
633 unlike the increase in vertical resolution, this parameterization does not help sustain the  
634 SLW layer and conversely it can amplify its depletion if the  $\phi$  coefficient is set to a too  
635 high value. This apparent second role of turbulence for SLW resilience may be co-incident  
636 since the state-of-the-art MYNN local turbulent mixing scheme is likely inadequate for  
637 reproducing the top-down convection at mid-level cloud top. This may even question the  
638 physical representation of cloud droplet formation and growth in the model (see next sec-  
639 tion).

### 640 **4.3 The pressing need of revisiting the parameterization of cloud top** 641 **turbulence**

642 One aspect that particularly deserves further discussion is the representation of cloud  
643 top turbulence in the model. We have shown that in some cases, an additional source  
644 term in the TKE equation, compensating for the incomplete reproduction of the radia-  
645 tive cooling, helps obtain some TKE at cloud top. However the *local* TKE generation  
646 by buoyancy fluxes in the IINP-CTT and IINP-hr (and to a lesser extent in the IINP)  
647 simulations lead to a patch of TKE (or  $\epsilon$ ) that is vertically centered around cloud top  
648 liquid and that unrealistically diminishes the temperature inversion (Figure 8a,f and 9f).  
649 Even though our estimation of  $\epsilon$  only applies where the radar detects signal in the cloud,  
650 the sharp temperature inversion in the observations suggests that turbulent motions mostly  
651 occur within and below the cloud. Using turbulence data from aircraft measurements,

652 P. A. Barrett et al. (2020) show that the TKE maximum occurs several hundred meters  
653 below typical mixed-phase altocumulus top. Indeed, the turbulence structure within al-  
654 tocumulus consists of shallow small-scale eddies at cloud top below which an organized  
655 Rayleigh Bénard-type convection takes place with negatively buoyant air parcels that  
656 descend through the cloud layer in coherent downdrafts and force upward motion through  
657 mass continuity (Schmidt et al., 2014; P. A. Barrett et al., 2020). Subrotor circulations  
658 associated with ice virga shafts may also participate in the mixing below the cloud. Over-  
659 all the organized convection triggered at cloud-top cannot be represented by the typi-  
660 cal local turbulent mixing schemes used in atmospheric models like MYNN or all the cur-  
661 rent 1.5-order planetary boundary layer schemes in WRF. Moreover, the adiabatic cool-  
662 ing, the saturation with respect to liquid and the growth and vertical transport of droplets  
663 only occurs within updrafts. Considering each model layer as homogeneous in terms of  
664 temperature and humidity necessarily prevents the proper representation of the dynam-  
665 ics of turbulent mixed-phase clouds. Albeit satisfactory compared to simulations with  
666 the standard version of WRF, the representation of SLW layers in the IINP-hr config-  
667 uration may result from a partially non-physical interplay between turbulence and mi-  
668 crophysics. Adapting a non-local turbulent mixing parameterization based on a mass-  
669 flux scheme that treats separately a 'lifting' fraction and a 'subsiding' fraction of each  
670 mesh (see Hourdin et al., 2019 for instance) might be an interesting approach to tackle  
671 this issue in the future. Such types of scheme are already active in many atmospheric  
672 models to parameterize the mixing in convective ground-based boundary layers but they  
673 are not active aloft.

## 674 5 Conclusions

675 By using remotely-sensed measurements obtained during the MARCUS campaign,  
676 we have evaluated the ability of the WRF regional atmospheric model to reproduce the  
677 thin and turbulent layers of SLW at the top of frontal mixed-phase clouds over the high-  
678 latitude Southern Ocean.

679 While the control simulation did not exhibit any cloud liquid water above the bound-  
680 ary layer, we found that modifying the ice nucleation parameterization through the im-  
681 plementation of a truly representative INP concentrations measured around the time of  
682 the event considerably improved our simulation results. We can thus infer that adapt-  
683 ing the ice nucleation parameterization to the particularly pristine conditions prevail-

684 ing over the Southern Ocean is essential for atmospheric models running over this re-  
685 gion, in agreement with the conclusions of Vergara-Temprado et al. (2018). Refining the  
686 vertical resolution in the troposphere led to slightly higher liquid water content, but, first  
687 and foremost, it allowed us to simulate more stable-in-time SLW layers and to simulate  
688 vigorous and frequent turbulence within clouds. At coarse vertical resolution, the enhanced  
689 cloud-top radiative cooling associated with the cloud droplet production still made it pos-  
690 sible to simulate some turbulence in mid-level clouds. An additional parameterization  
691 for cloud-top turbulence generation further led to more realistic comparison with radar  
692 estimations of the TKE dissipation rate during specific periods like during the arrival  
693 of the warm front, but it does not help sustain the SLW layer at altocumulus top.

694 Our changes in the model physics considerably modified the simulated CRE dur-  
695 ing the event. Amongst the most prominent signals, we could point out a pronounced  
696 decrease in CRE at the ocean surface due to more shortwave radiation reflected toward  
697 space by the more realistic SLW layers and an increase in CRE at the ice sheet surface  
698 owing to an enhanced downward longwave radiative flux. Despite improvements regard-  
699 ing the simulation of SLW, the timing and the correct quantity of the LWP were still not  
700 satisfactorily reproduced, questioning the representation of cloud-top liquid layers and/or  
701 embedded liquid patches within clouds during the precipitation period.

702 Albeit very promising, our new ice nucleation parameterization based on an INP  
703 concentration formulation that only depends on temperature cannot be fully satisfac-  
704 tory since it does not account for the true link between aerosol populations and ice nu-  
705 cleation. This calls for a future more accurate aerosol-aware formulation for INPs in the  
706 high-latitude Southern Ocean.

707 Importantly, the way turbulent mixing at cloud top is represented - and hence the  
708 physical representation of liquid droplet condensation and growth in mixed-phase clouds  
709 - remains questionable since the local 1.5 order turbulent mixing parameterization does  
710 not properly account for non-local convective transport and since it does not treat sep-  
711 arately the respective evolution of rising and subsiding air parcels. This invites further  
712 parameterization development targeting the top-down convection at cloud-top, taking,  
713 for instance, inspiration from mass-flux schemes used to treat the mixing by thermal plumes  
714 in convective boundary-layers.

715 Although our work has focused on one single event, Alexander et al. (2020) found  
716 that the cloud/precipitation structure and the ubiquitous occurrence of SLW layers dur-  
717 ing this event share many similarities with other synoptic cyclone events over the high-  
718 latitude Southern Ocean. Our conclusions regarding the model performances and the nec-  
719 essary changes in the cloud parameterization can thus be very likely extended to the over-  
720 all representation of mid-level clouds over the Southern Ocean, at least in summer.

721 Last but not least, our work does not enable us to draw any robust conclusions about  
722 the ability of WRF to reproduce the low-level mixed-phase clouds which have strong ra-  
723 diative effects at the surface in our study case and which explain the major part of the  
724 radiative bias over the Southern Ocean in CMIP models. Future studies are thus needed  
725 to broach this aspect, tackling in particular the coupling - or decoupling - between clouds  
726 and the ocean surface, the effect of surface evaporation and the interactions with the boundary-  
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747 in this study are available here: [https://atmos.uw.edu/~roj/MARCUS\\_and\\_MICRE/](https://atmos.uw.edu/~roj/MARCUS_and_MICRE/). ERA5  
 748 reanalyses can be freely downloaded from the Copernicus climate data store ([https://  
 749 cds.climate.copernicus.eu](https://cds.climate.copernicus.eu)). The original WRF code is open source. All the simu-  
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