

# Radar remote sensing of rainfall

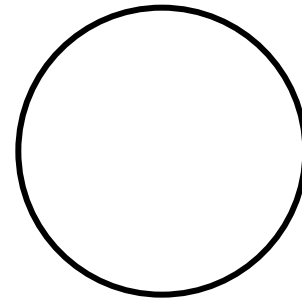
Doppler radar signal theory and spectral estimation

**Herman Russchenberg**

# A bit about observations

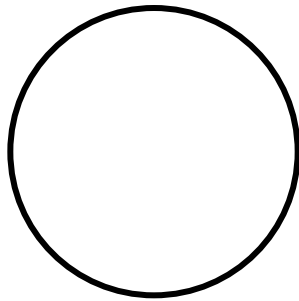


# How to describe an apple just by looking at it?



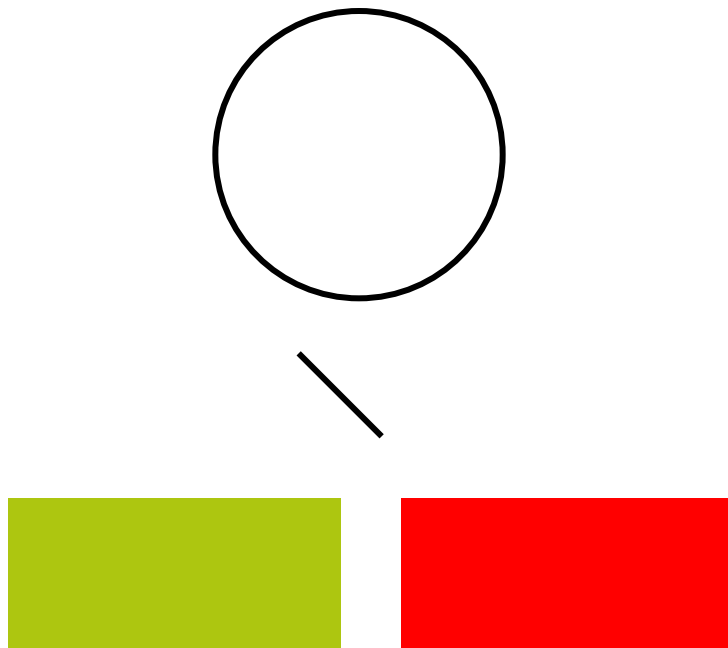
An apple is round and is green and/or red

# And now backwards!



It is round, red and/or green, and therefore an apple?

# We need a priori knowledge



When we know we are looking at apples, then we can describe its properties:  
it is a nice round, red and/or green apple.



# The complexity of the inverse problem

- How to describe the characteristics of an object
- With a limited number of observed parameters
- With sufficient accuracy?

# What do we measure with a radar?

## Signals

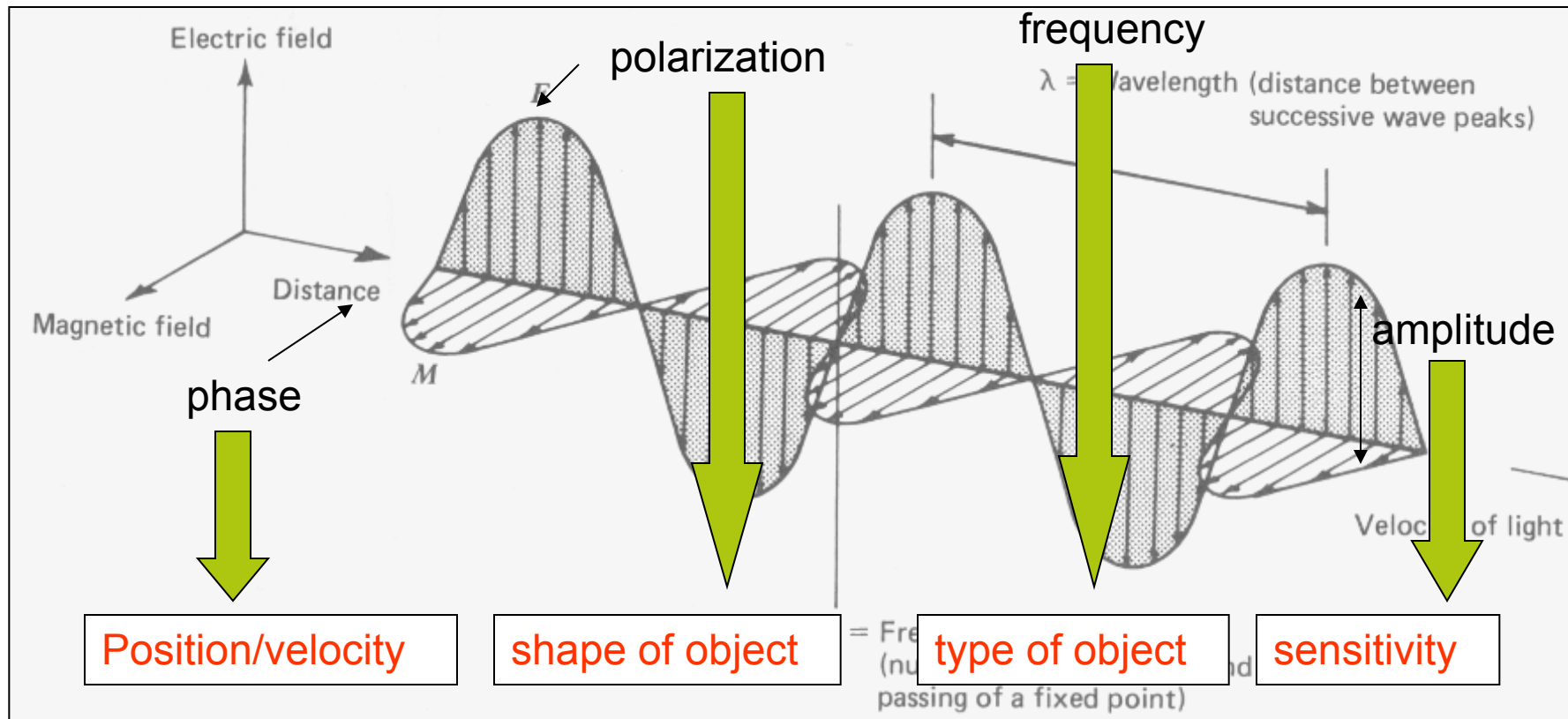
amplitude  
phase  
polarization  
frequency

Without interpretation meaningless parameters!

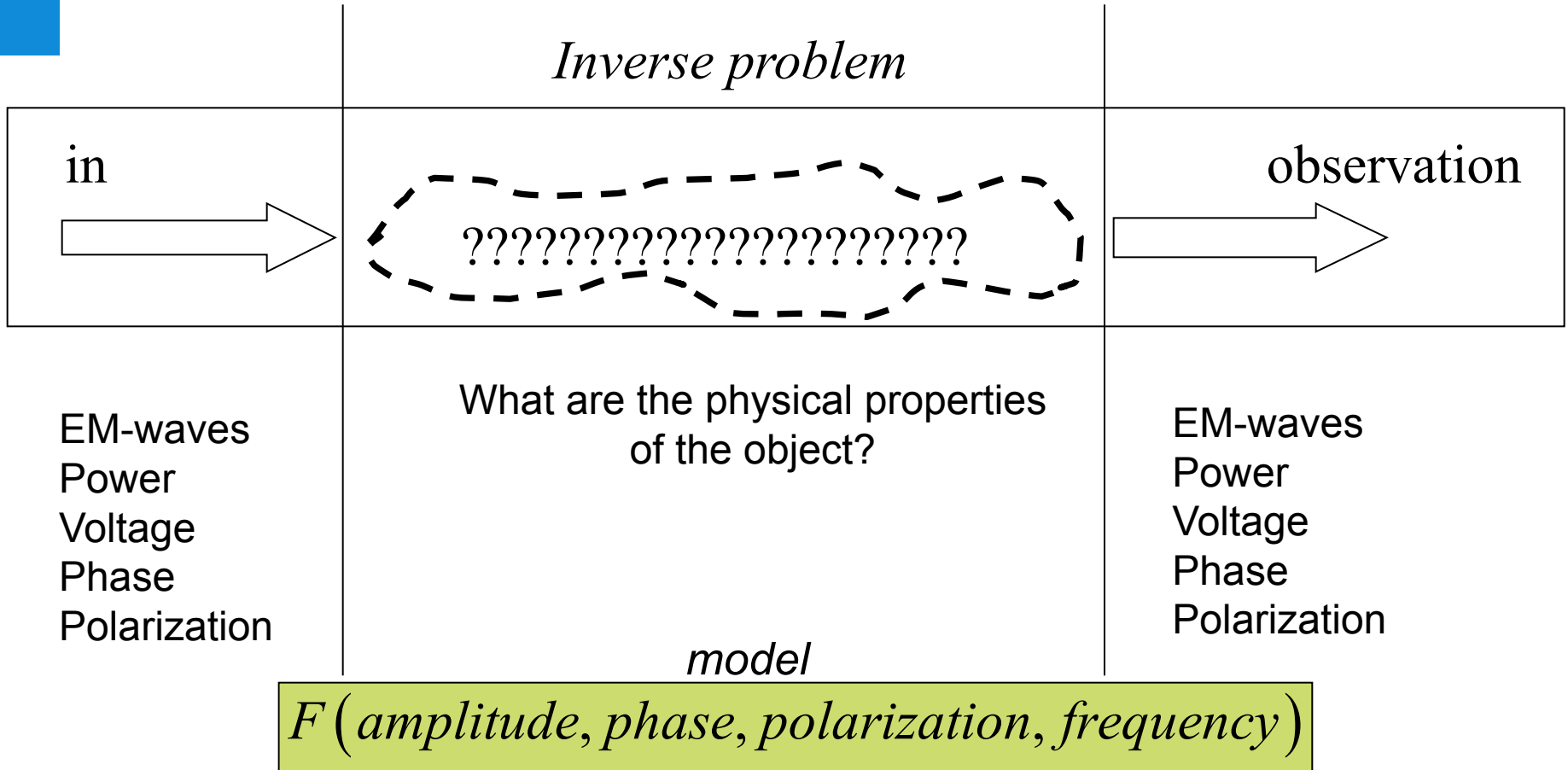
For remote sensing: we want to derive the physical properties of system earth



# How can we use EM-waves?



# Signals and meaning



# Applications

*F (amplitude, phase, polarization, frequency)*

EM-waves  
Power  
Voltage  
Phase  
Polarization



Rainfall, clouds, wind, greenhouse gases  
Sea temperature, soil moisture, vegetation  
Ocean currents, polar ice, glaciers



EM-waves  
Power  
Voltage  
Phase  
Polarization



Questions:  
how much rain, ice, gas etc?

# Signals and meaning, 2

$$out = F(x, y, z)$$

What is the accuracy of 'out'?

$$\left| \frac{\Delta out}{out} \right|^2 = \left| \frac{\Delta F(x, y, z)}{x} \Delta x \right|^2 + \left| \frac{\Delta F(x, y, z)}{y} \Delta y \right|^2 + \left| \frac{\Delta F(x, y, z)}{z} \Delta z \right|^2$$

So we must know the accuracy of x,y,z

And the required accuracy of the signal comes from the model. Suppose a simple model: the model output is related to the power P:

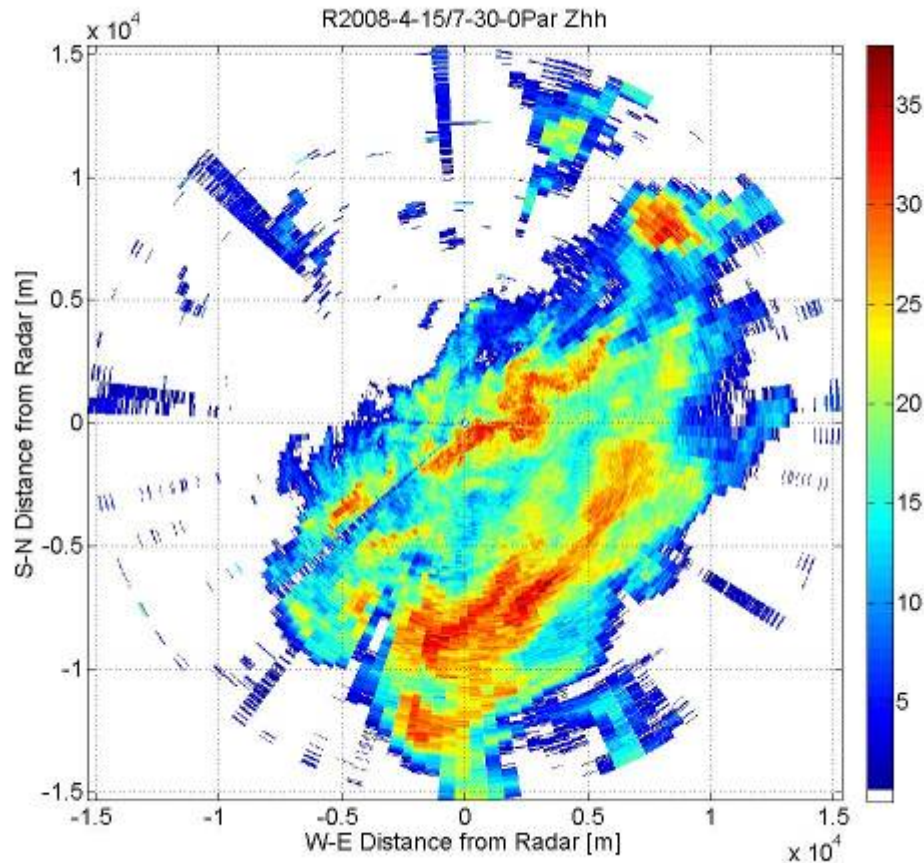
$$out = \alpha P^\beta \rightarrow \Delta out = \alpha \beta P^{\beta-1} \Delta P \rightarrow \frac{\Delta out}{out} = \frac{\alpha \beta P^{\beta-1} \Delta P}{\alpha P^\beta} = \beta \frac{\Delta P}{P}$$

$$\frac{\Delta P}{P} = \frac{1}{\beta} \frac{\Delta out}{out}$$

Needed accuracy of observed power

Required accuracy of parameter of interest

# Example of model: weather radar



Radar reflectivity factor  $Z$

$$Z = aR^b$$

Rainfall rate  $R$   
 $a, b$  constants

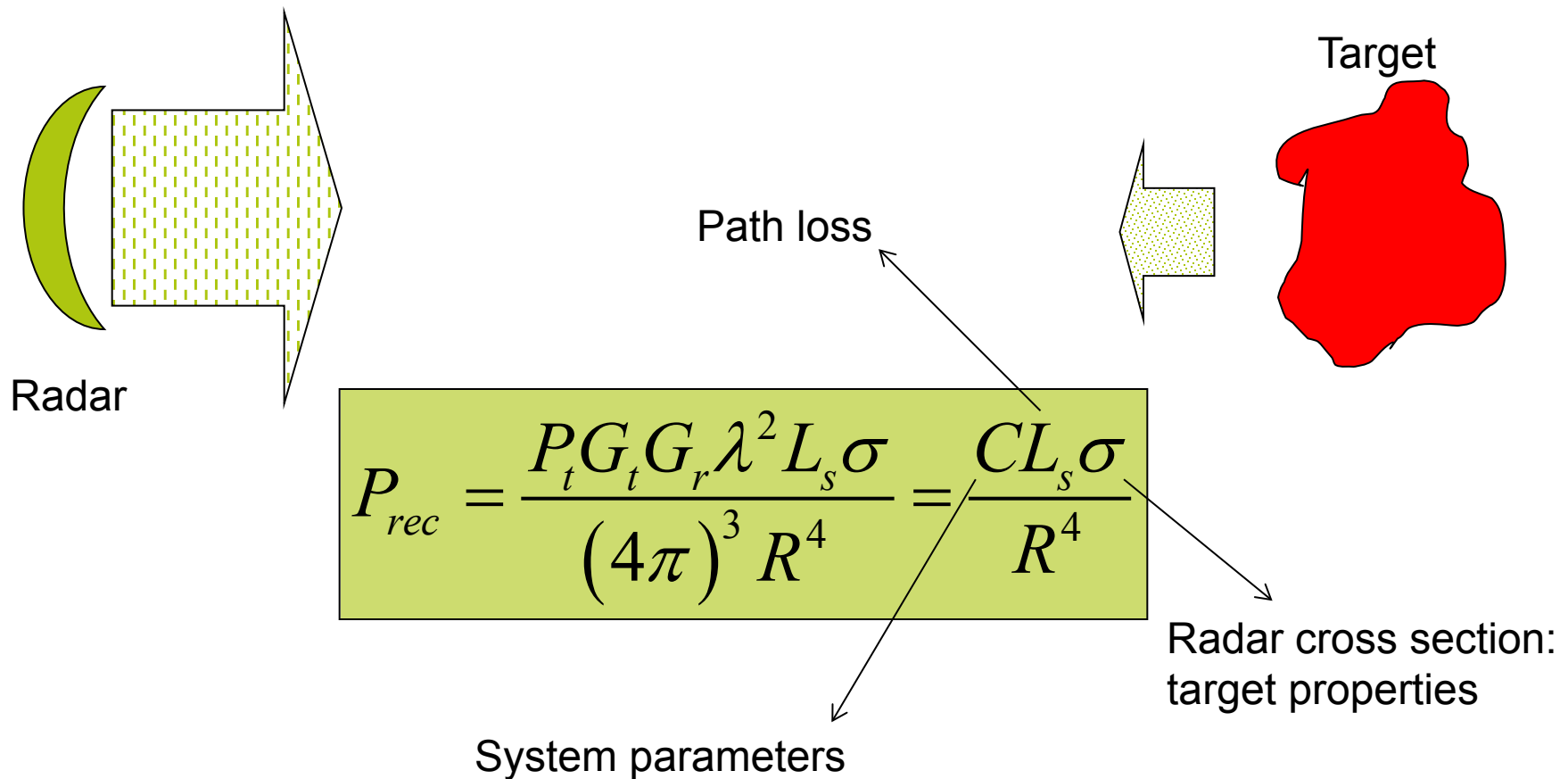
$b \sim 1.5$ :

If we want to know  $R$   
Within 10% then  $Z$  has to  
be measured within 15%

# How to achieve the required accuracy?

- Understand and quantify error sources
- Understand and quantify signal behaviour
- Reduce noise

# Back to the radar equation





# Example: the target is a volume filled with raindrops

Radar cross-section of volume

$$\sigma_{vol} = \sum_k^{N_{tot}} \sigma_k = \sum_i^{N_{m3}} \sigma_i \cdot Volume$$

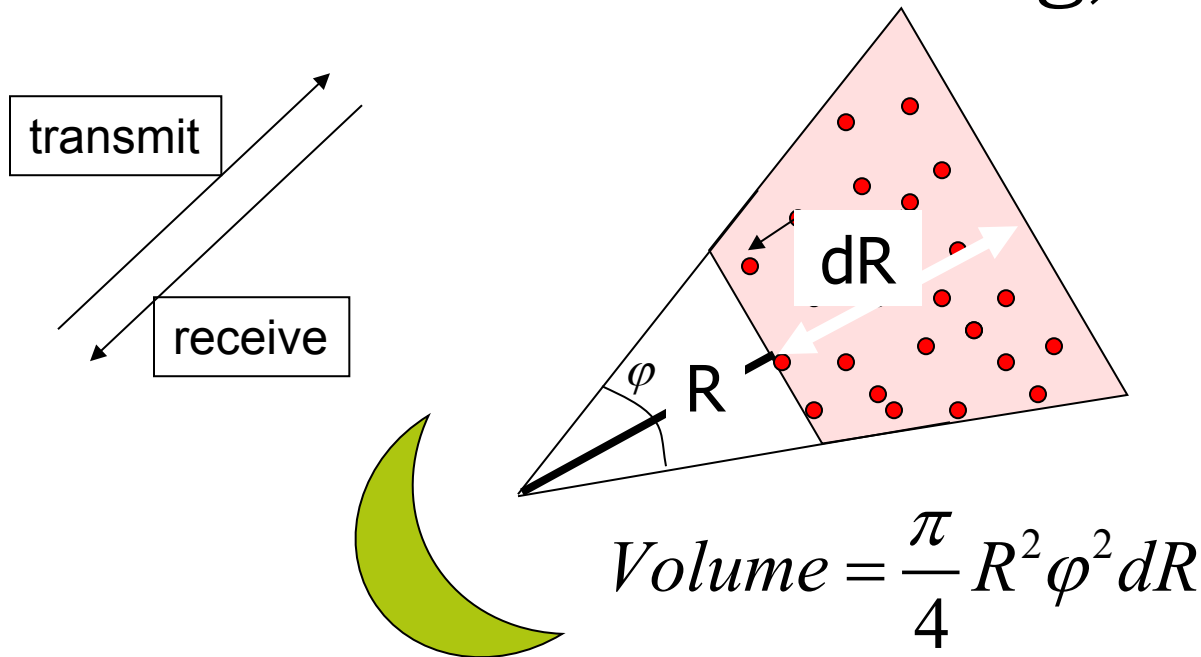
$$\sigma_{vol} = \eta \cdot Volume$$

reflectivity

Summation over complete volume

Summation over cubic meter

# Radar equation for volume scattering, 1



$$Volume = \frac{\pi}{4} R^2 \phi^2 dR$$

$$P_{rec} = \frac{P_t G_t G_r L_s \lambda^2}{(4\pi)^3 R^4} \sigma_{tot} = \frac{P_t G_t G_r L_s \lambda^2}{(4\pi)^3 R^4} \eta \cdot Volume = \frac{P_t G_t G_r L_s \lambda^2 \phi^2 dR}{64\pi^2 R^2} \eta$$

# Radar equation for volume scattering, 2

$$P_{rec} = \left( \frac{P_t G_t G_r \lambda^2 \pi \phi^2}{64 \pi^2 R^2} dR \right) \cdot L_s \eta = CL_s \eta$$

Deterministic:  
Calibration of system  
To reduce errors

Stochastic:  
Signal processing to reduce errors

# Radar equation for volume scattering, 3

Since the target is of stochastic nature, we need more measurements and integrate. The brackets denote time-average:

$$\langle P_{rec} \rangle = \left( \frac{P_t G_t G_r \lambda^2 \pi \phi^2}{64 \pi^2 R^2} dR \right) \cdot \langle L_s \eta \rangle = C \langle L_s \eta \rangle$$

**How do we know how long we have to average?**

We have to know the variance of the signal: theory of signal statistics

# Signal statistics, time series, $N$ samples

Voltage

$$V[n], n = 1, 2, \dots, N$$

Mean power

$$\bar{P} = \frac{1}{N} \sum_n V[n]V^*[n]$$

Variance

$$\text{var}(\bar{P}) = \frac{\bar{P}^2}{N} \sum_{l=-(N-1)}^{(N-1)} \left(1 - \frac{|l|}{N}\right) \rho_P[l]$$

Uncorrelated samples

$$\rho_P[0] = 1 \wedge \rho_P[l] = 0 \quad (l \neq 0) \rightarrow \text{var}(\bar{P}) = \frac{\bar{P}^2}{N}$$

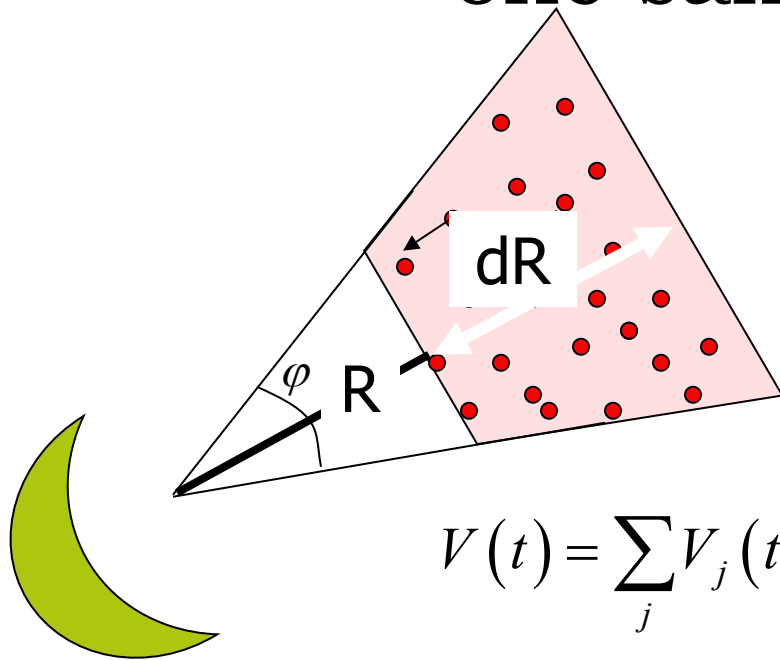
# Estimation of mean power

The variance of the signal can be reduced with averaging

Q: How to determine the number of samples in advance?  
A: We have to know the statistical properties of the signal.

**Statistical distribution of power, voltage, phase**

# Signal statistics for volume scattering; one sample, many drops



Every drop  $j$  gives a complex voltage  $V_j$   
With amplitude and phase

$$V(t) = \sum_j V_j(t) = \sum_j \text{Re}(V_j(t)) + \sum_j \text{Im}(V_j(t))$$

Phase of  $V(t)$  uniformly distributed

From statistics: **many particles** > central limit theorem:

Gaussian distribution of real and imaginary part

# Statistical model of radar signal in case of volume scattering

$$V(t) = I(t) + jQ(t)$$

$$\langle I(t) \rangle = \langle Q(t) \rangle = 0$$

$$\text{Var}(I^2(t)) = \text{Var}(Q^2(t)) = \sigma^2$$

$$E(I(t_1)I(t_2)) = E(Q(t_1)Q(t_2)) = \sigma^2 \rho_0(t)$$

$$E(I(t_1)Q(t_2)) = E(Q(t_1)I(t_2)) = \sigma^2 \alpha_0(t)$$



# Statistical model of radar signal: probability density functions

amplitude  $f(|V|) = \frac{|V|}{\sigma^2} \exp\left(\frac{-|V|^2}{2\sigma^2}\right)$

phase  $f(\theta) = \frac{1}{2\pi}$

power  $f(P) = \frac{1}{2\sigma^2} \exp\left(\frac{-P}{\bar{P}}\right) = \frac{1}{\bar{P}} \exp\left(\frac{-P}{\bar{P}}\right)$

# Probability density functions

Known pdf's:

Known mean,  
Variance etc

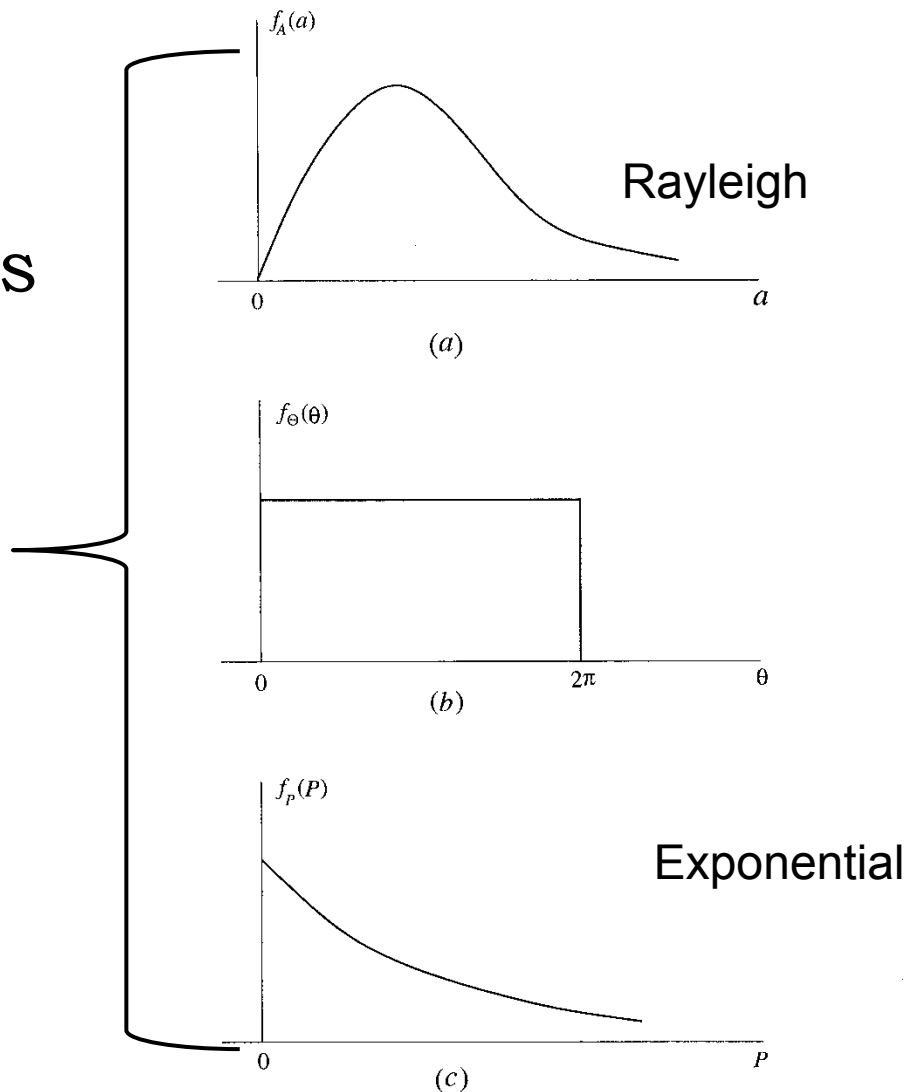


Fig. 5.33. Probability density function of (a) signal amplitude, (b) signal phase, and (c) signal power.

# Statistical moments of voltage and power

$$\langle |V| \rangle = \sigma \sqrt{\frac{\pi}{2}}; \text{var}(|V|) = \frac{4 - \pi}{2} \sigma^2$$

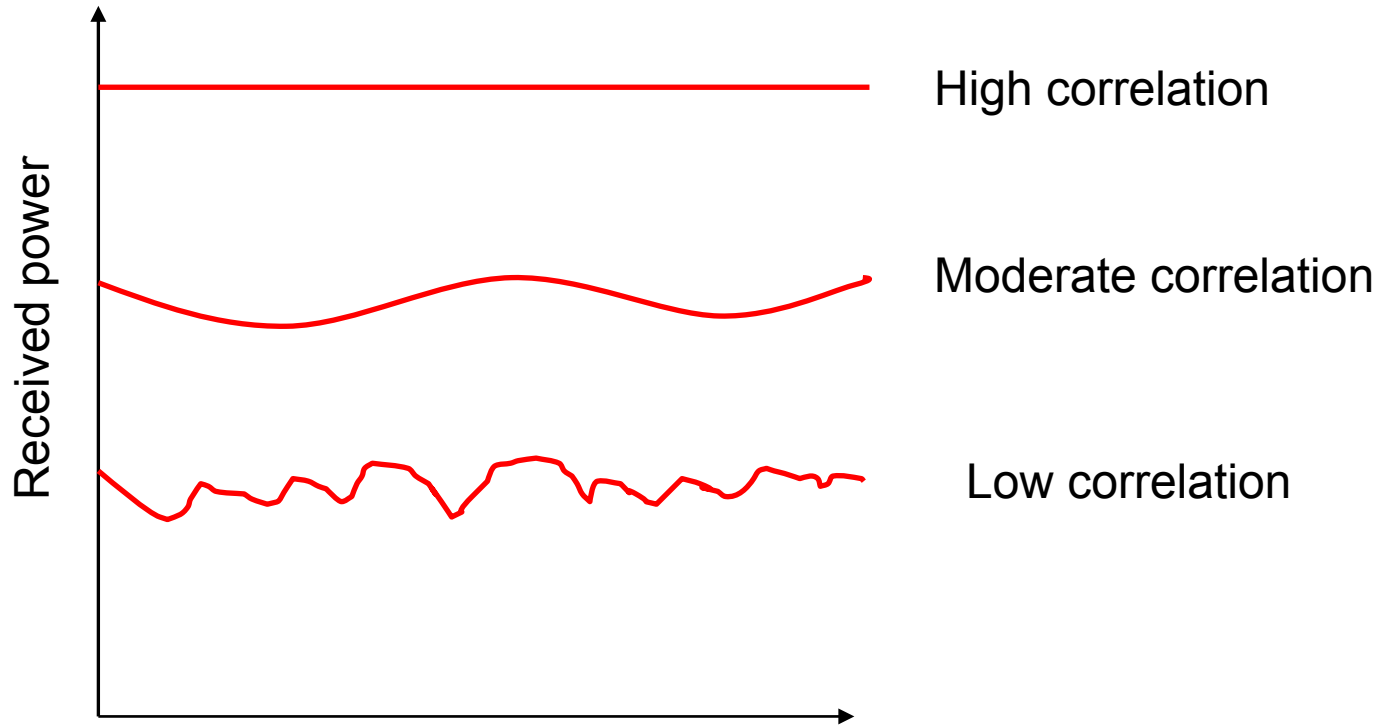
$$\langle P \rangle = \bar{P}; \text{var}(P) = \bar{P}^2$$

*One sample*

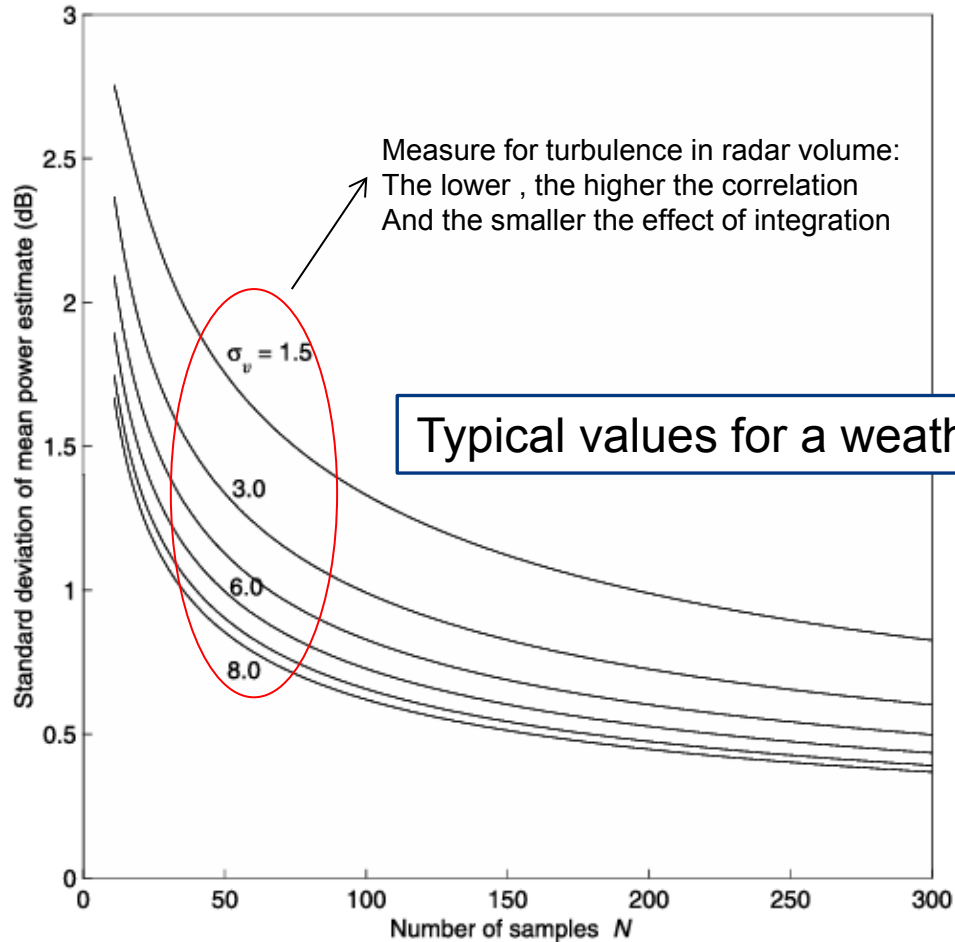
*N samples*

$$\rho_P[0] = 1 \wedge \rho_P[l] = 0 \quad (l \neq 0) \rightarrow \text{var}(\bar{P}) = \frac{\bar{P}^2}{N}$$

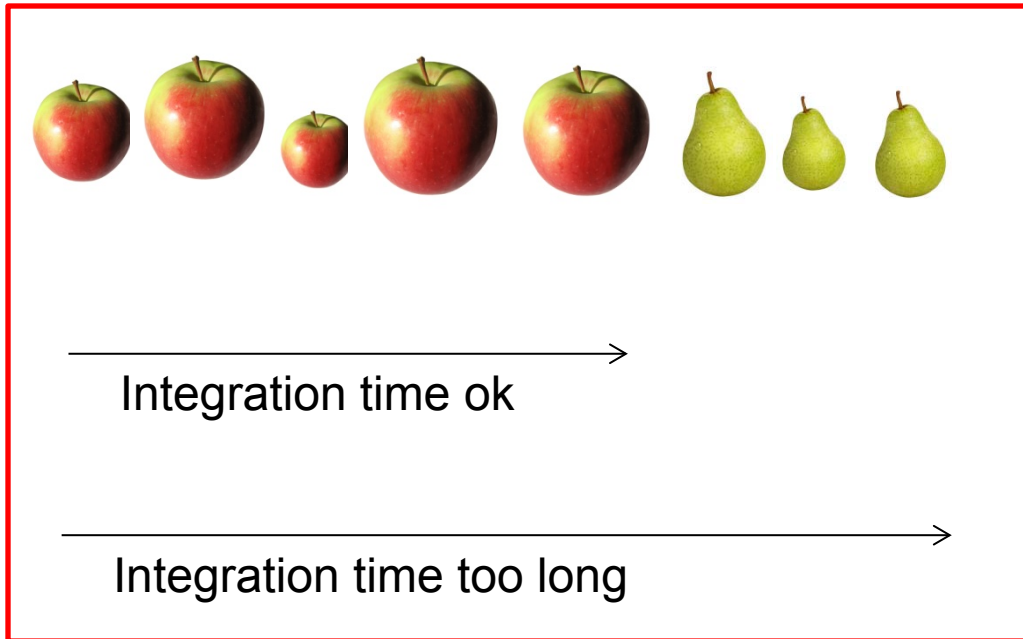
# What is correlation?



# Predicted accuracy based on signal model

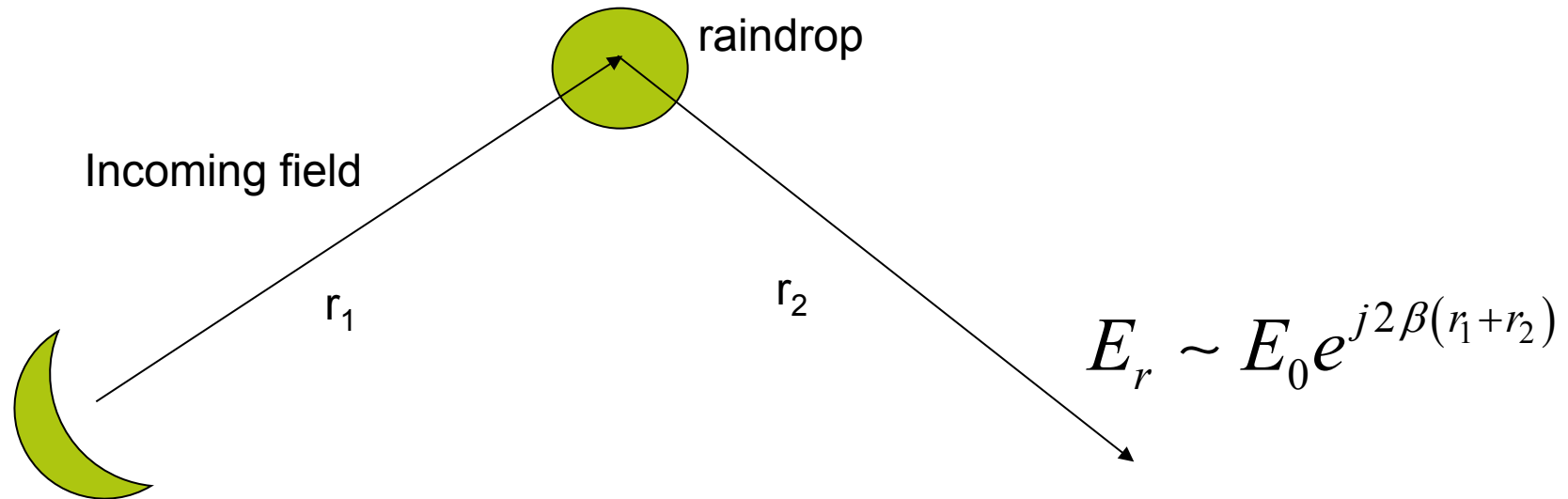


# Trade-off integration time



The physical properties of the target may not change too much during the integration time: the result becomes meaningless!

# Signal basics weather radar, 1

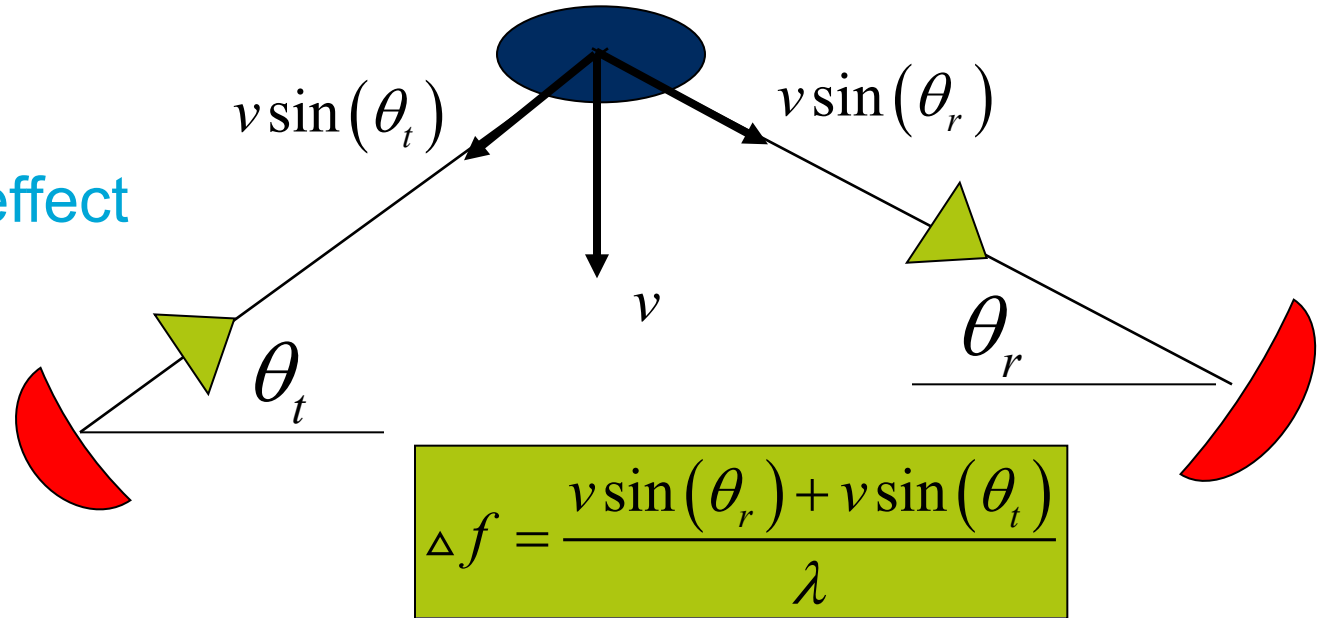


$$\varphi = 2\beta(r_1 + r_2) \rightarrow \frac{\partial \varphi}{\partial t} = 2\beta \frac{\partial (r_1 + r_2)}{\partial t} = 2\beta(v_1 + v_2) = \omega = 2\pi f$$

$$\beta = \frac{2\pi}{\lambda} \rightarrow f = \frac{2(v_1 + v_2)}{\lambda}$$

# Signal basics weather radar,2

## The Doppler effect



Forward scatter:

$$\theta_r = \theta_t + \pi \rightarrow \Delta f = 0$$

Backscatter:

$$\theta_r = \pi - \theta_t \rightarrow \Delta f = \frac{2v}{\lambda} \sin(\theta_r)$$

**Doppler shift is only representative for the velocity along the antenna beam**



# Signal basics weather radar,3

Maximum phase shift  $\varphi_{\max} = \pm\pi$

$$\varphi_{\max} = 2\pi fT_0 = 2\pi \frac{2v}{\lambda} T_0$$

$$v_{\max} = \pm \frac{\lambda}{4T_0}$$

Maximum unambiguous Doppler velocity

Time between two samples

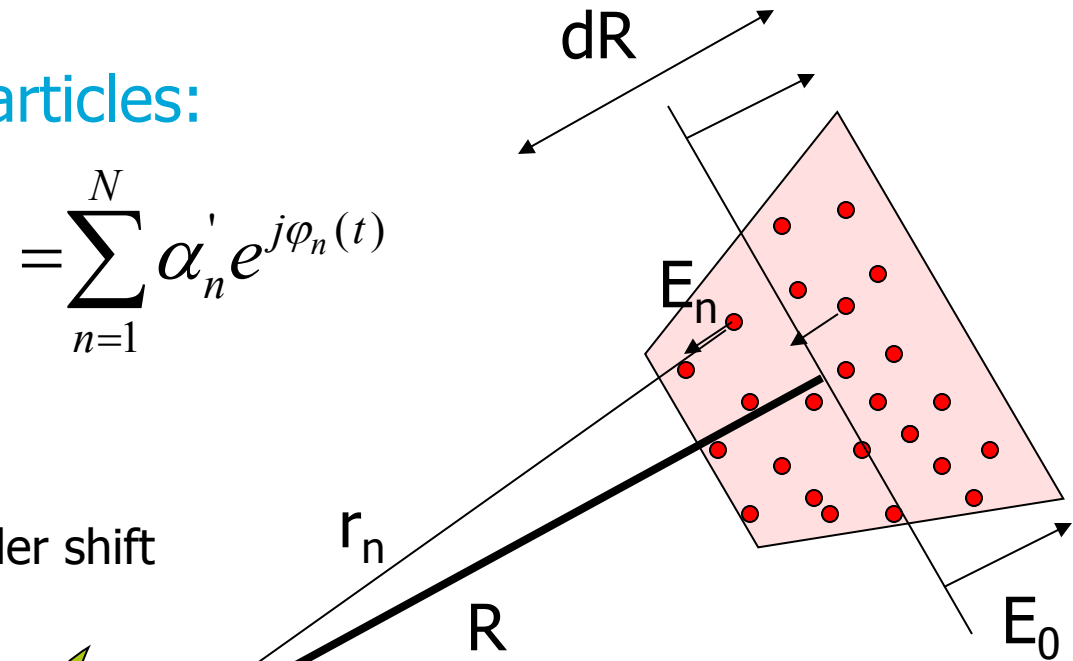
# Signal basics weather radar,4

Scattering by N particles:

$$E_{tot}(t) = \sum_{n=1}^N E_n(t) = \sum_{n=1}^N \alpha'_n e^{j\varphi_n(t)}$$

$$\varphi_n(t) = \frac{2\pi r_n}{\lambda} + \frac{2v}{\lambda} t$$

Doppler shift



$$E_{r,n}(t)_r = \alpha_n E_0 \left( t - \frac{2R}{c} \right) e^{j2\pi \frac{2v}{\lambda} t}$$

$dR \ll R$

# Signal basics weather radar, 5

$$E_{tot} = \sum_n^N E_{r,n}(t) = \sum_n^N \alpha_n E_0 \left( t - \frac{2R}{c} \right) e^{j2\pi \frac{2v}{\lambda} t}$$

Received power

$$P = \frac{1}{2} |E_{tot}|^2 = \frac{1}{2} \sum_n^N |\alpha_n|^2 +$$

coherent

$$\frac{1}{2} \text{Re} \left\{ \sum_i^N \sum_{j \neq i}^N \alpha_i \alpha_j E_{0,j} \left( t - \frac{2R}{c} \right) E_{0,i}^* \left( t - \frac{2R}{c} \right) e^{j2\pi \Delta f_{ij} t} \right\}$$

# Signal basics weather radar,6

Doppler information is coded in the signal phase:

*a power measurement is not sufficient*

*complex processing is required to retrieve the particle speed*

*e.g. fourier transforms, autocorrelation functions*

# Basis of spectral processing

one radar cell, one distance

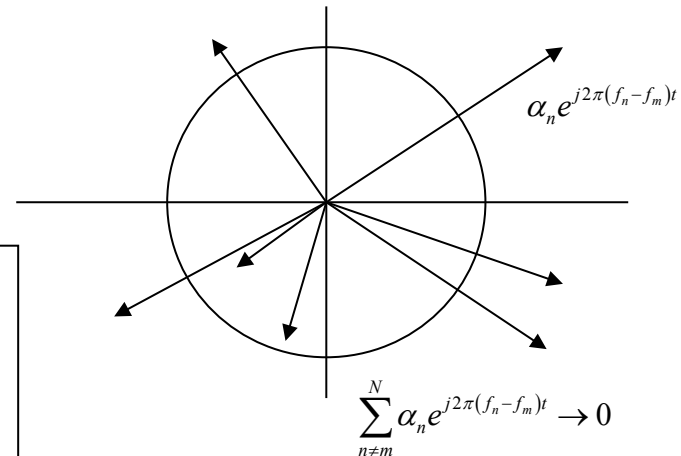
$$E_{tot} = \sum_n^N \alpha_n(t) e^{j2\pi \frac{2v_n t}{\lambda}} = \sum_n^N \alpha_n(t) e^{j2\pi f_n t}; \quad E_0\left(t - \frac{2R}{c}\right) = 1 \text{ for sake of simplicity}$$

$$E_{tot}(f_m) = \frac{1}{T} \int_t^{t+T} \left( \sum_n^N \alpha_n(t) e^{j2\pi f_n t} e^{-j2\pi f_m t} \right) dt = \frac{1}{T} \int_t^{t+T} \left( \sum_n^N \alpha_n(t) e^{j2\pi (f_n - f_m)t} \right) dt$$

$$E_{tot}(f_m) = \frac{1}{T} \int_t^{t+T} \left( \alpha_m(t) + \sum_{n \neq m}^N \alpha_n e^{j2\pi (f_n - f_m)t} \right) dt$$

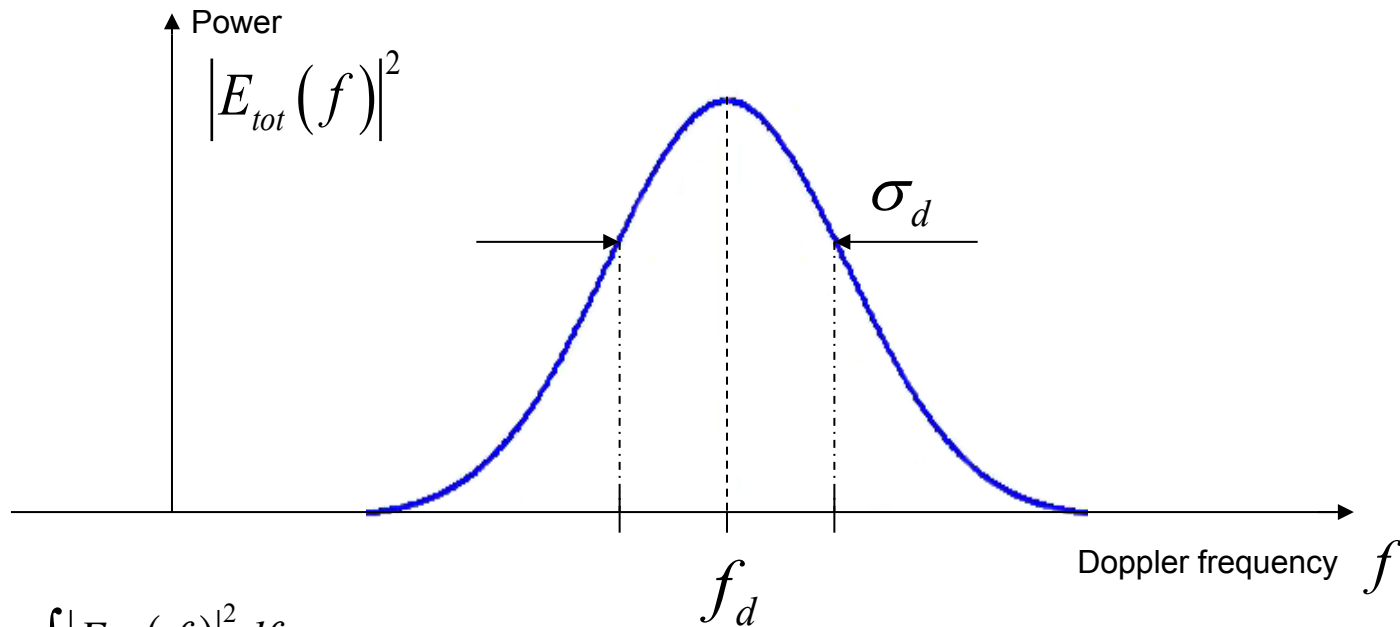
$$E_{tot}(f_m) = \frac{1}{T} \int_t^{t+T} \alpha_m(t) dt$$

Mean amplitude of all Scatterers with Doppler Frequency  $F_m$  and velocity  $V_m$



Basis of fourier transform

We obtain a spectrum of all frequencies

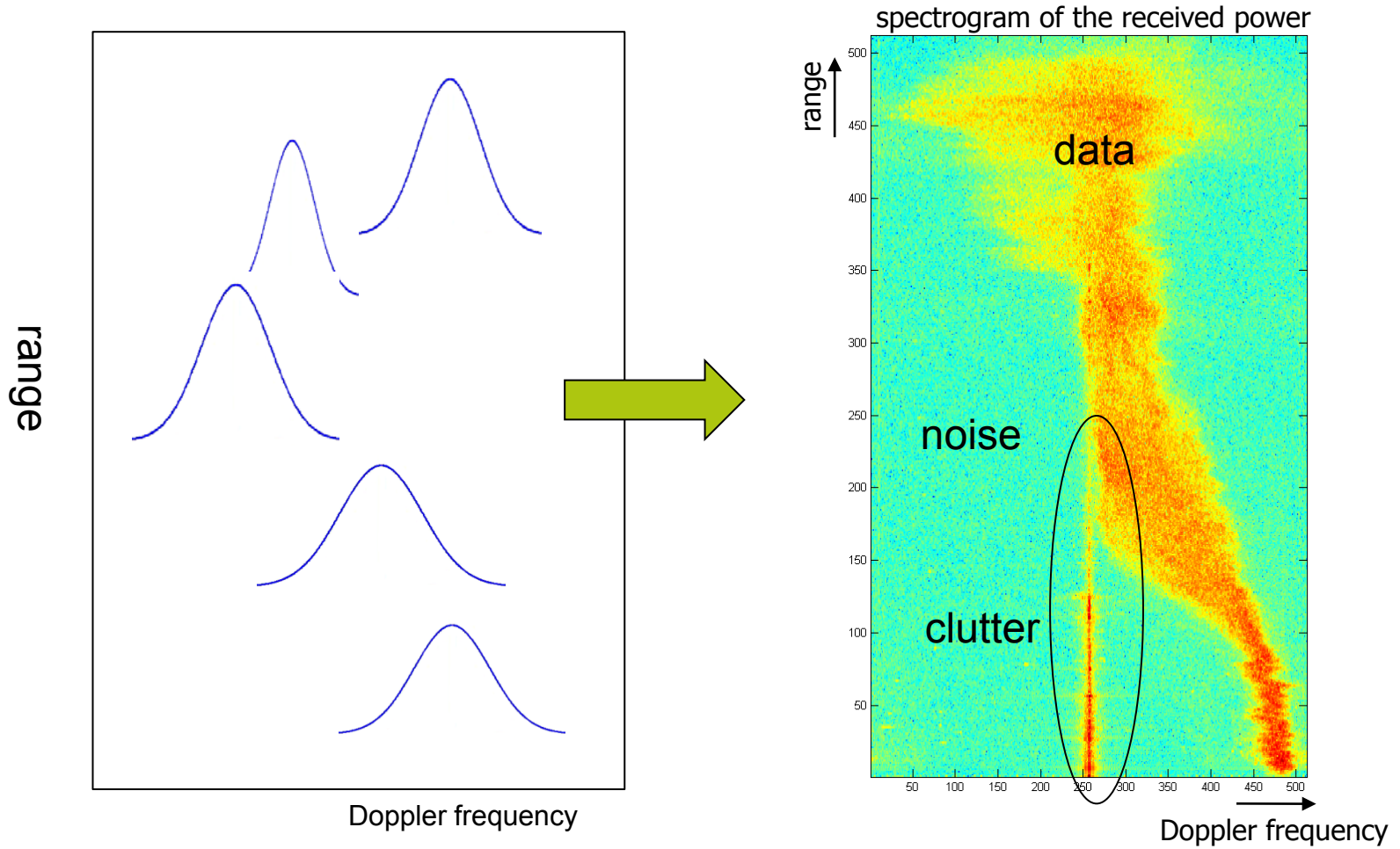


$$P_{tot} = \int |E_{tot}(f)|^2 df \quad \text{Total power}$$

$$f_d = \frac{1}{P_{tot}} \int f |E_{tot}(f)|^2 df \quad \text{Mean doppler frequency}$$

$$\sigma_d = \sqrt{\frac{1}{P_{tot}} \int (f - f_d)^2 |E_{tot}(f)|^2 df} \quad \text{Doppler Width}$$

Repeat the procedure for all distances  $R$



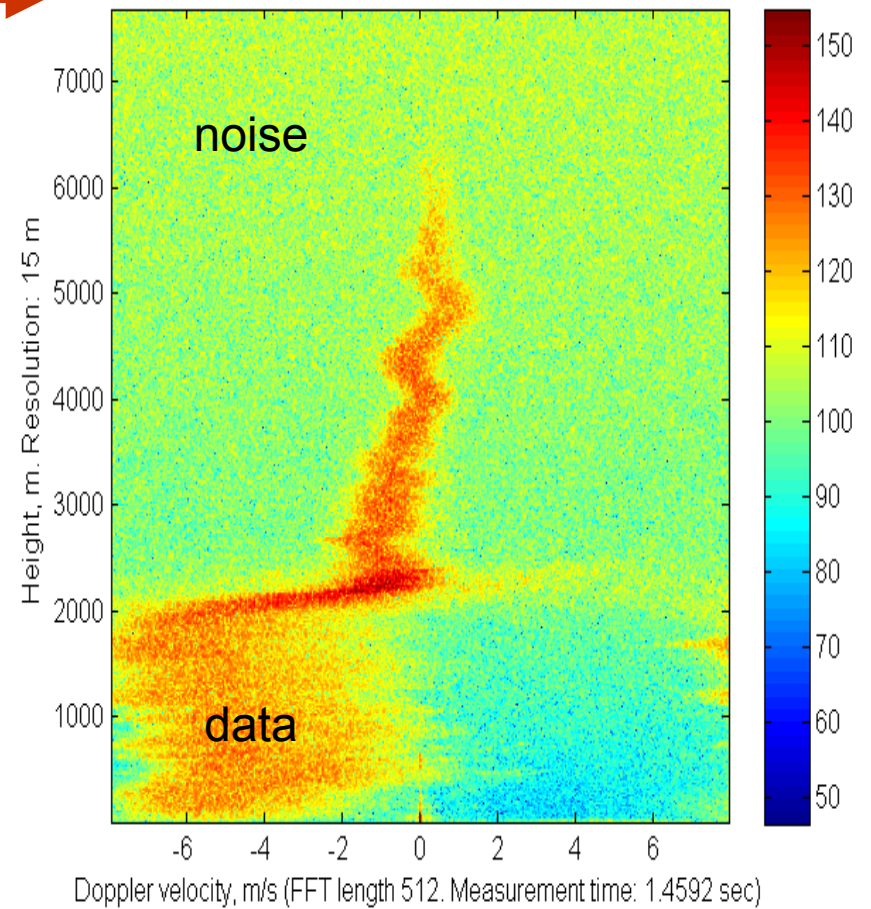
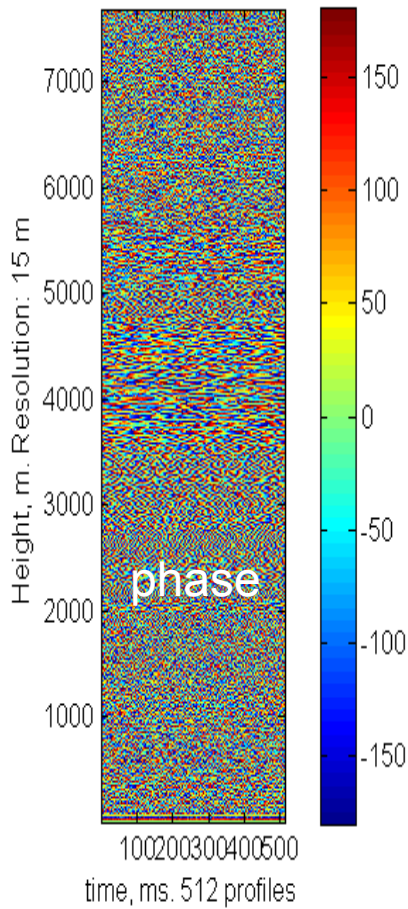
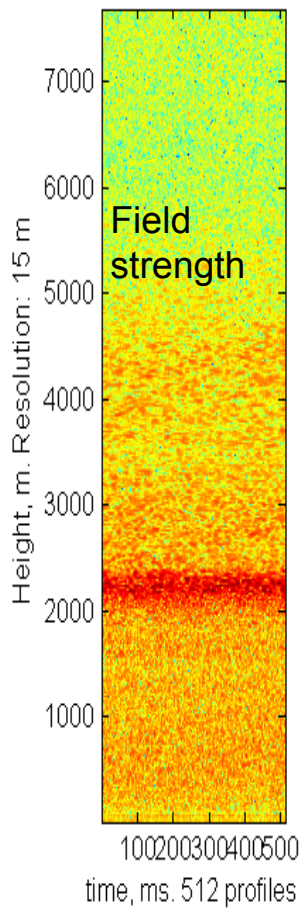
# Raw radar signal and Doppler spectra

"rain\_8.mat", 1 Sequence of input amplitudes, [dB]

Sequence of input phases, [degree]

Fast  
Fourier  
Transform  
→

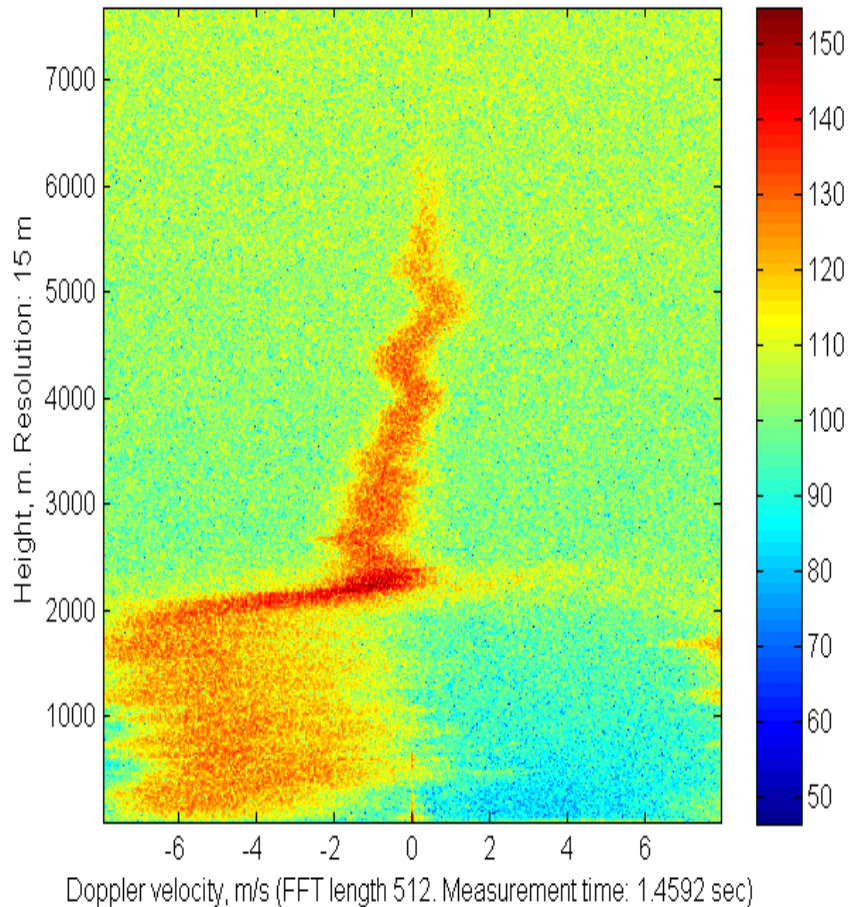
File: "rain\_8.mat", 1 Spectrogram ( $v_D, H$ ), dB





# Spectrogram = Doppler spectra at every height

File: "rain\_8.mat", 1 Spectrogram ( $v_D, H$ ), dB



## Further necessary steps

Remove the noise

Signal clipping at a certain threshold

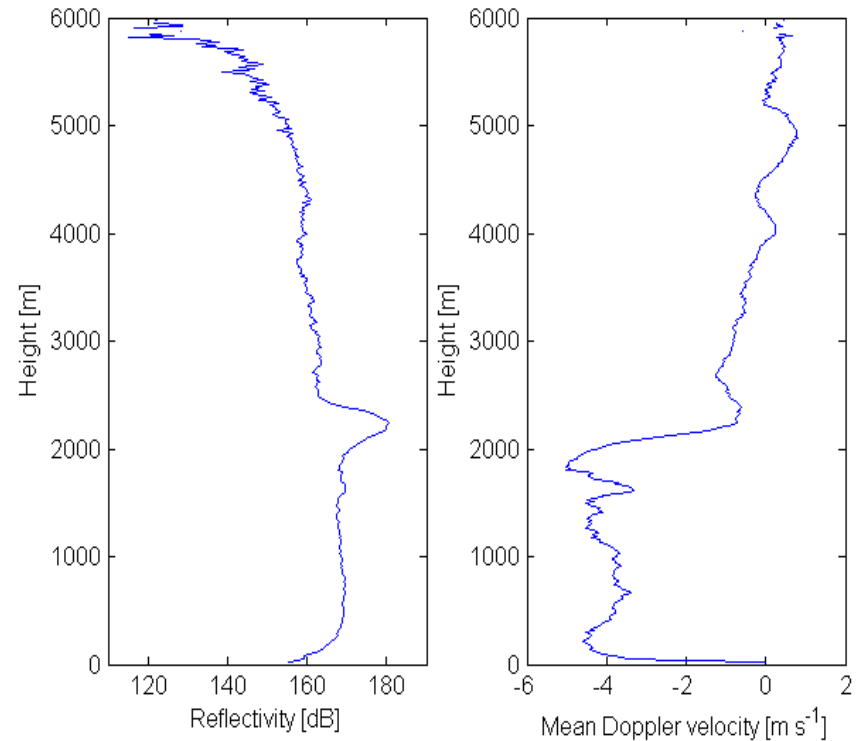
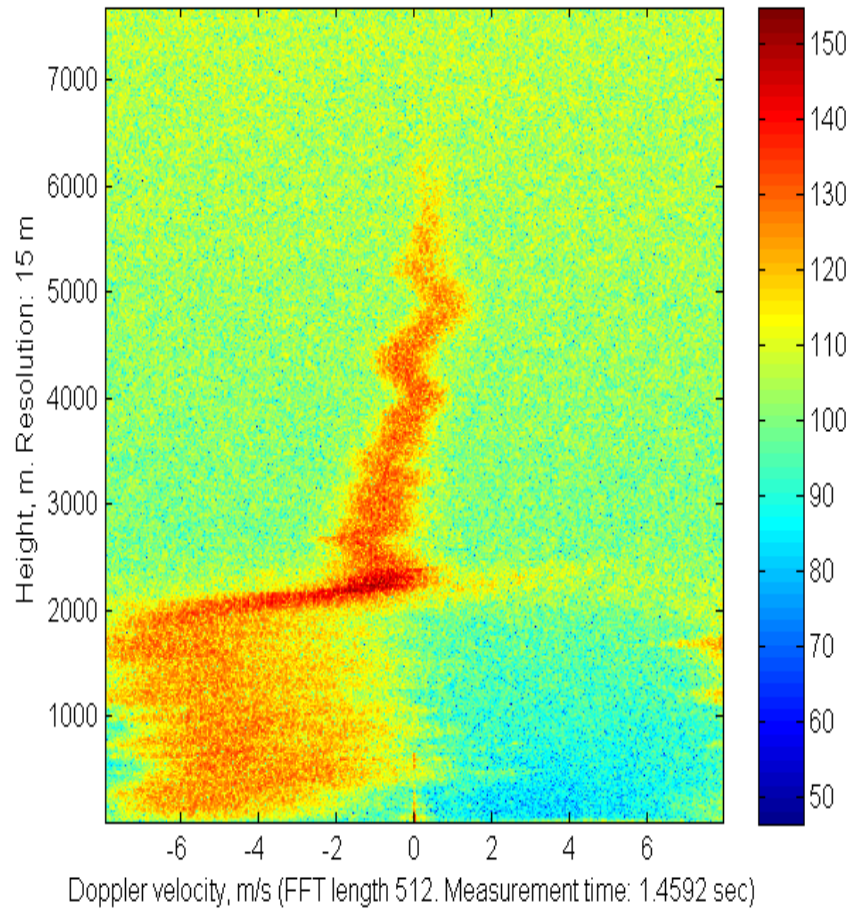
Remove clutter

Filter around  $v=0$  m/s

Calculate the total power, mean doppler Frequency, and the spectral width

# From spectrogram to resulting profiles of power and mean

File: "rain\_8.mat", 1 Spectrogram ( $v_D, H$ ), dB



# IDRA – TU Delft IRCTR Drizzle radar



IDRA is mounted on top of the 213 m high meteorological tower.

## Specifications

- 9.475 GHz central frequency
- FMCW with sawtooth modulation
- transmitting alternately horizontal and vertical polarisation, receiving simultaneously the co- and the cross-polarised component
- 20 W transmission power
- 102.4  $\mu$ s – 3276.8  $\mu$ s sweep time
- 2.5 MHz – 50 MHz Tx bandwidth
- 60 m – 3 m range resolution
- 1.8° antenna half-power beamwidth

## Reference

J. Figueras i Ventura: “Design of a High Resolution X-band Doppler Polarimetric Weather Radar”, *PhD Thesis*, TU Delft, 2009. (online available at <http://repository.tudelft.nl>)

Near real-time display:

<http://ftp.tudelft.nl/TUDelft/irctr-rse/idra>

Processed and raw data available at:

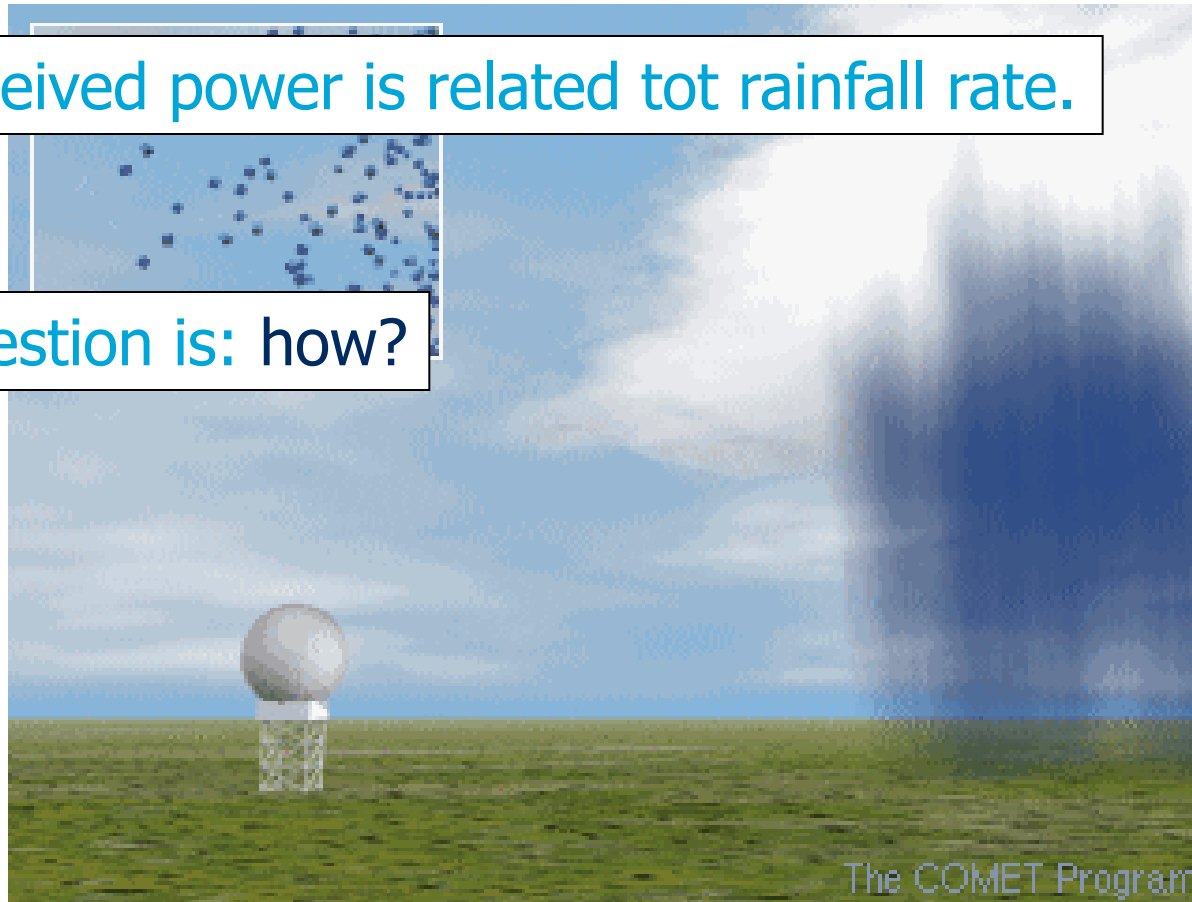
<http://data.3tu.nl/repository/collection:cabauw>



Courtesy Otto

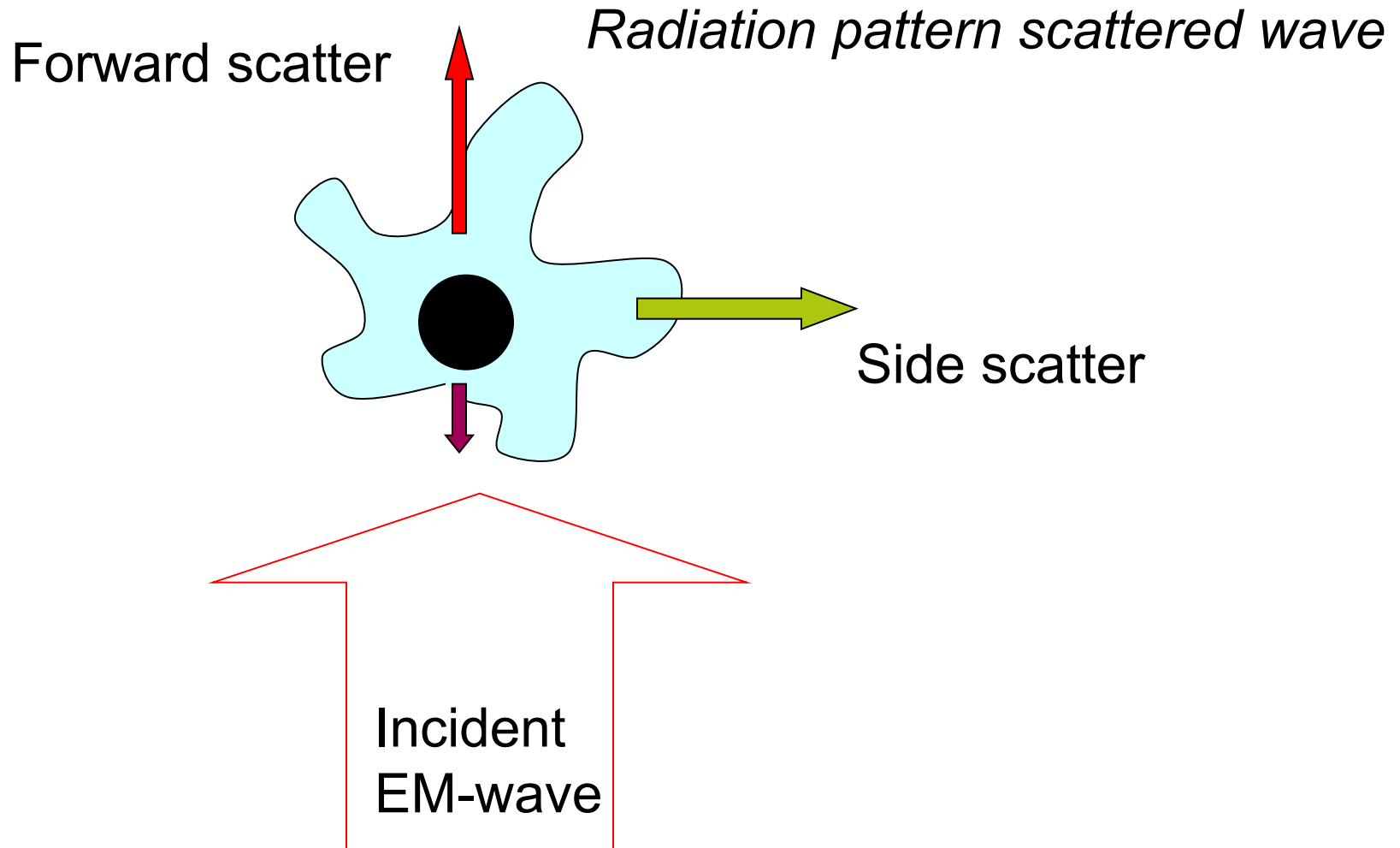
The received power is related tot rainfall rate.

The question is: how?

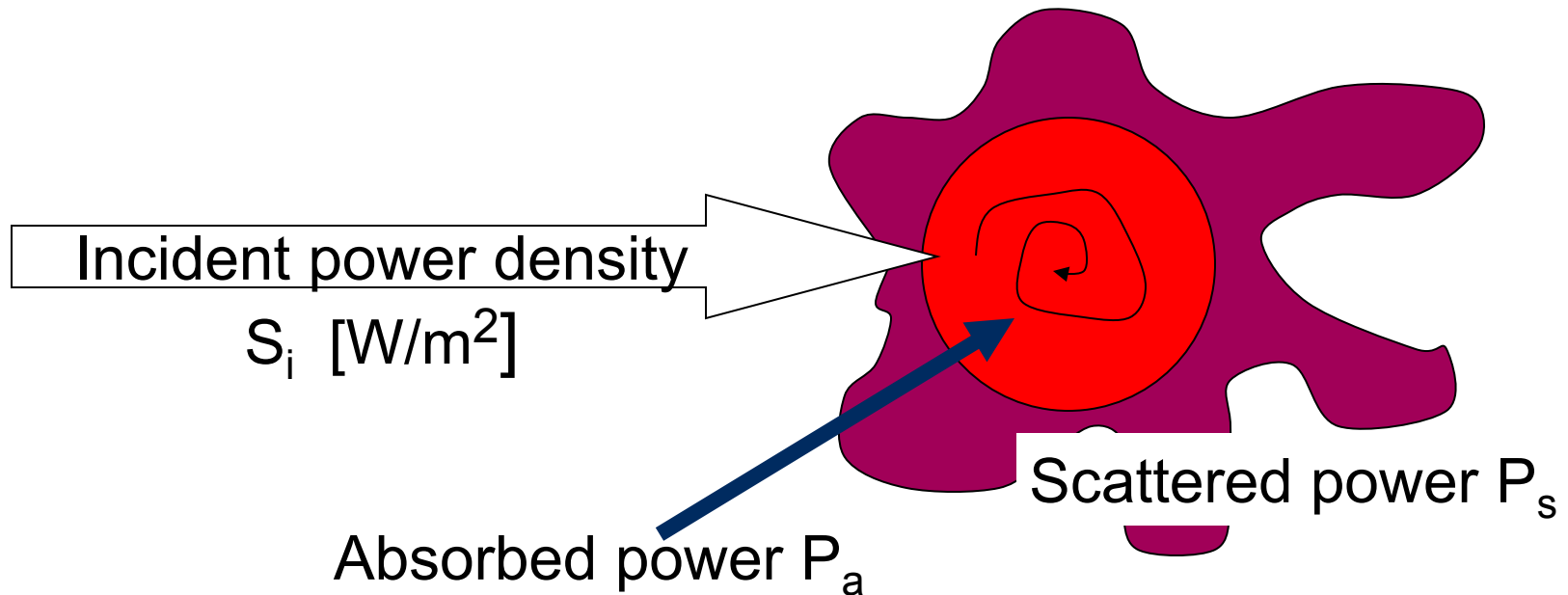


Source: [www.everythingweather.com](http://www.everythingweather.com)

# First step: scattering by one particle

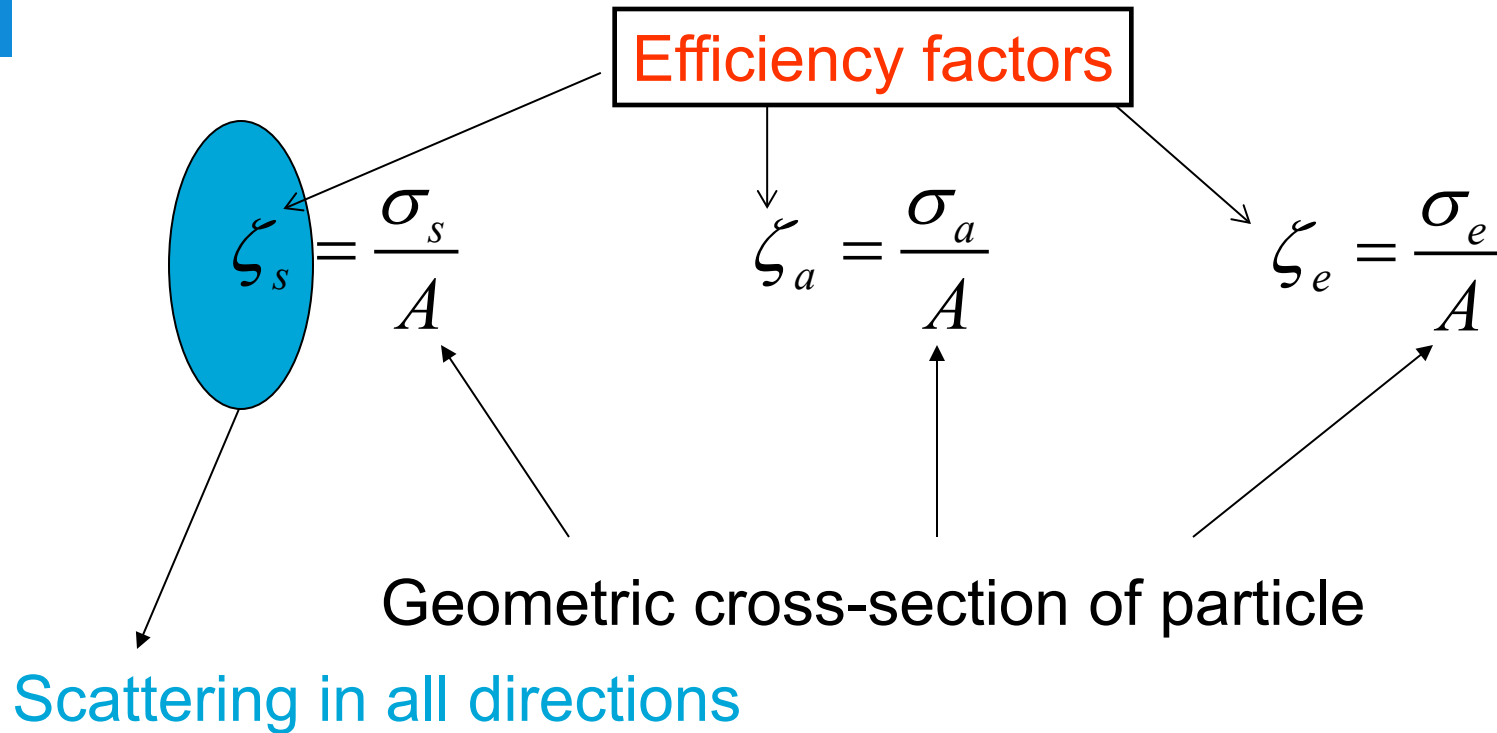


## Definitions to describe scattering by one particle

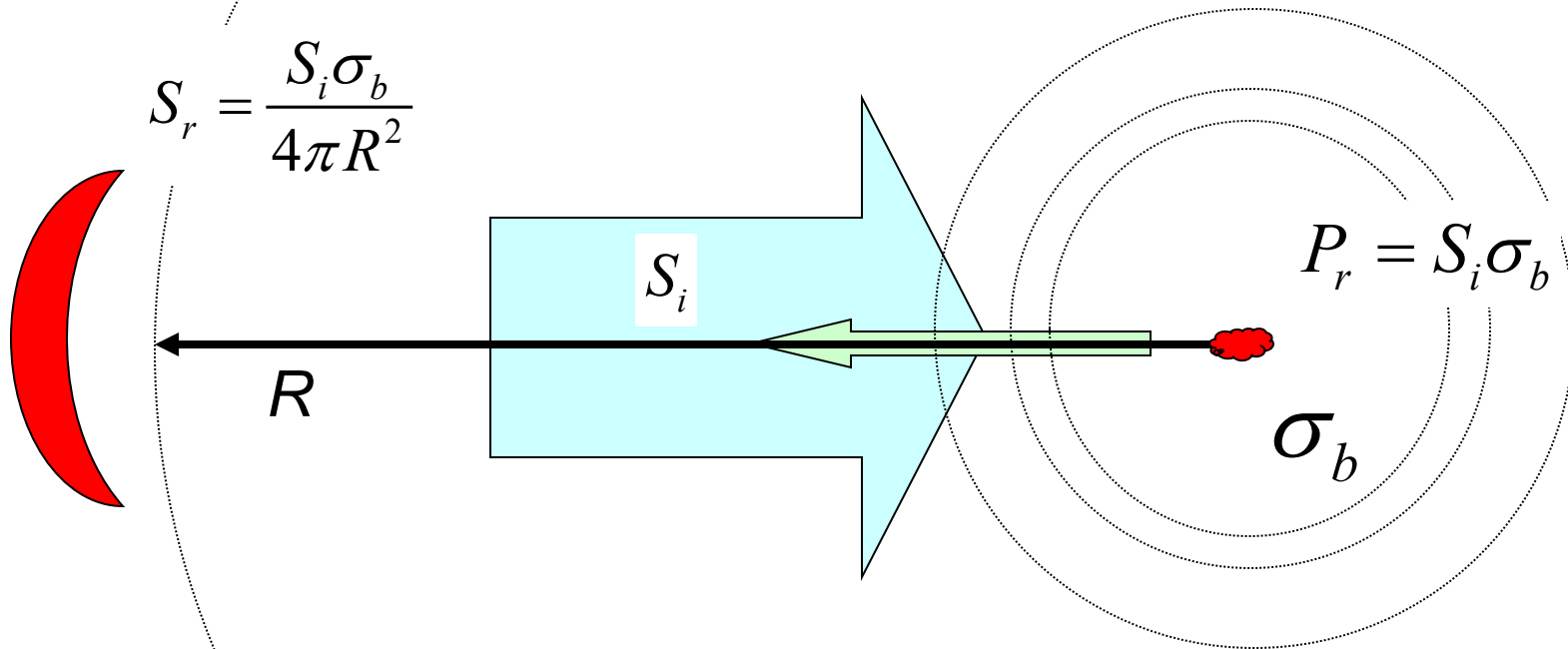


Absorption cross-section	$\sigma_a = \frac{P_a}{S_i}$
Scattering cross-section	$\sigma_s = \frac{P_s}{S_i}$
Extinction cross-section	$\sigma_e = \sigma_a + \sigma_s$

The definitions one step further:



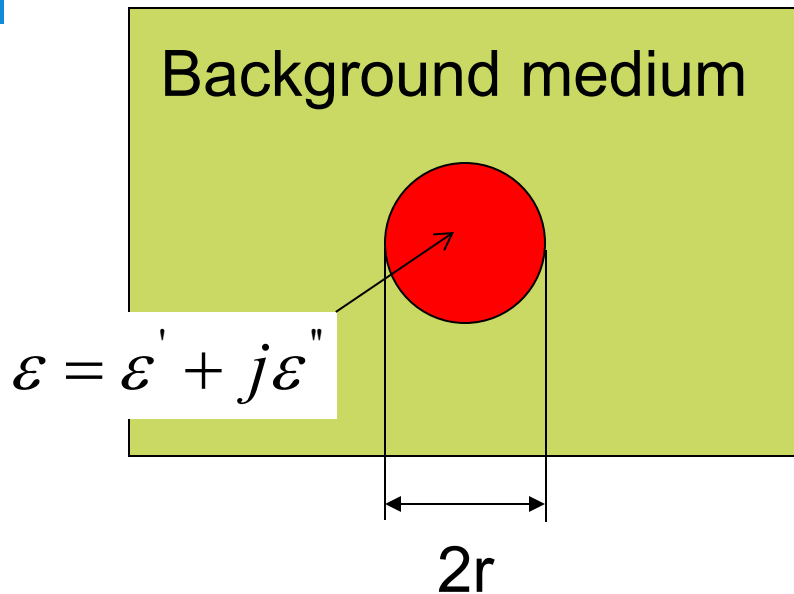
## Definition radar backscattering cross-section



Radar cross-section  $\sigma_b$  :  
cross-section of equivalent isotropic radiator with power  $P_r$



# Scattering by a homogeneous dielectric sphere in a medium



Normalized radius

$$\chi = \frac{2\pi r}{\lambda} = \frac{2\pi r}{\lambda_0} \sqrt{\epsilon'}$$

$$\epsilon = n^2$$

permittivity  $\epsilon$   
refractive index  $n$   
wavelength in sphere  $\lambda$

wavelength in background  $\lambda_0$

Scattering by a sphere is given by the Mie-formulas:

$$\zeta_s(n, \chi) = \frac{2}{\chi^2} \sum_l^{\infty} (2l+1)(|a_l|^2 + |b_l|^2)$$

$$\zeta_e(n, \chi) = \frac{2}{\chi^2} \sum_l^{\infty} (2l+1)(\text{Re}(a_l + b_l))$$

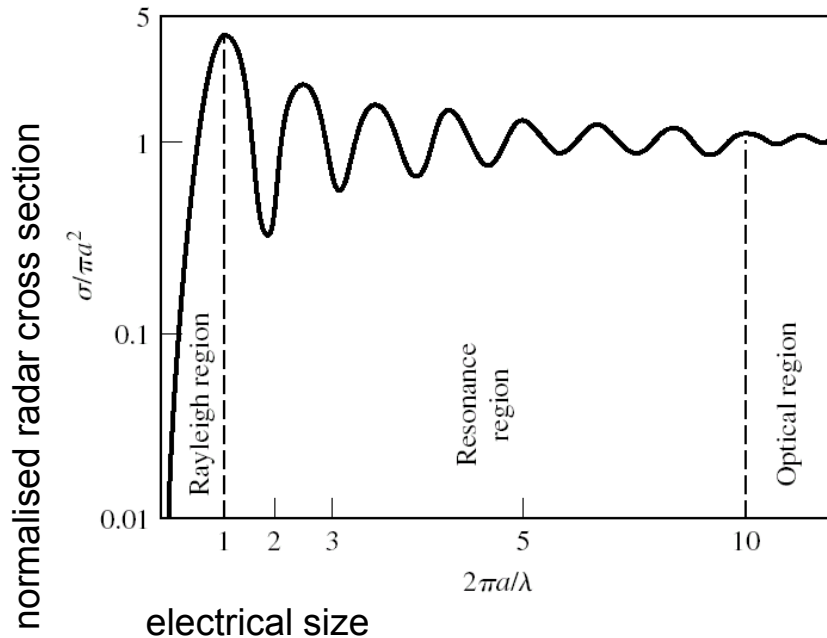
$$\zeta_a(n, \chi) = \zeta_e(n, \chi) - \zeta_s(n, \chi)$$

$a_l, b_l$ :  
Bessel, Hankel functions  
depending on size and permittivity

$$\frac{\sigma_b}{\pi r^2} = \zeta_e(n, \chi) = \frac{1}{\chi^2} \left| \sum_l^{\infty} (-1)^l (2l+1)(a_l - b_l) \right|^2$$

# Example of radar Cross Section $\sigma$

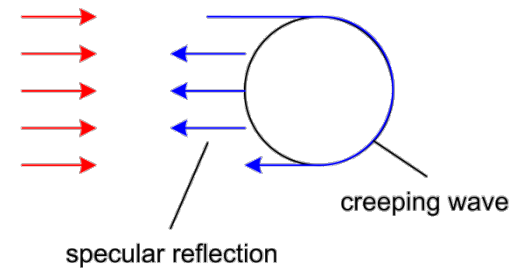
Monostatic radar cross section of a conducting sphere:



$a$  .. radius of the sphere  
 $\lambda$  .. wavelength

Rayleigh region:  $a \ll \lambda$

Resonance / Mie region:



Optical region:  $a \gg \lambda$

Figure: D. Pozar, "Microwave Engineering", 2<sup>nd</sup> edition, Wiley.

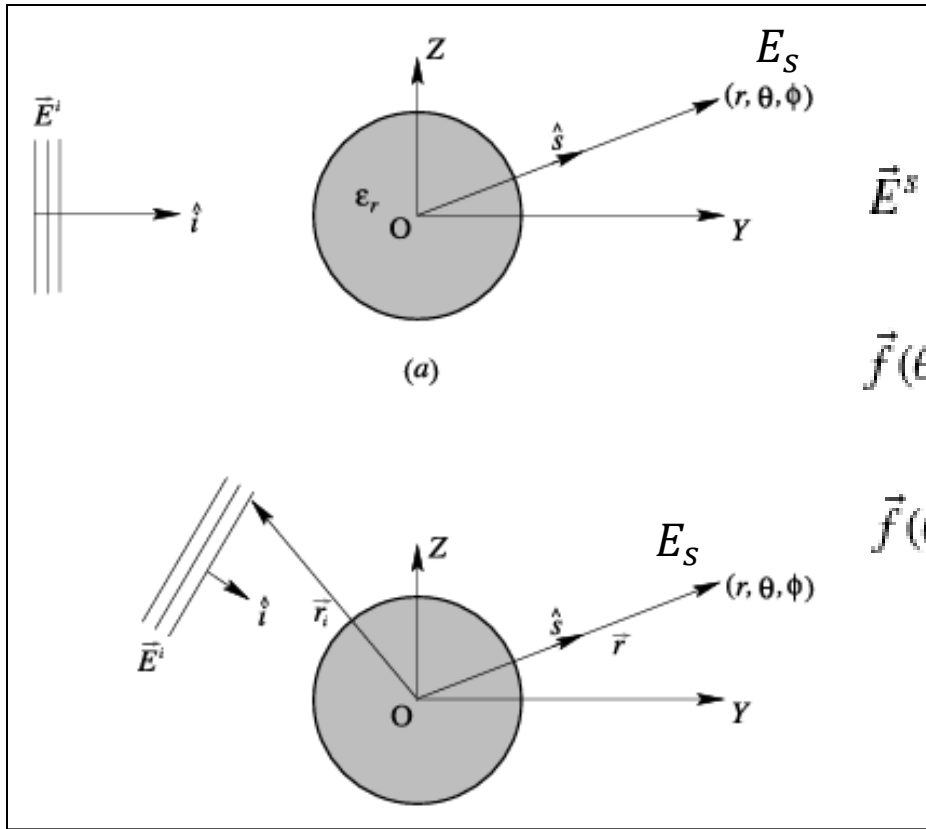
The Mie-formulation is exact for all particle sizes  
and wavelengths  
but quite intractable,  
therefore: approximations!

Most common: rayleigh approximation

Particle small compared to wavelength  
Small phase shift of wave inside particle

$$|n\chi| \ll 1$$

# Rayleigh scattering by a sphere



$$\vec{E}^s = \vec{f}(\theta, \phi) \frac{e^{-jk_0 r}}{r}$$

$$\vec{f}(\theta, \phi) = \frac{k_0^2}{4\pi} \frac{(\epsilon_r - 1)}{(\epsilon_r + 2)} 3V [\vec{E}^i - \hat{r}(\hat{r} \cdot \vec{E}^i)]$$

$$\vec{f}(\theta, \phi) = \frac{k_0^2}{4\pi \epsilon_0} [\vec{p} - \hat{r}(\hat{r} \cdot \vec{p})]$$

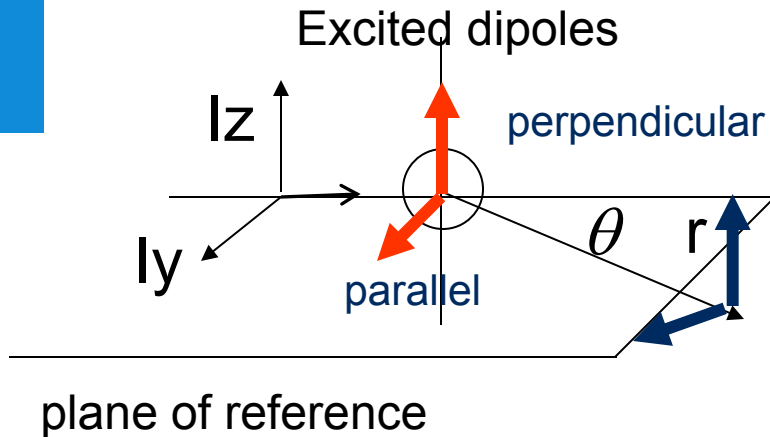
The scattered field depends on the looking direction and polarization of the incoming field

Dipole moment: the sphere acts like a dipole

$$\vec{p} = \alpha \vec{E}^i \quad \alpha = 3\epsilon_0 V (\epsilon_r - 1) / (\epsilon_r + 2),$$

↓  
polarizability

## Physical interpretation Rayleigh approximation



$$I_{parallel} = \frac{I_y k^4 |\alpha|^2 \cos^2(\theta)}{r^2}$$

$$I_{perpendicular} = \frac{I_z k^4 |\alpha|^2}{r^2}$$

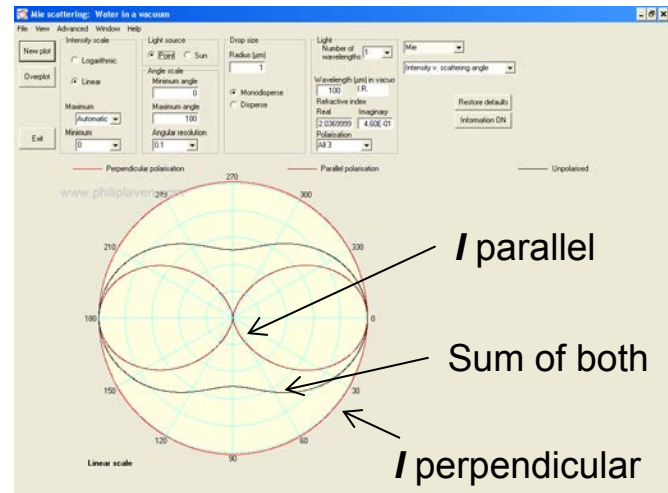
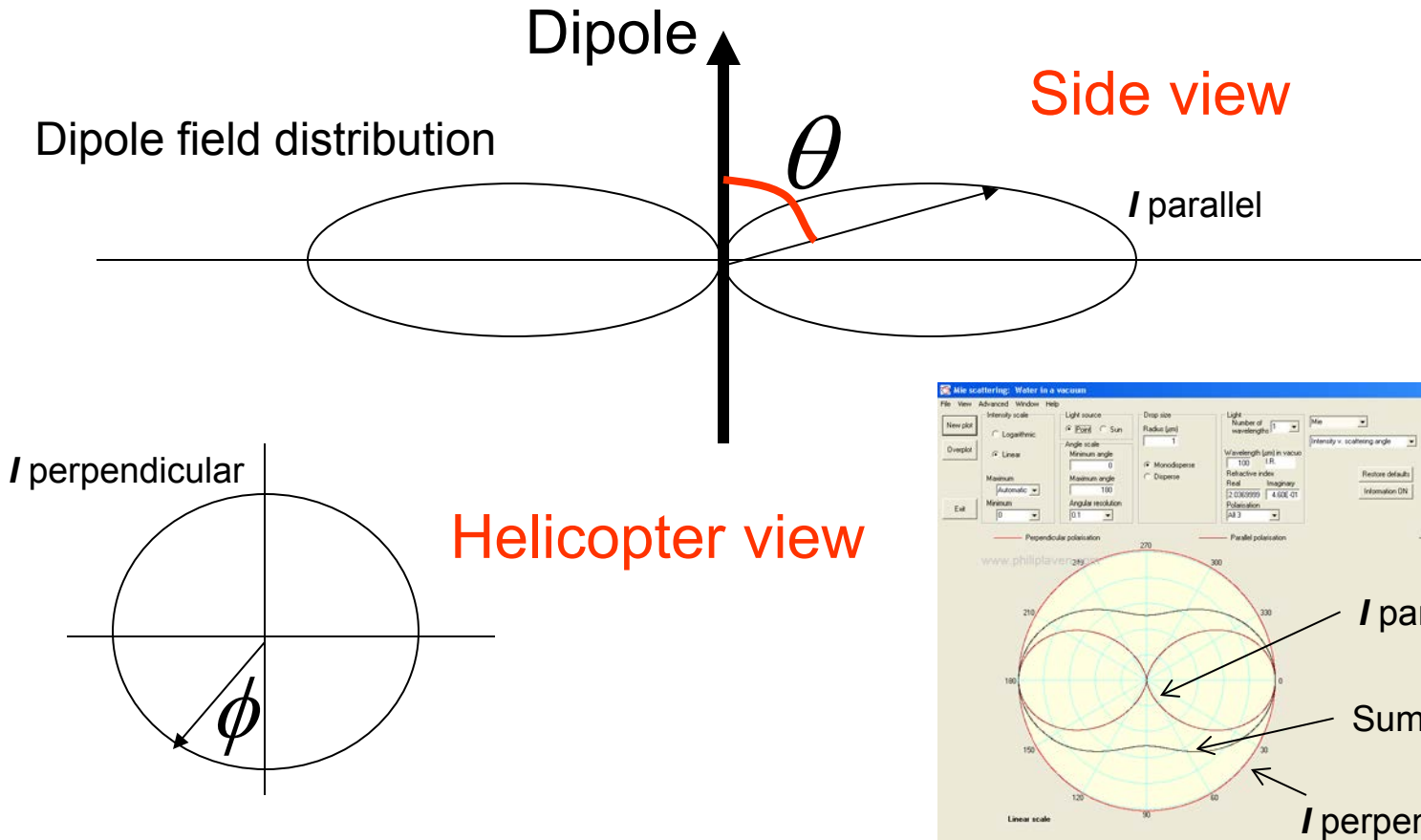
$\alpha$  Polarizability of particle ( $\sim$ volume)

$k$  Wave number

Unpolarized wave ( $I_z=I_y=I_o$ ):

$$I_{unpolarized} = \frac{I_{parallel} + I_{perpendicular}}{2} = \frac{I_o k^4 |\alpha|^2 (1 + \cos^2(\theta))}{r^2}$$

# Physical interpretation Rayleigh approximation



The Rayleigh fields lead to the following cross-sections:

$$\sigma_s = \frac{2\lambda^2}{3\pi} \chi^6 |K|^2 = \frac{2\pi^5 |K|^2}{3\lambda^4} D^6$$

$$\sigma_a = \frac{\lambda^2}{\pi^3} \chi^3 \operatorname{Im}(-K) = \frac{\pi^2 D^3}{\lambda} \operatorname{Im}(-K)$$

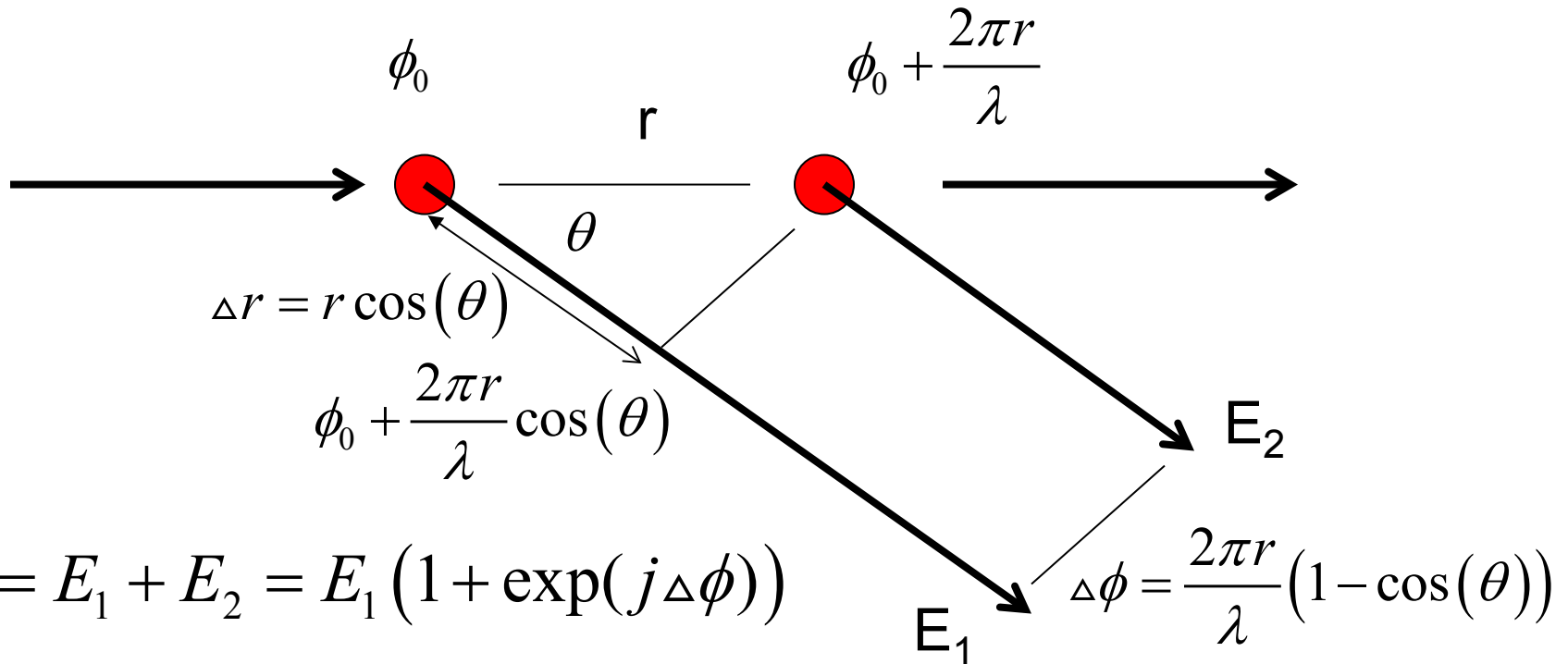
$$\sigma_b = \lambda^2 \chi^6 |K|^2 = \frac{\pi^5 |K|^2}{\lambda^4} D^6$$

$$K = \frac{n^2 - 1}{n^2 + 2} = \frac{\varepsilon - 1}{\varepsilon + 2}$$

These cross-sections result from integration of the scattered-field intensities over space



## The summation of fields from different scatterers



$$E_{tot} = E_1 + E_2 = E_1 (1 + \exp(j\Delta\phi))$$

$$\theta = 0 \rightarrow E_{tot} = 2E_1 \quad \text{Independent of separation between particles}$$

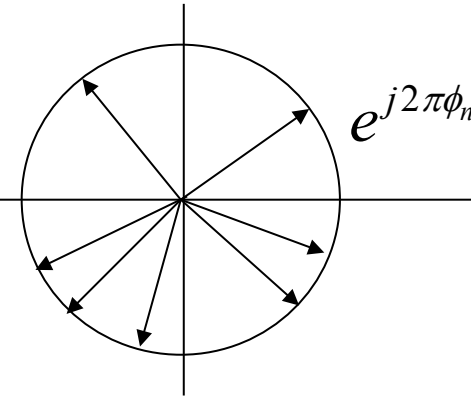
**Forward scattering is always coherent: constructive interference**

**Scattering in other directions is always (partially) incoherent:**

**(partially) destructive interference: less signal**

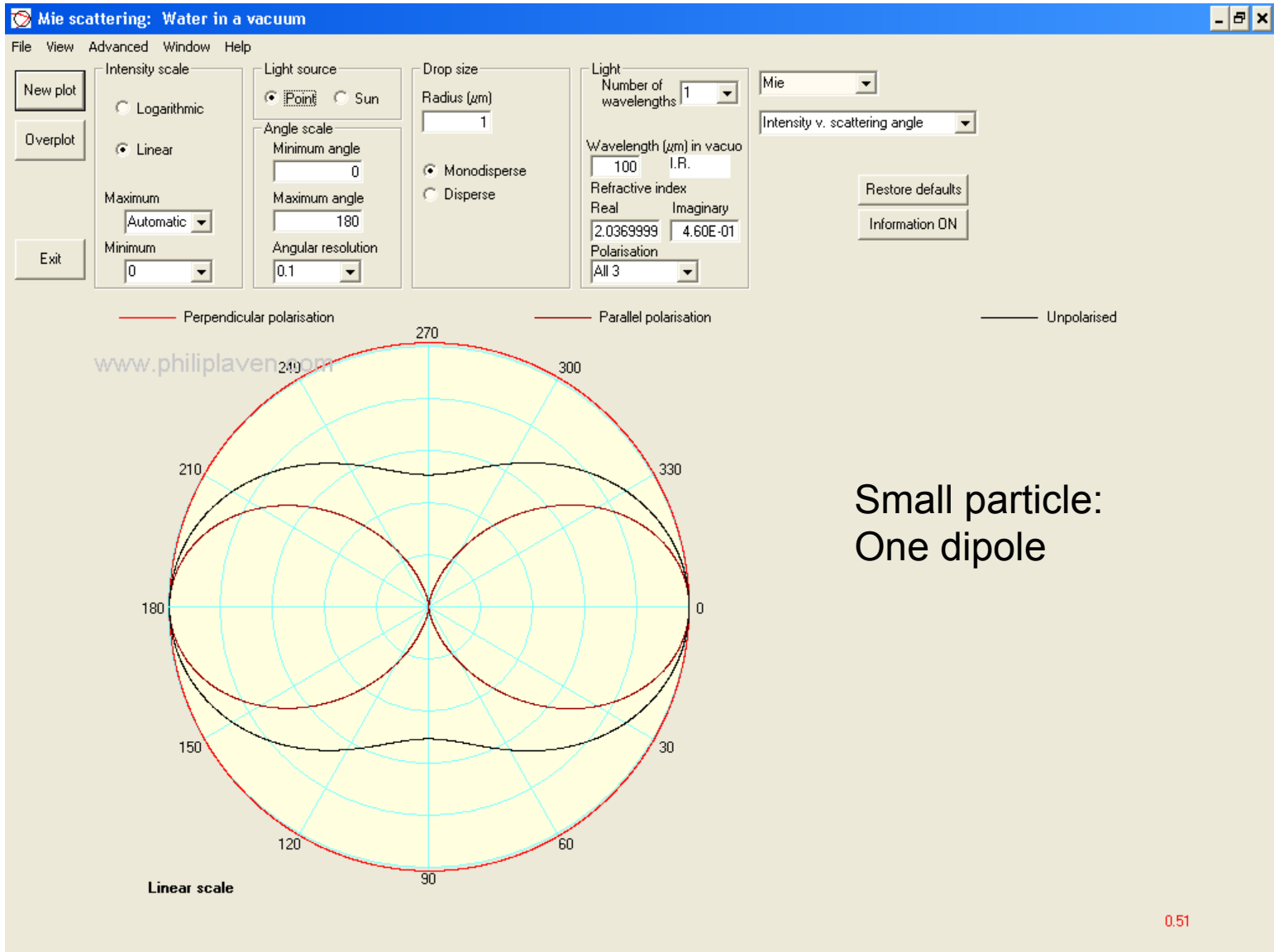
In case of many dipoles with random position:

$$\sum_n^N e^{j2\pi\phi_n} \rightarrow 0$$

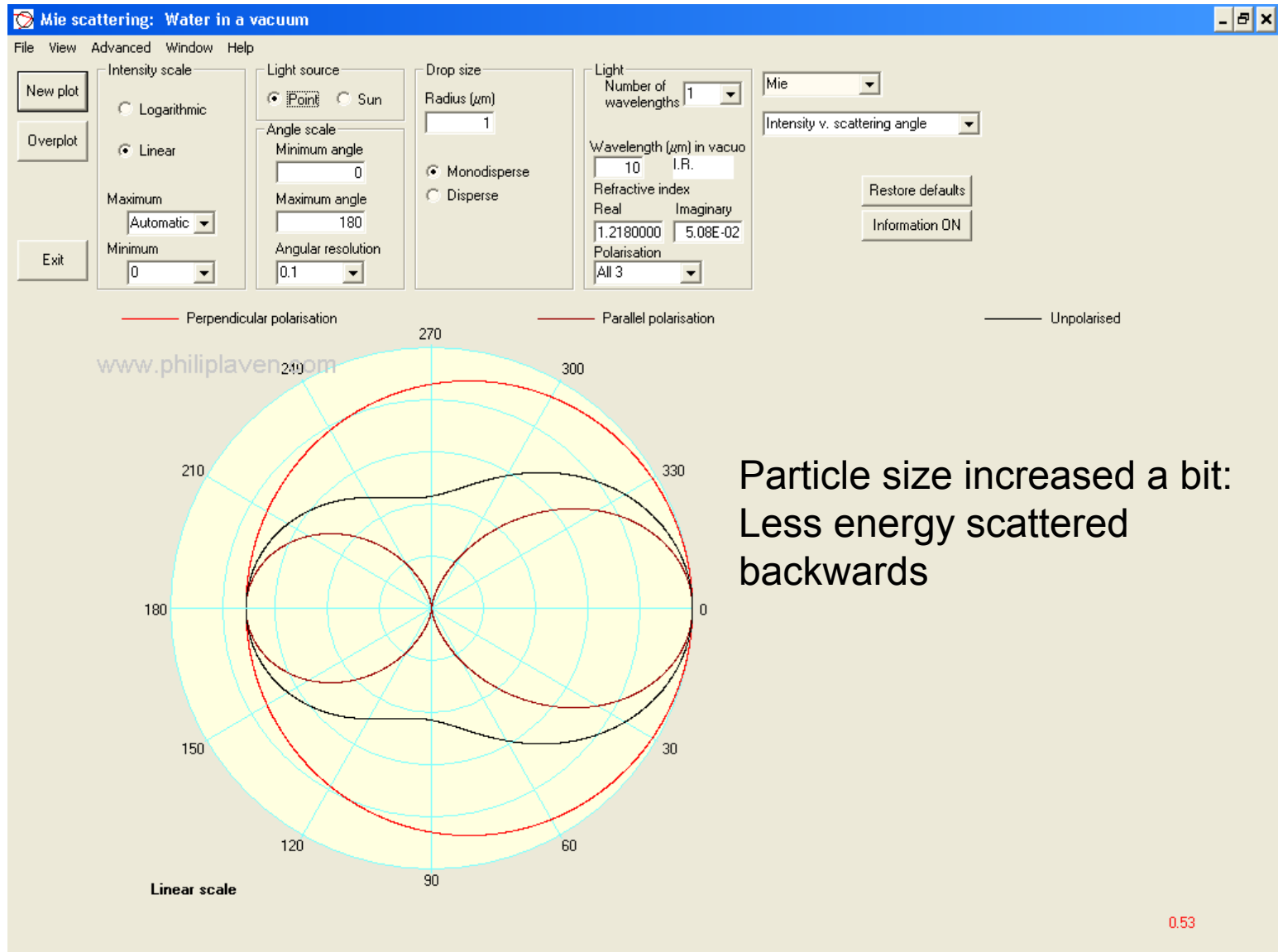


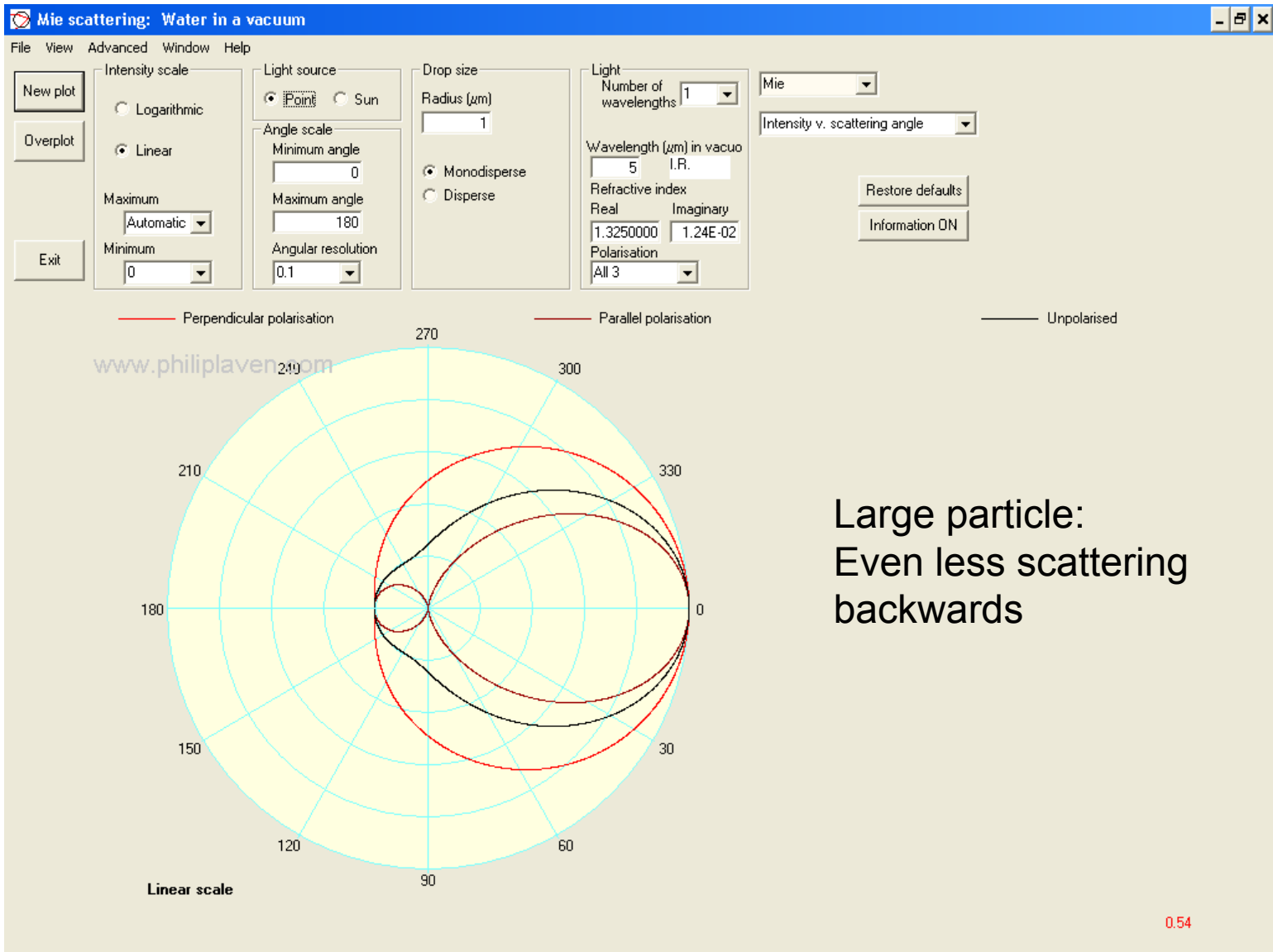
→ The scattering in the non-forward direction decreases, and becomes very small in the backward direction

Now suppose we have a large sphere, compared to the wavelength: we can regard the sphere as a collection of dipoles, which means we get a radiation pattern. The consequences can be seen in the following Examples>

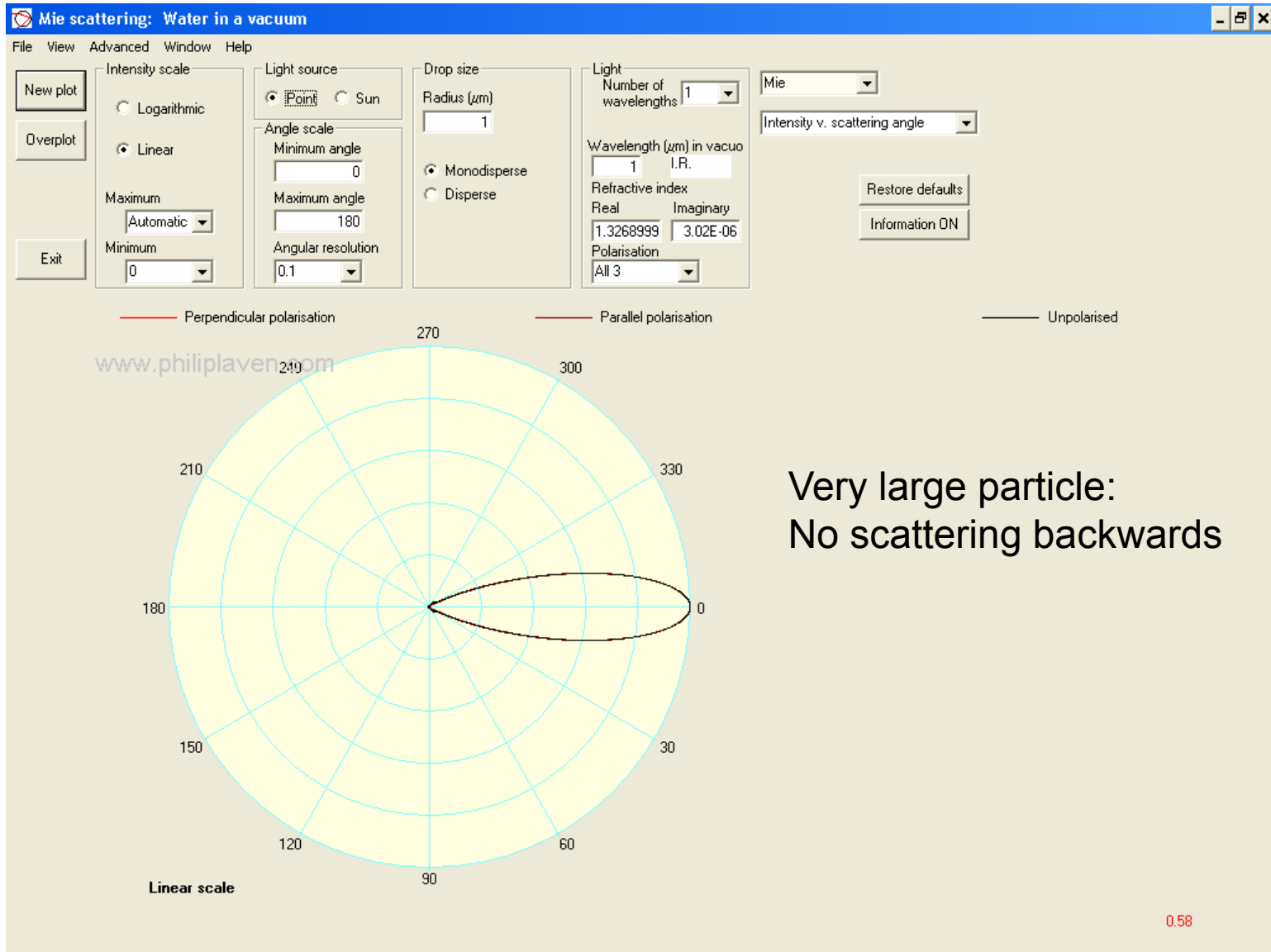


Small particle:  
One dipole





Large particle:  
 Even less scattering  
 backwards



Very large particle:  
No scattering backwards

Intensity scale:  Logarithmic  Linear

Horizontal scale:  Logarithmic  Linear

Radius: Minimum (um) 1, Maximum (um) 5000, Step (um) 1

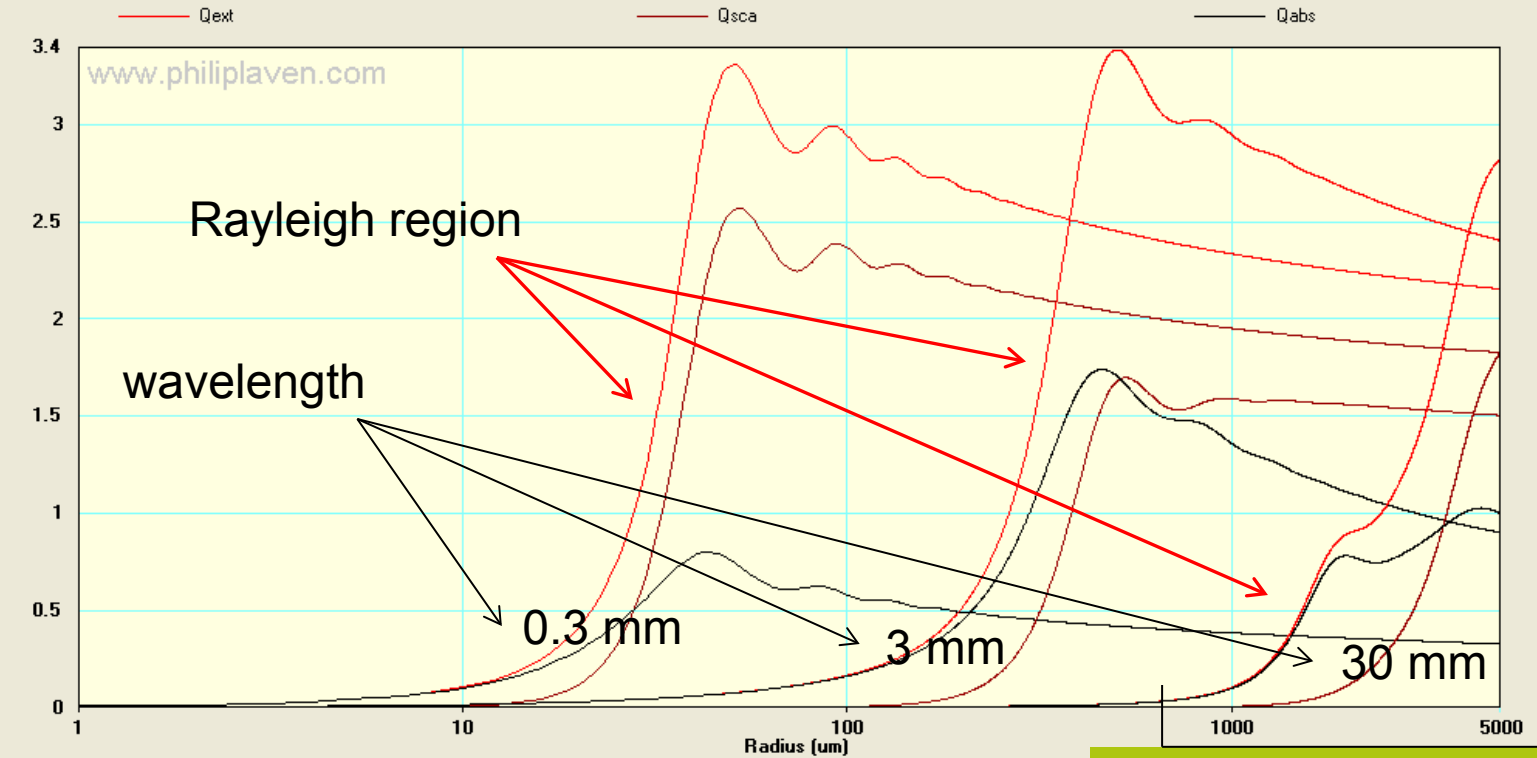
Light: Number of wavelengths 1, Mie, Qext/Qsca/Qabs v. radius

Wavelength (um) in vacuo: 30000, I.R. [ ]

Refractive index: Real 7.6820001, Imaginary 2.51

Buttons: New plot, Overplot, Exit, Restore defaults, Information ON

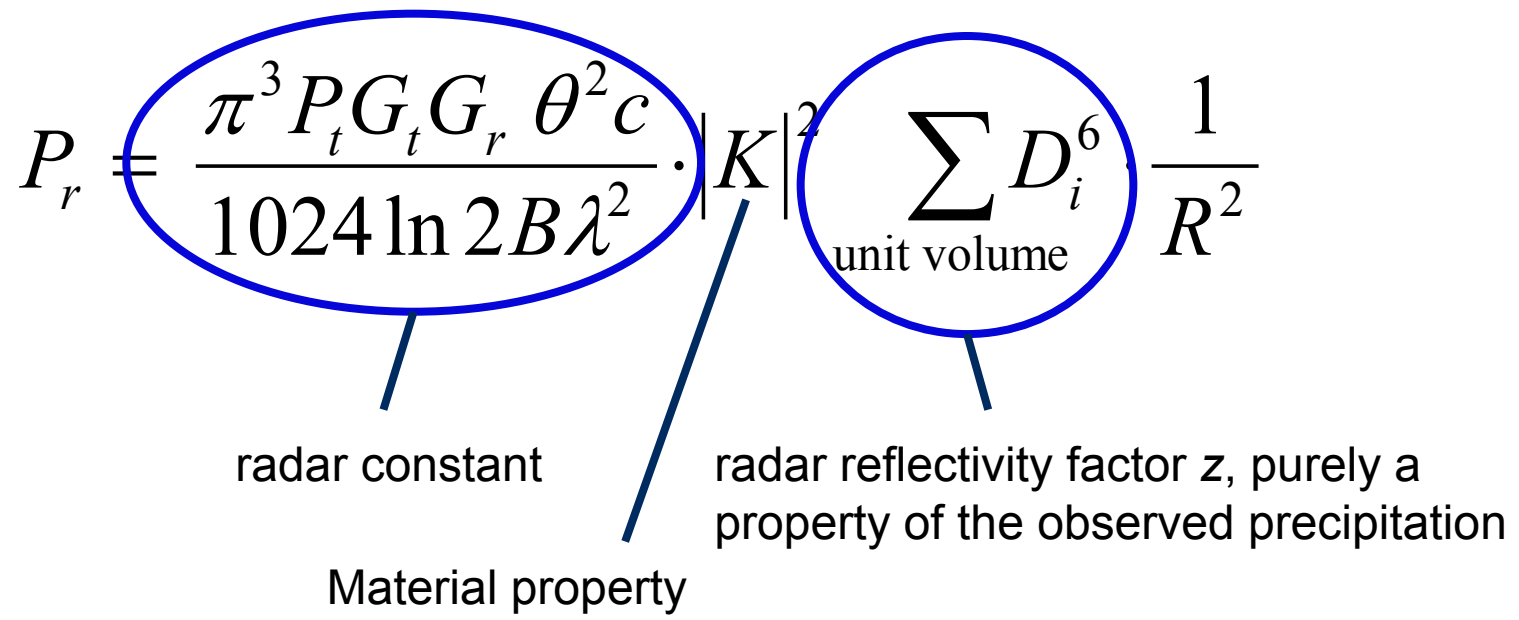
Here we see that longer wavelengths are better for rain measurements, because scattering still occurs in the Rayleigh region



Typical size of raindrops

# Radar Equation for Weather Radar

$$P_r = \frac{P_t G_t G_r \lambda^2}{(4\pi)^3 R^4} \cdot \frac{\pi R^2 \theta^2 c}{16 \ln 2B} \cdot \sum_{\text{unit volume}} \sigma_i$$





# Radar Reflectivity Factor $z$

$$z = \sum_{\text{unit volume}} D_i^6 \left( \frac{\text{mm}^6}{\text{m}^3} \right) \quad \rightarrow \text{spans over a large range; to compress it into a smaller range of numbers, a logarithmic scale is preferred}$$

$$Z = 10 \log_{10} \left( \frac{z}{1 \text{mm}^6 / \text{m}^3} \right) (\text{dBZ})$$

---

To measure the reflectivity by the weather radar, we need to:

- know the radar constant  $C$ ,
- measure the mean received power  $P_r$ ,
- measure the range  $R$ ,
- and apply the radar equation for weather radars:

$$z = P_r C R^2$$

# Raindrop-Size Distribution $N(D)$

$$z = \sum_{\text{unit volume}} D_i^6 = \int_0^{\infty} D^6 N(D) dD = \frac{N_0}{\Lambda^7} \cdot 6!$$

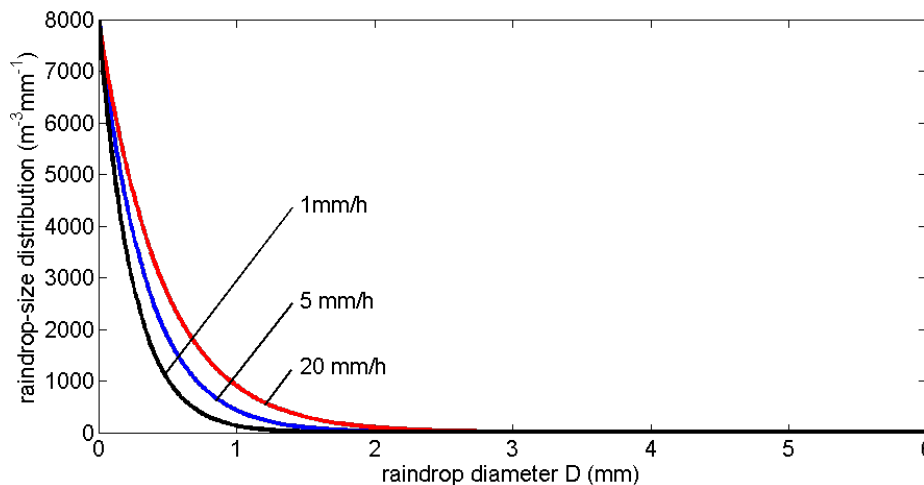
where  $N(D)$  is the raindrop-size distribution that tells us how many drops of each diameter  $D$  are contained in a unit volume, i.e.  $1\text{m}^3$ .

Often, the raindrop-size distribution is assumed to be exponential:

$$N(D) = N_0 \exp(-\Lambda D)$$

Intercept parameter ( $\text{m}^{-3}\text{mm}^{-1}$ )

slope parameter ( $\text{mm}^{-1}$ )



Marshall and Palmer (1948):

$$N_0 = 8000 \text{ m}^{-3}\text{mm}^{-1}$$

$$\Lambda = 4.1 \cdot R^{-0.21}$$

with the rainfall rate  $R$  (mm/h)

The raindrop size distribution is a model we need to interpret the radar received power in terms of rainfall rate.

$$N(D) = N_0 \exp(-\Lambda D)$$

Intercept parameter ( $\text{m}^{-3}\text{mm}^{-1}$ )      slope parameter ( $\text{mm}^{-1}$ )

In case of the Marshall-Palmer distribution we fix  $N_0$ , and let the slope parameter vary. We therefore need one radar observable to estimate the slope parameter.

# Reflectivity – Rainfall Rate Relations

reflectivity ( $\text{mm}^6\text{m}^{-3}$ ) 
$$z = \int_D D^6 N(D) dD$$

liquid water content ( $\text{mm}^3\text{m}^{-3}$ ) 
$$\text{LWC} = \frac{\pi}{6} \int_D D^3 N(D) dD$$
  
raindrop volume

rainfall rate ( $\text{mm h}^{-1}$ ) 
$$R = \frac{\pi}{6} \int_D D^3 v(D) N(D) dD$$
  
terminal fall velocity  
$$v(D) = 9.65 - 10.3e^{-0.6D}$$

→ the reflectivity measured by weather radars can be related to the liquid water content as well as to the rainfall rate:

power-law relationship 
$$z = aR^b$$

the coefficients  $a$  and  $b$  vary due to changes in the raindrop-size distribution or in the terminal fall velocity.

Often used as a first approximation is  $a = 200$  and  $b = 1.6$

# Importance of knowing dropsizes

<b>Drop Size</b>	<b># / m<sup>3</sup></b>	<b>Z</b>	<b>Water Volume per cubic meter</b>
1 mm	4096	36 dBZ	2144.6 mm <sup>3</sup>
4 mm	1	36 dBZ	33.5 mm <sup>3</sup>

Raincell: cylinder 10km diameter, 2 km height: 157079632679 m<sup>3</sup>  
Difference: 314159265 liter or the average annual water consumption of 3315 'standard' households in The Netherlands

# How constant is $N(D)$ ?

In our model we assumed a fixed No.  
How correct is that?

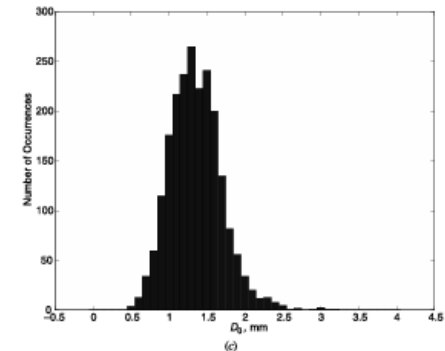
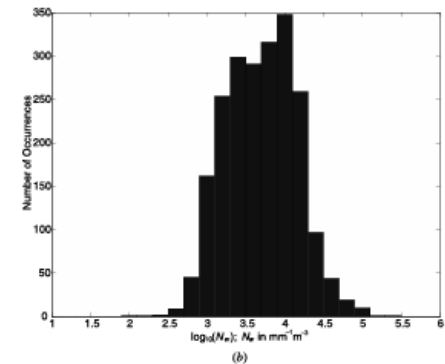
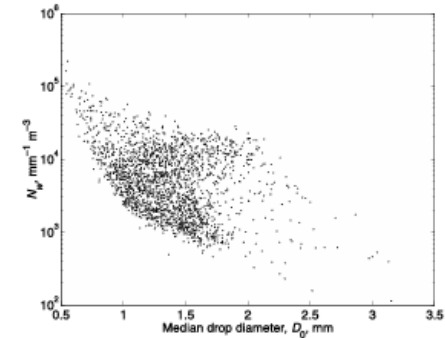
Here we see a histogram of independent observations of  $N_0$

Apparantly our model is not that accurate:  $N_0$  is not constant!

Histograms of dropsize measurements

So the question comes:  
How can we measure  $N_0$   
with the radar?

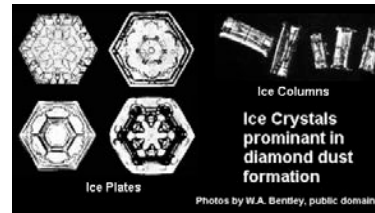
We can do this with polarization.



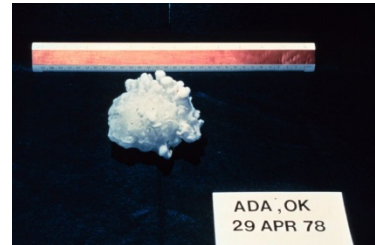
# Can Polarimetry add Information?

→ yes, **because hydrometeors are not spheres**

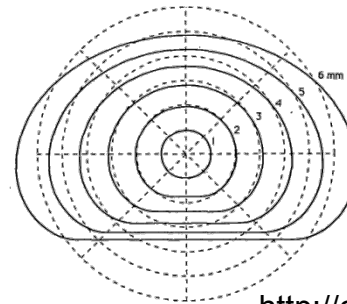
- ice particles



- hail



- raindrops



<http://commons.wikimedia.org/wiki/Category:Hail>

# Observed shapes of raindrops



8.00 mm

7.35

5.8

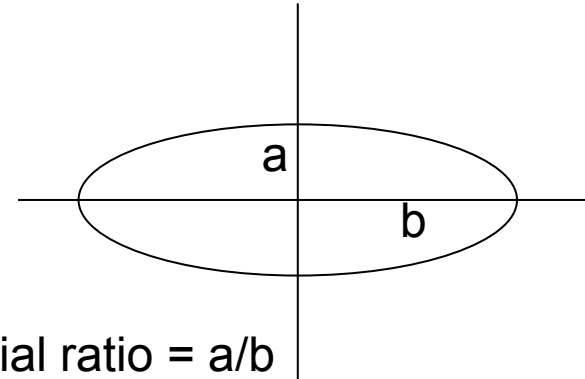
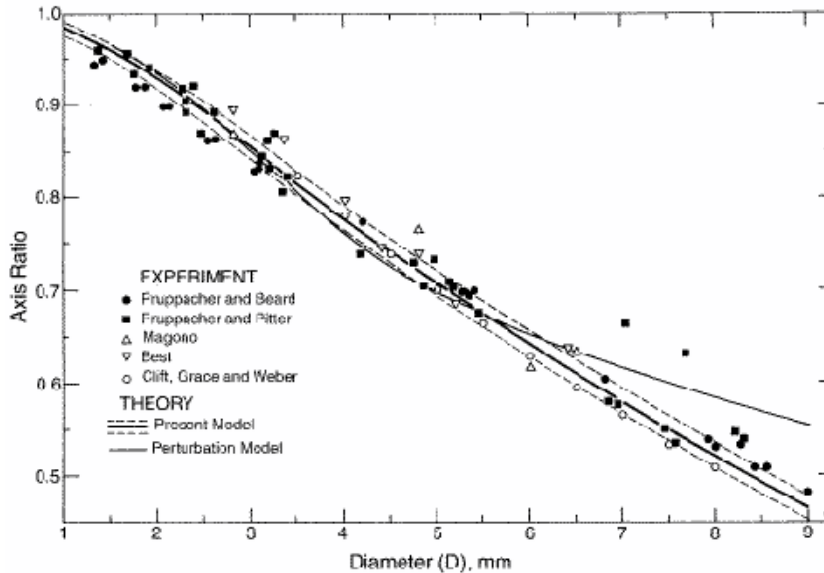
5.30

3.45

2.70



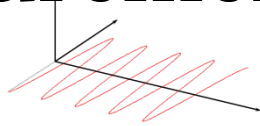
# Axial ratio of raindrops versus size



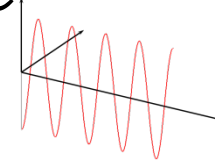
When the particle becomes oblate or prolate,  
the backscattering becomes  
polarization dependent:

axial ratio < 1: HH > VV; axial ratio > 1: HH < VV; axial ratio = 1: HH = VV

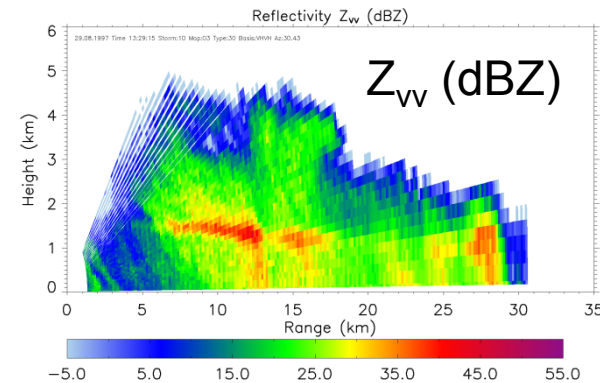
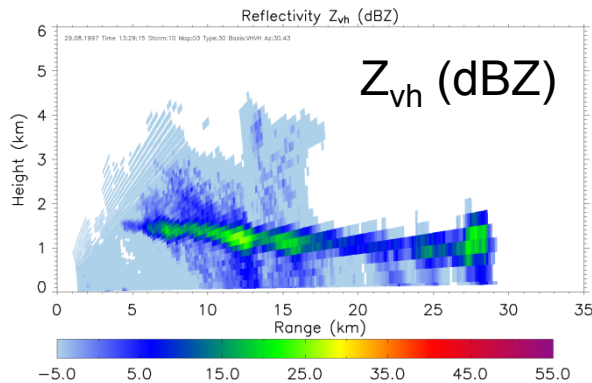
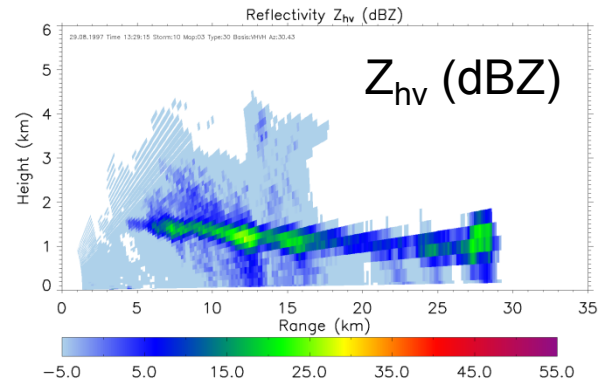
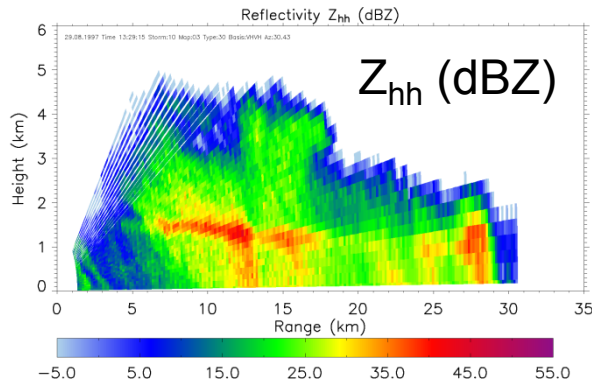
# Measurement Principle



transmit

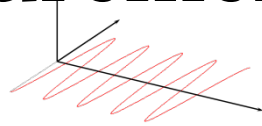


receive

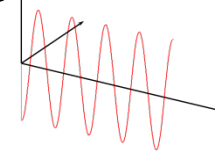


Data: POLDIRAD (DLR, Oberpfaffenhofen, Germany), Prof. Madhu Chandra

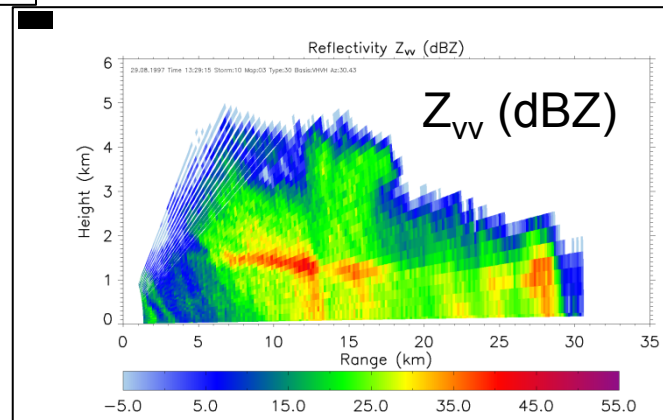
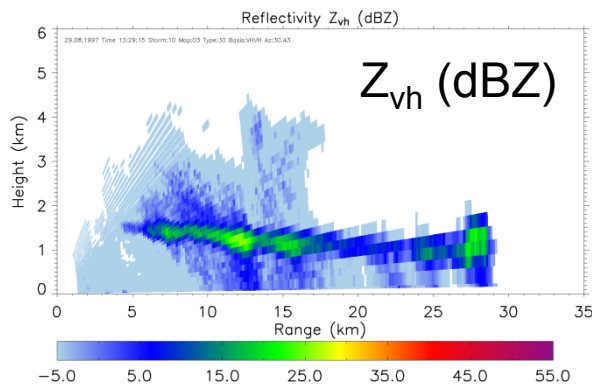
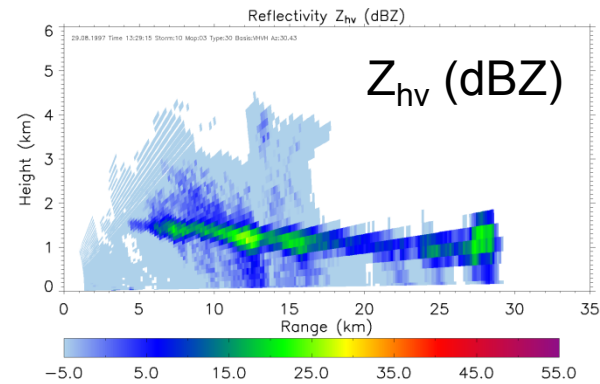
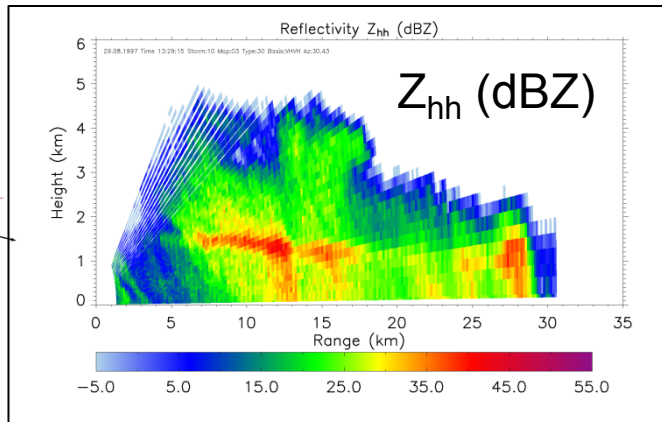
# Measurement Principle



transmit



receive

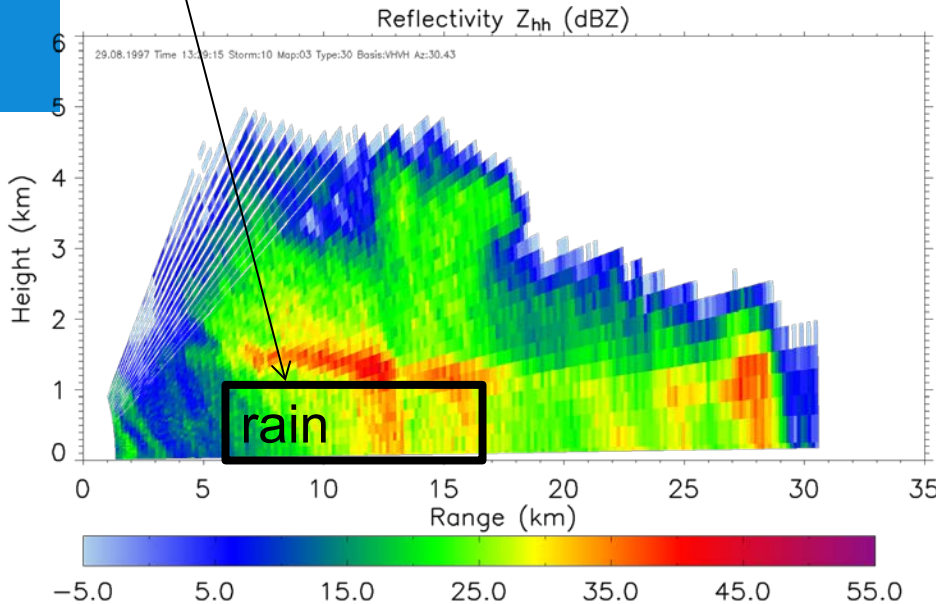


=  $Z_{dr}$   
differential  
reflectivity

Data: POLDIRAD (DLR, Oberpfaffenhofen, Germany), Prof. Madhu Chandra

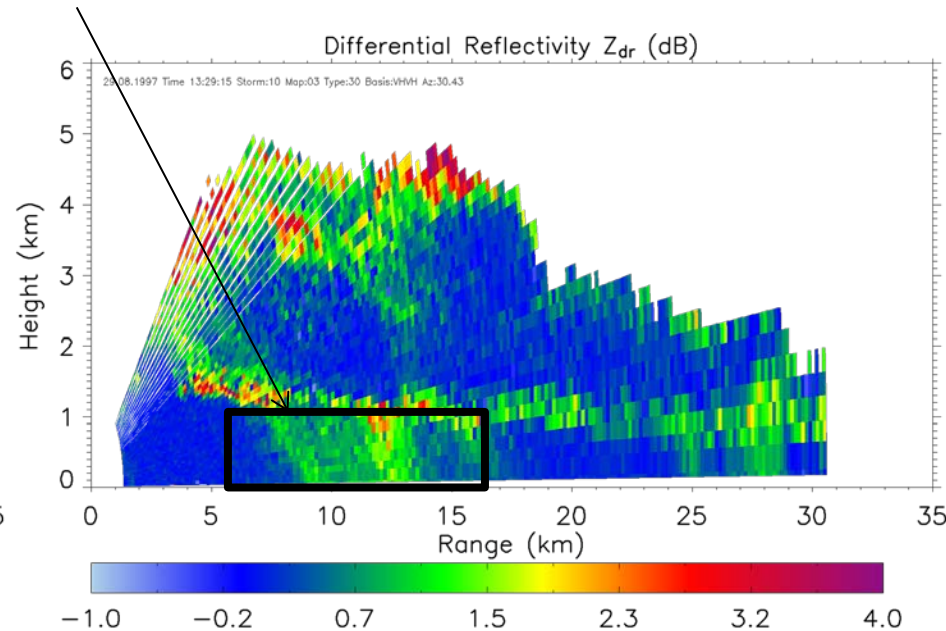
# Changing raindrop shape

Increasing rainfall



Reflectivity

Increasing polarization dependence



Differential Reflectivity

$$Z_{hh} = 10 \log CR^2 \bar{P}_{hh} \text{ (dBZ)}$$

$$Z_{dr} = 10 \log \frac{\bar{P}_{hh}}{\bar{P}_{vv}} \text{ (dB)}$$

Data: POLDIRAD (DLR, Oberpfaffenhofen, Germany), Prof. Madhu Chandra

$$Z_{hh} = \int N(D) \sigma_{hh}(D) dD$$

$$Z_{dr} = \frac{Z_{hh}}{Z_{vv}} = \frac{\int N(D) \sigma_{hh}(D) dD}{\int N(D) \sigma_{vv}(D) dD}$$

$N(D)dD$  : Number of drops with diameter between  $D$  and  $D+dD$

$\sigma_{hh,vv}$  : Radar cross-section for hh or vv polarization

$$N(D)dD = N_o e^{-3.67 \frac{D}{D_o}} dD$$

$$Z_{hh} = \int N(D) \sigma_{hh}(D) dD$$
$$Z_{dr} = \frac{\int N(D) \sigma_{hh}(D) dD}{\int N(D) \sigma_{vv}(D) dD}$$

$$Z_{hh} = N_o \int \exp\left(-3.67 \frac{D}{D_o}\right) \sigma_{hh}(D) dD$$

$$Z_{dr} = \frac{\int \exp\left(-3.67 \frac{D}{D_o}\right) \sigma_{hh}(D) dD}{\int \exp\left(-3.67 \frac{D}{D_o}\right) \sigma_{vv}(D) dD}$$

Do and Zhh gives No

Zdr gives Do

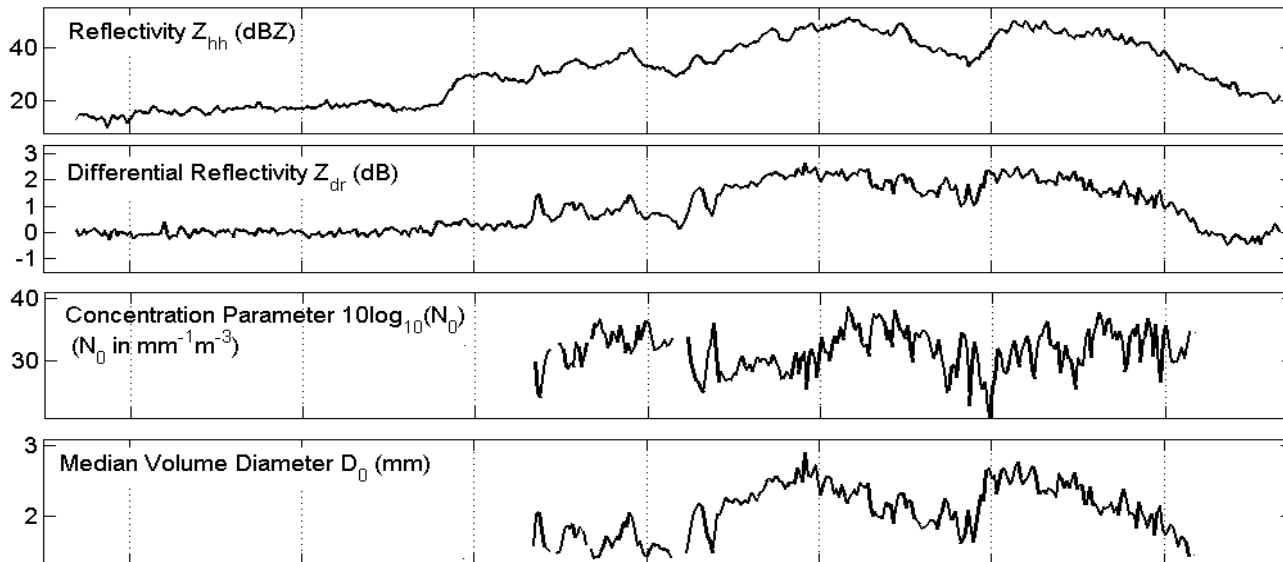
**Polarimetry gives better estimate of N(D)  
Because we can estimate two parameters  
of our model now.**

# Estimation of raindrop-size

## distribution $N(D) = N_0 \exp(-\Lambda D)$

intercept ( $\text{m}^{-3}\text{mm}^{-1}$ )      slope parameter ( $\text{mm}^{-1}$ )

1. the differential reflectivity  $Z_{dr}$  depends only on the slope parameter  $\Lambda$ , so  $\Lambda$  can be directly estimated from  $Z_{dr}$
2. once that the slope parameter is known, the concentration  $N_0$  can be estimated in a second step from the reflectivity  $Z_{hh}$



Data: IDRA (TU Delft), Jordi Figueras i Ventura



# Observations and models revisited

$$z = \int_D D^6 N(D) dD + R = \frac{\pi}{6} \int_D D^3 v(D) N(D) dD \Rightarrow z = aR^b$$

$v(D)$ : terminal fall speed of raindrops

$$v(D) = 9.65 - 10.3e^{-0.6D}$$

$N(D)$ : droplet size distribution

The model we use to describe that part of reality we need to transform radar observations into rainfall rate

We used polarization to estimate the parameters of  $N(D)$ .

We assumed a model for  $v(D)$ .

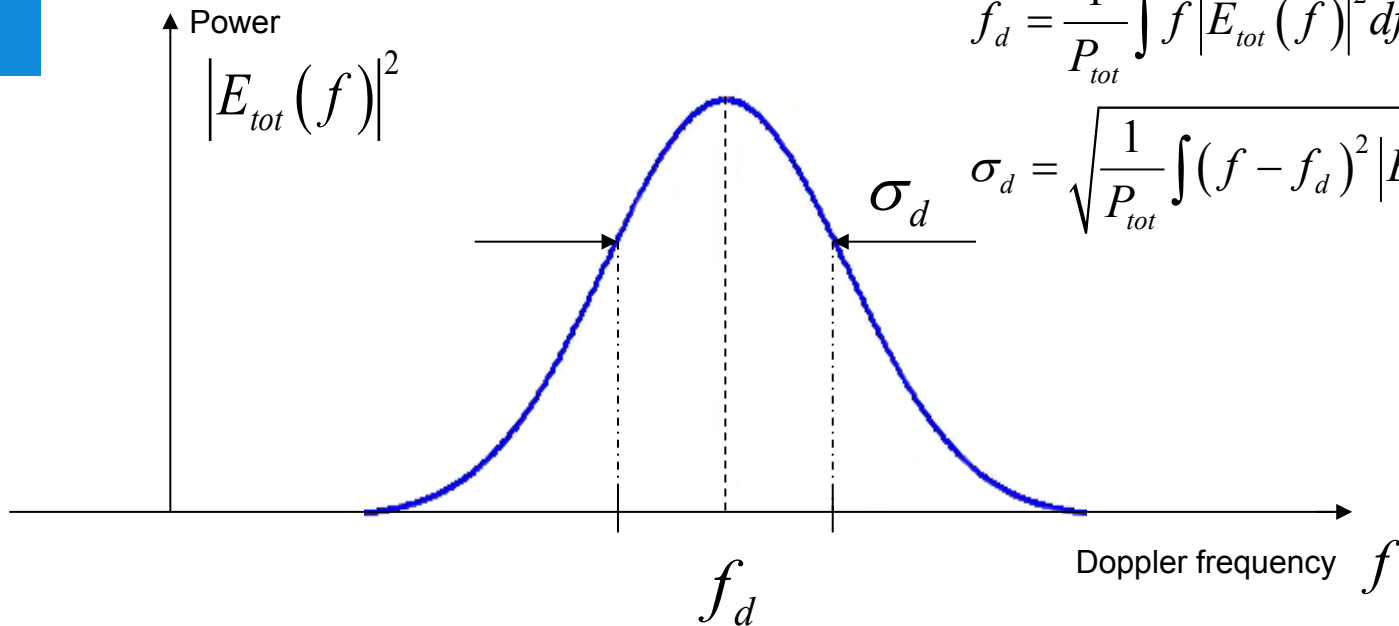
**Can we use  $v(D)$  to our advantage?**

## Recall the Doppler spectrum

$$P_{tot} = \int |E_{tot}(f)|^2 df \quad \text{Total power}$$

$$f_d = \frac{1}{P_{tot}} \int f |E_{tot}(f)|^2 df \quad \text{Mean doppler frequency}$$

$$\sigma_d = \sqrt{\frac{1}{P_{tot}} \int (f - f_d)^2 |E_{tot}(f)|^2 df} \quad \text{Doppler Width}$$



The Doppler frequency is related to the speed

When the radar looks upwards, the Doppler frequency gives the fall speed

$$f = \frac{2v(D)}{\lambda} \cos \theta; \theta = 0 \text{ (to the vertical)}$$

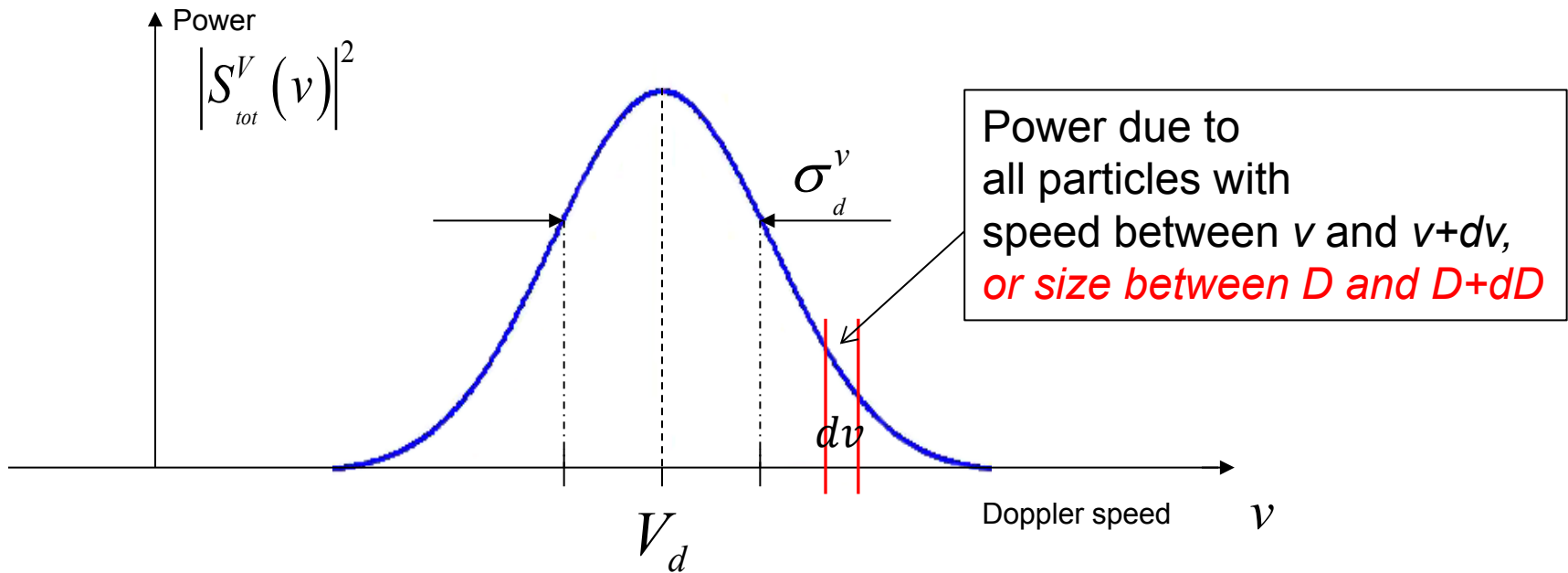
—————→ When we measure the Doppler spectrum, we also measure dropsizes!

$$|E_{tot}(f) df| = |S_{tot}^V(v) dv| = |S_{tot}^D(D) dD|$$

$$|E_{tot}(f)| = \left| E_{tot}(f(v)) \frac{dv}{df} \right| = S_{tot}^V(v)$$

$$|S_{tot}^V(v)| = \left| S_{tot}^V(v(D)) \frac{dD}{dv} \right| = S_{tot}^D(D)$$

# Recall the Doppler spectrum again



$$P_{tot} = \int |S_{tot}^V(v)|^2 dv$$

$$V_d = \frac{1}{P_{tot}} \int v |S_{tot}^V(v)|^2 dv$$

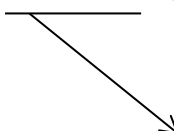
$$\sigma_d^v = \sqrt{\frac{1}{P_{tot}} \int (v - v_d)^2 |S_{tot}^V(v)|^2 dv}$$

$$P_{tot} = \int |S_{tot}^D(D)|^2 dD$$

$$\langle D \rangle_d = \frac{1}{P_{tot}} \int D |S_{tot}^D(D)|^2 dD$$

$$\sigma_d^D = \sqrt{\frac{1}{P_{tot}} \int (D - D_d)^2 |S_{tot}^D(D)|^2 dD}$$

# The Doppler spectrum in terms of radar cross section

$$sZ_{HH}(v)dv = N(D\{v\})\sigma_{HH}(D\{v\}) \left| \frac{dD}{dv} \right| dv$$


So, if we measure the Doppler spectrum,  
we can retrieve the dropsize distribution

Complication:

Doppler spectrum broadening by turbulence;  
Shifted by mean wind

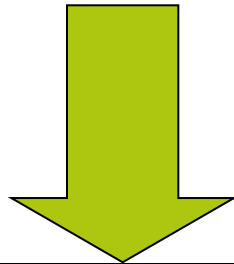
# Procedure

Measure the Doppler spectrum

Compare the observation with the model

Change the model parameters (No, Do for instance)

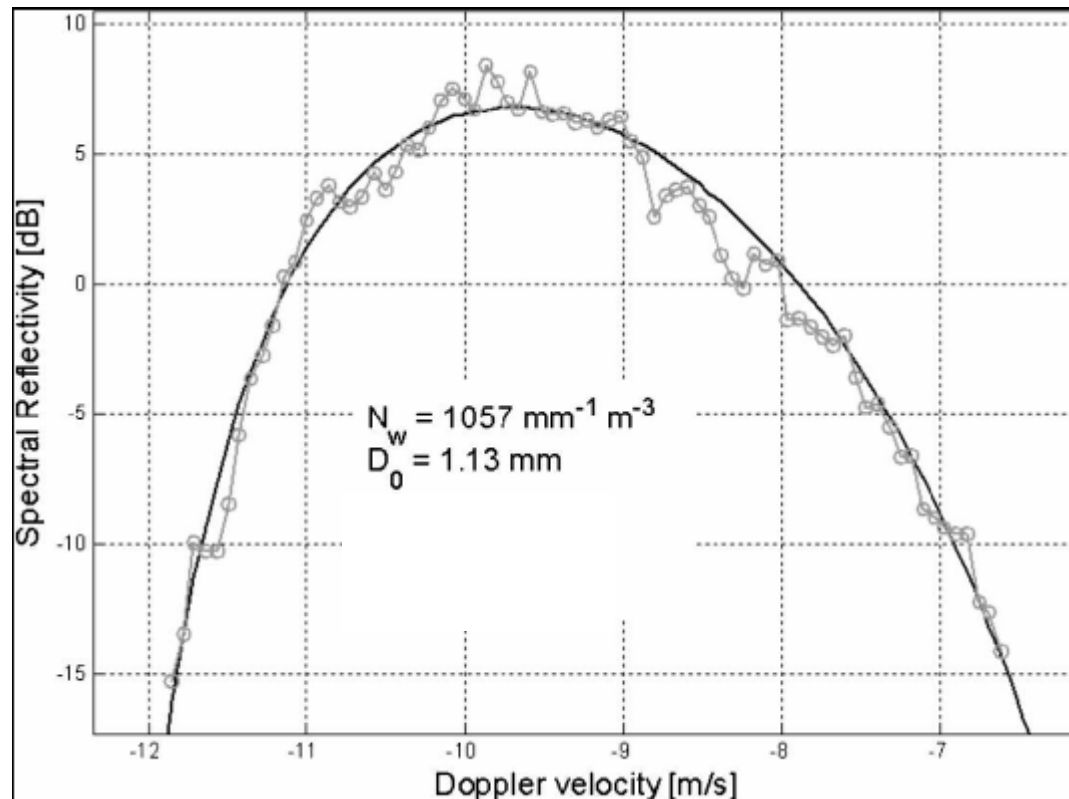
Minimize the difference between the model and observation



**Results: droplet size distribution**

plus impact of errors due to turbulence and wind

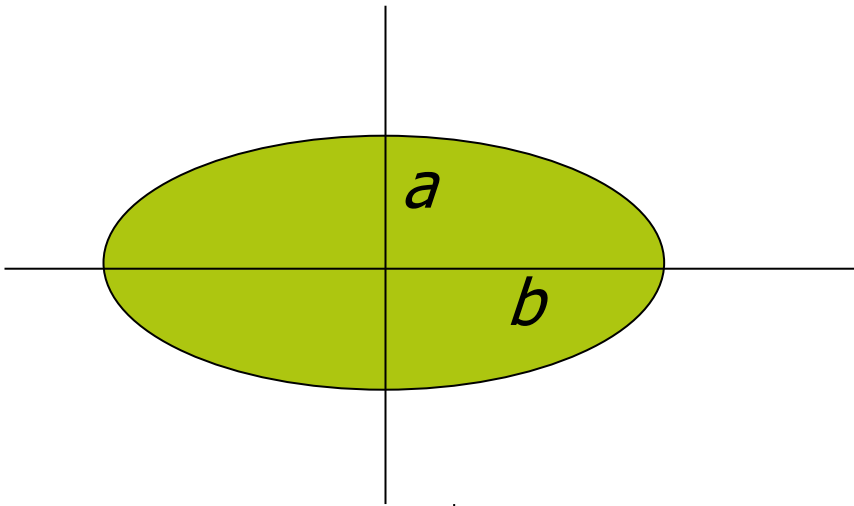
# Example: measured Doppler spectrum plus curve fit



Courtesy Moisseev

# Can we combine Doppler and polarization?

- 1- the fall speed depends on the particle size (Doppler)
- 2- the axial ratio depends on the particle size (polarization)



Model of axial ratio

$$\frac{a}{b} = 1 - \beta D$$

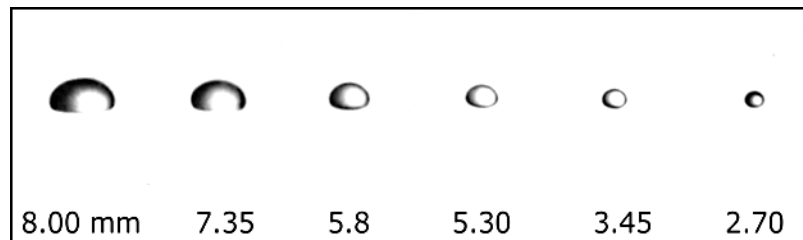
$$\rightarrow Z_{dr} = \frac{\int N(D) \sigma_{hh}(D, \beta) dD}{\int N(D) \sigma_{vv}(D, \beta) dD} = \frac{\int N^v(v) \sigma_{hh}^v(v, \beta) dv}{\int N^v(v) \sigma_{vv}^v(v, \beta) dv}$$



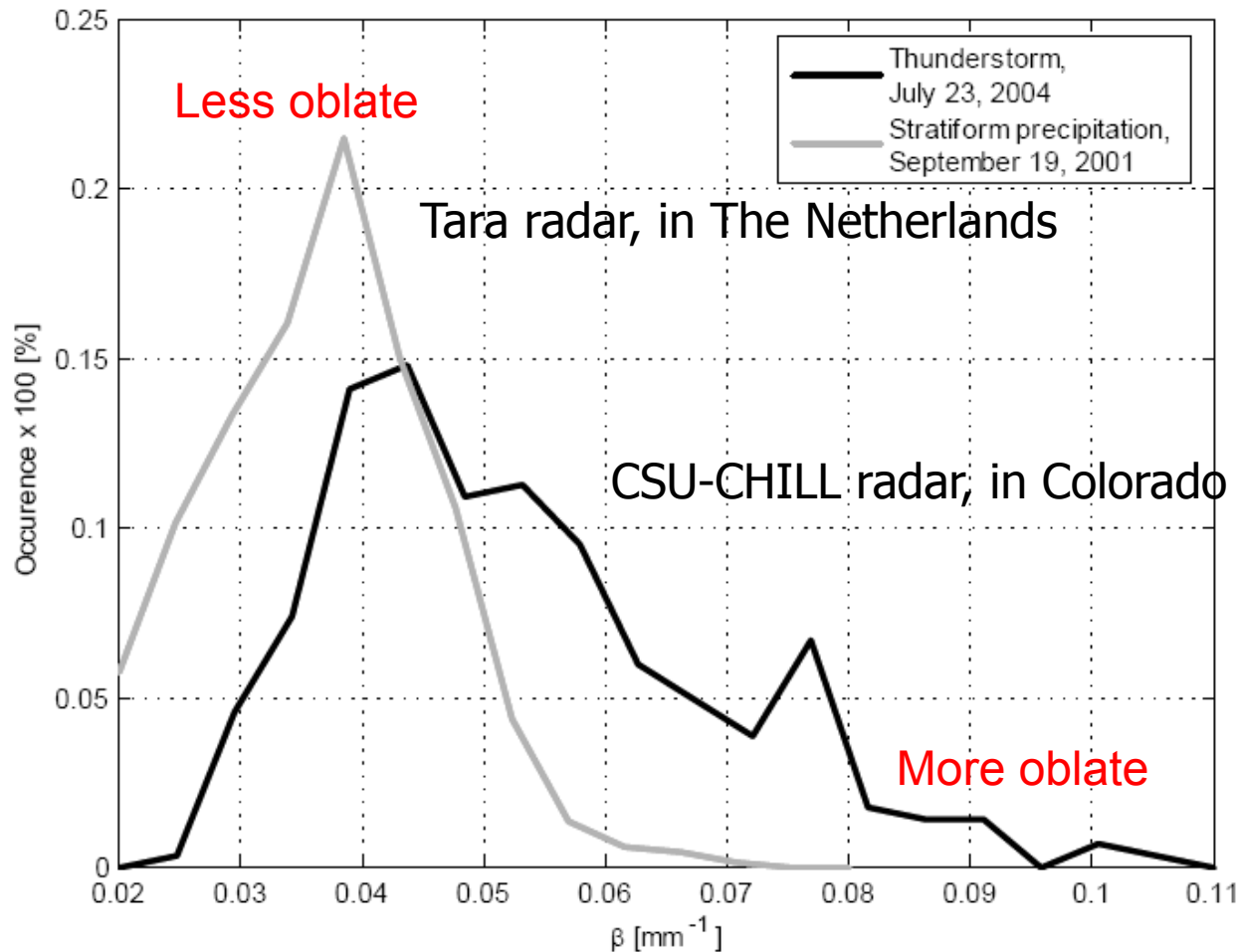
# Combine the Doppler spectrum and the Zdr

Doppler spectrum  Dropsize distribution

Dropsize distribution + Zdr   $\frac{a}{b} = 1 - \beta \cdot D$

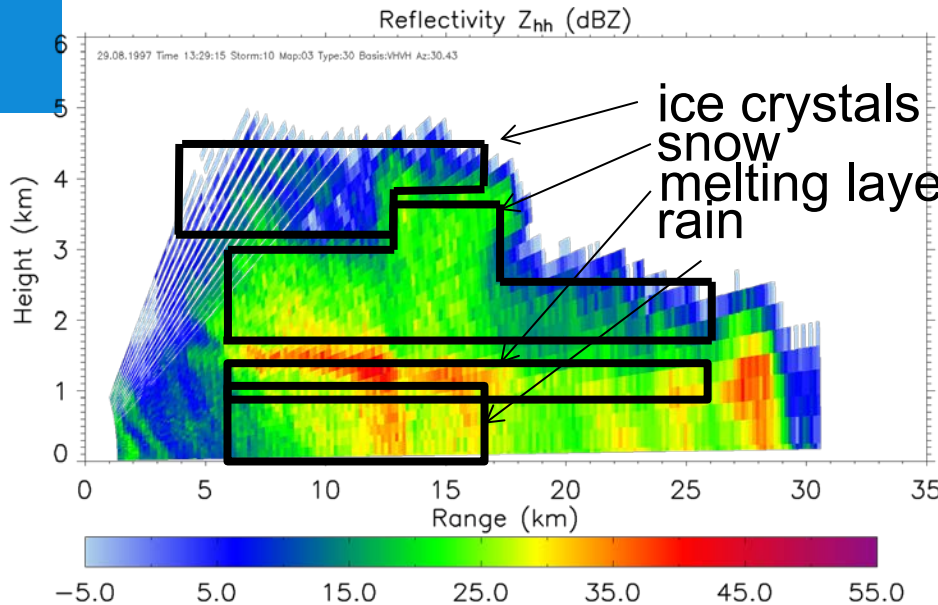


# Example of retrieved drop shapes



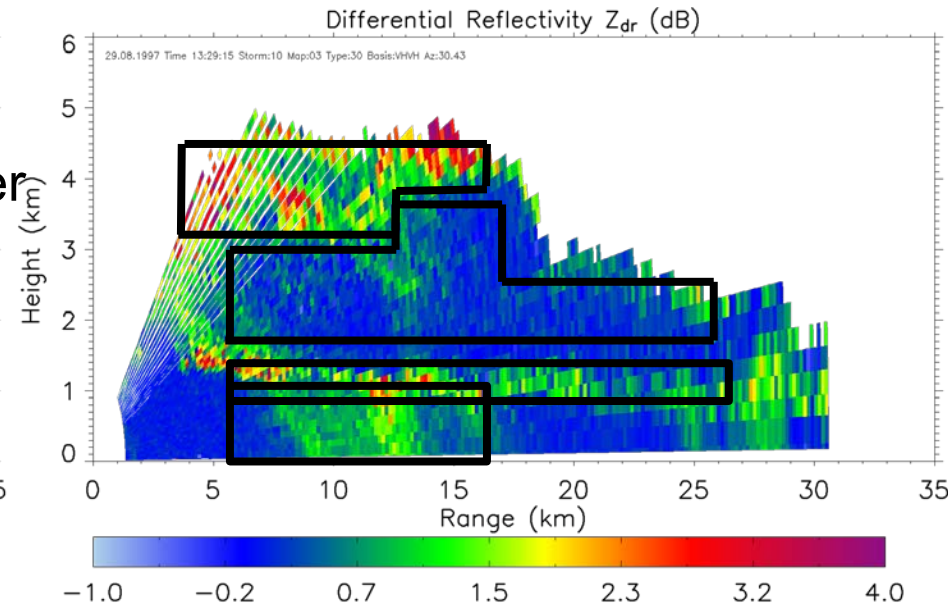
Courtesy Moisseev

# The $Z_{dr}$ can also be used for hydrometeor classification



Reflectivity

$$Z_{hh} = 10 \log CR^2 \bar{P}_{hh} \text{ (dBZ)}$$

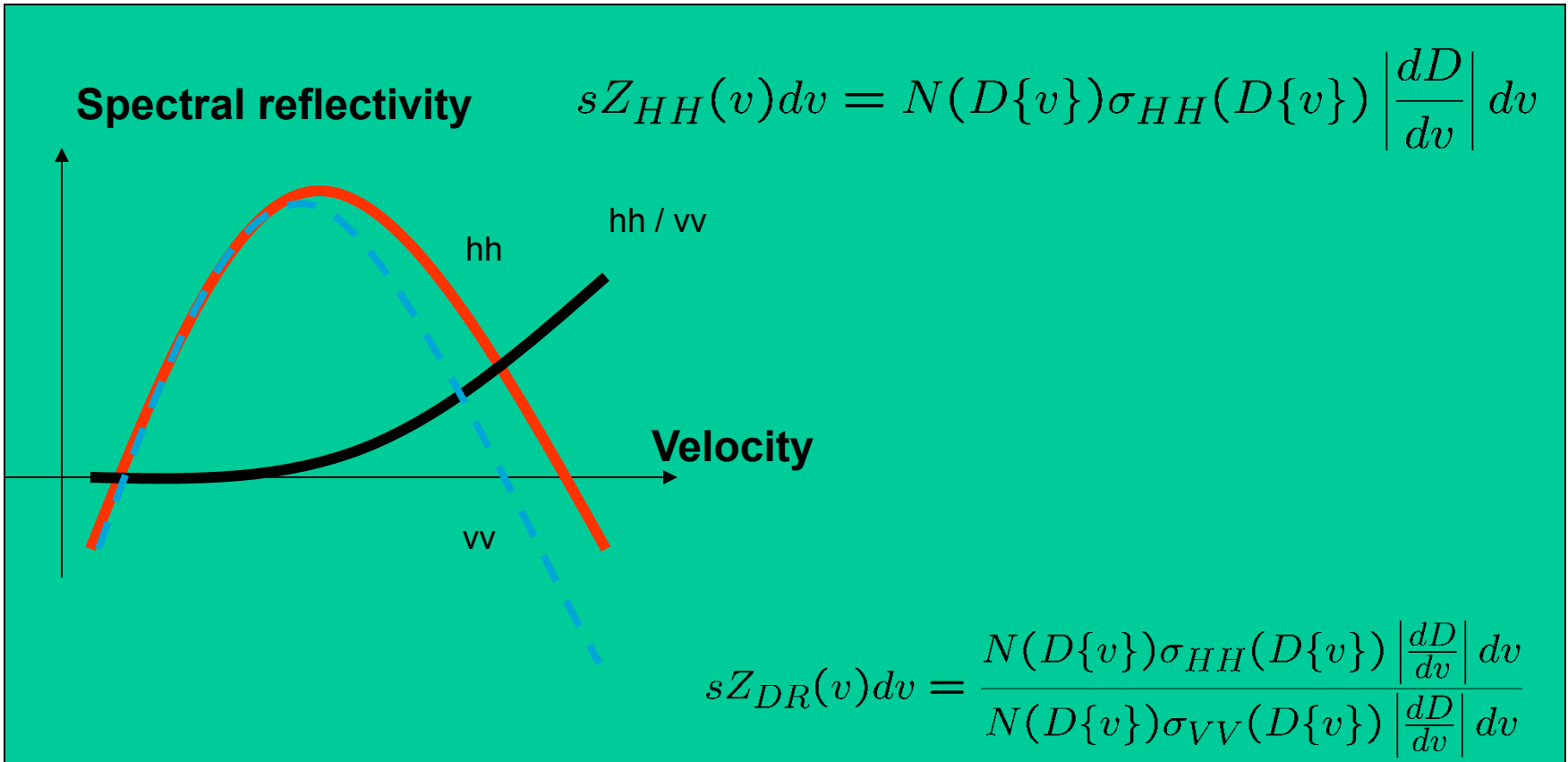


Differential Reflectivity

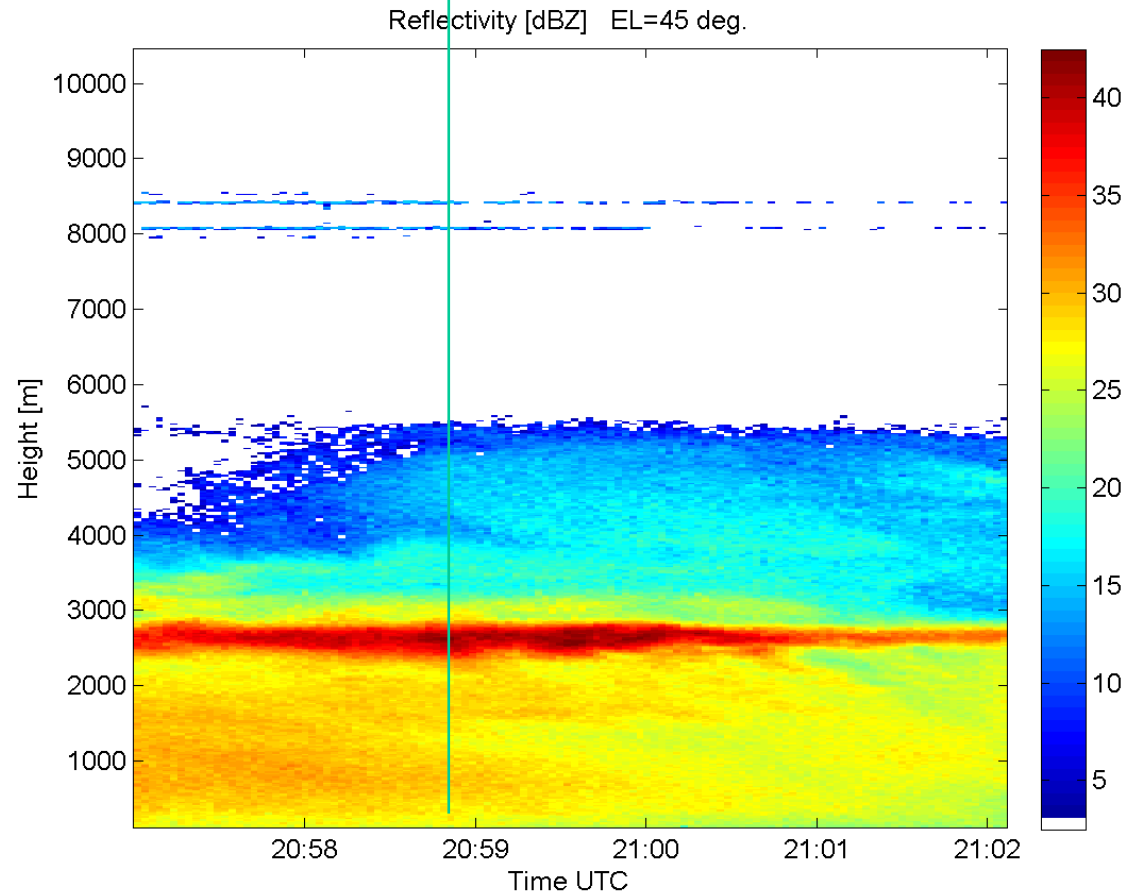
$$Z_{dr} = 10 \log \frac{\bar{P}_{hh}}{\bar{P}_{vv}} \text{ (dB)}$$

Data: POLDIRAD (DLR, Oberpfaffenhofen, Germany), Prof. Madhu Chandra

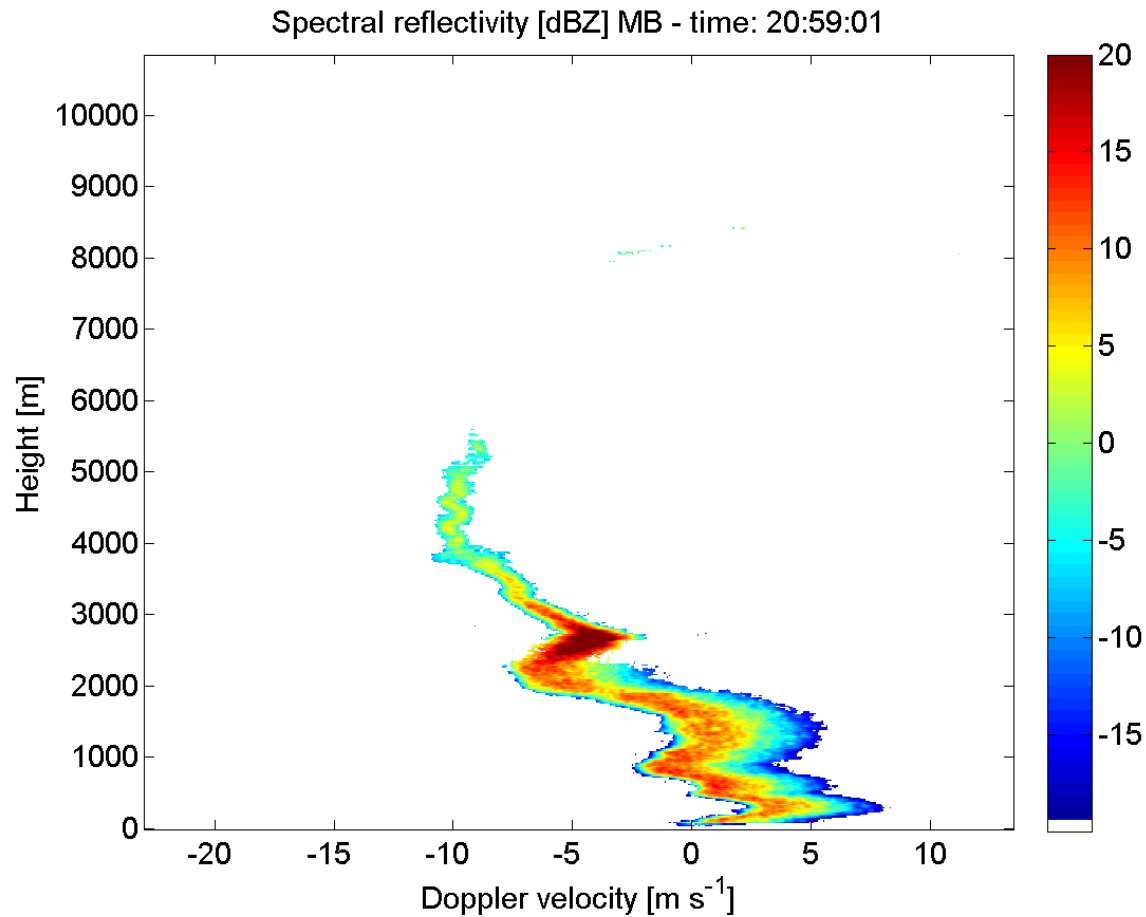
# Spectral differential reflectivity



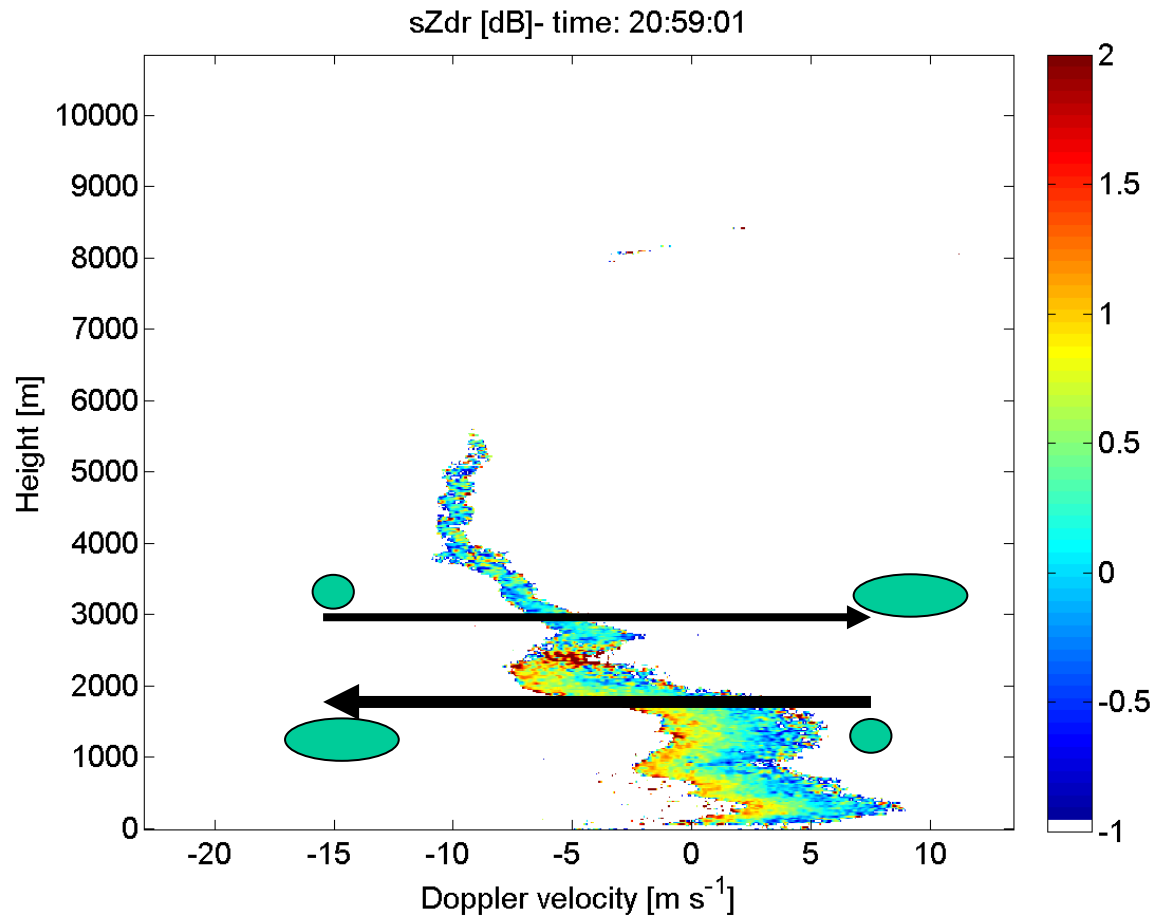
# Time height reflectivity



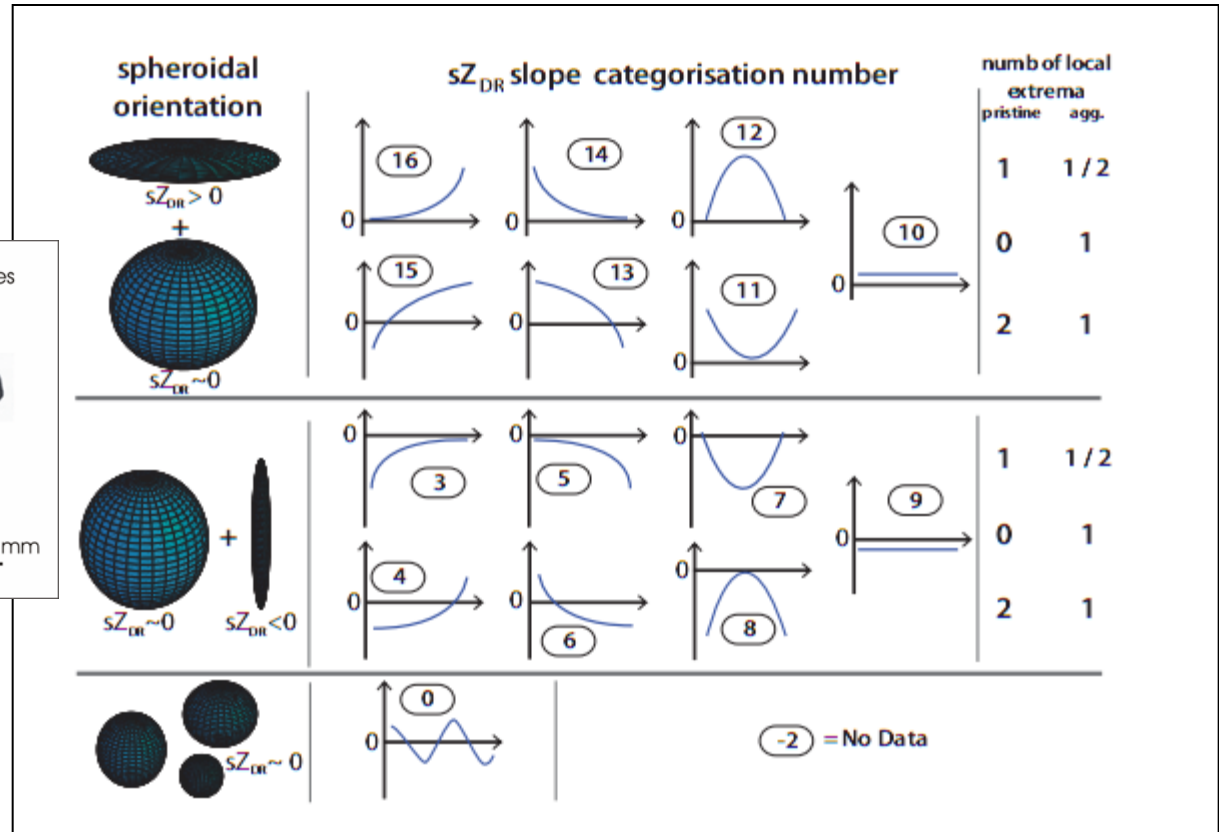
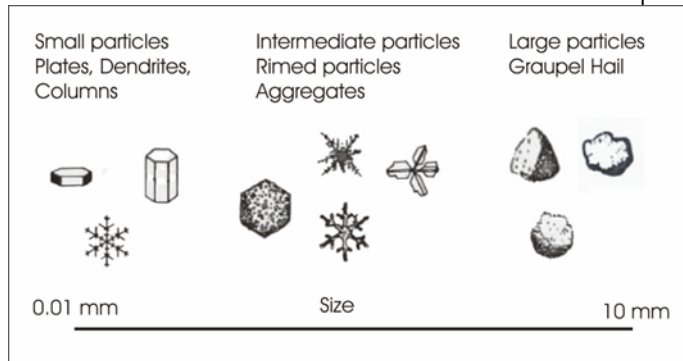
# Spectrogram reflectivity



# Spectrogram differential reflectivity

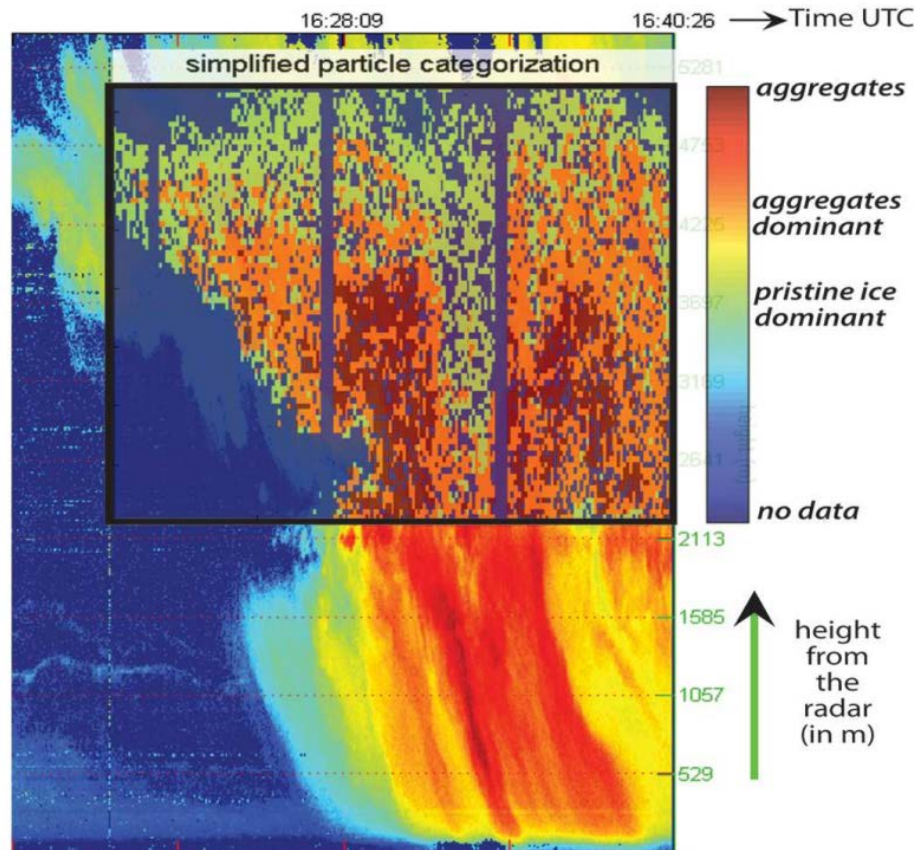


# Ice crystal classification



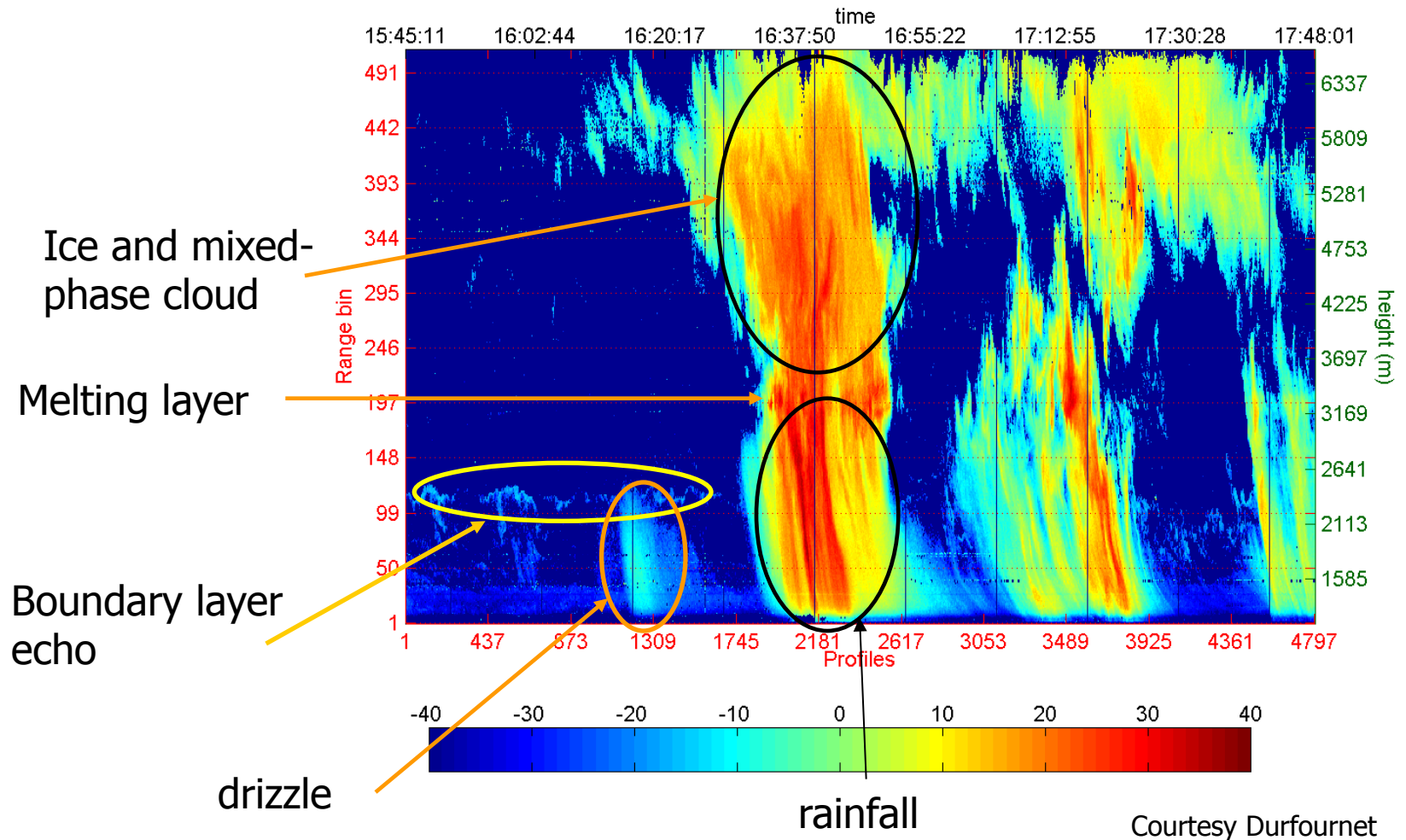


# Spectral-polarimetric classification



Courtesy Durfournet

# Observation plus model leads to a better understanding of rainfall formation



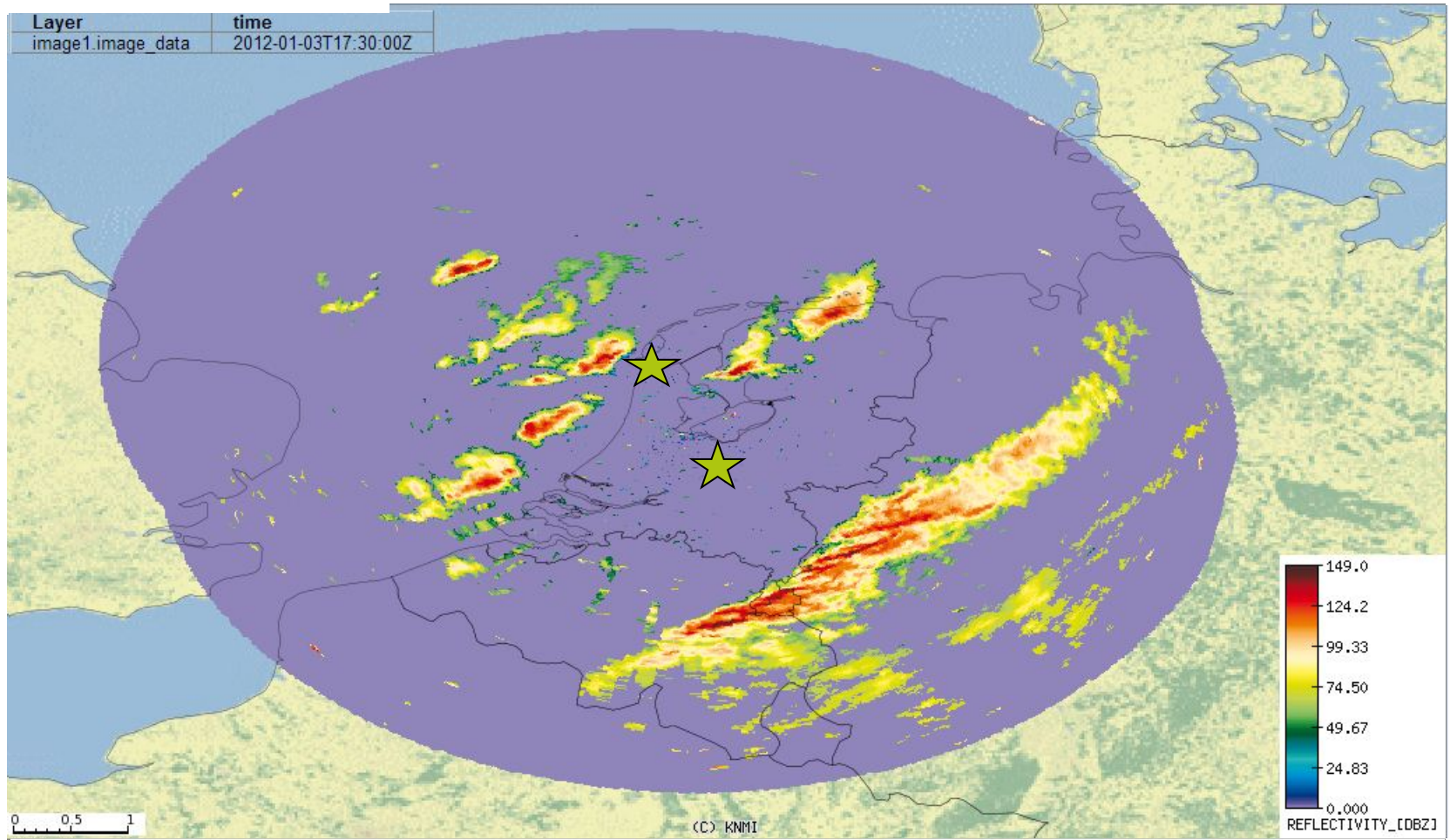
# Short summary of remote sensing in this course

- Radar signals: behaviour, estimation of appropriate descriptors, accuracy
- The use of models for data interpretation
- Scattering by spheres
- Use scattering theory to define useful signal characteristics we need for the observations
- The inverse problem
- Application to Doppler-polarimetric weather radar

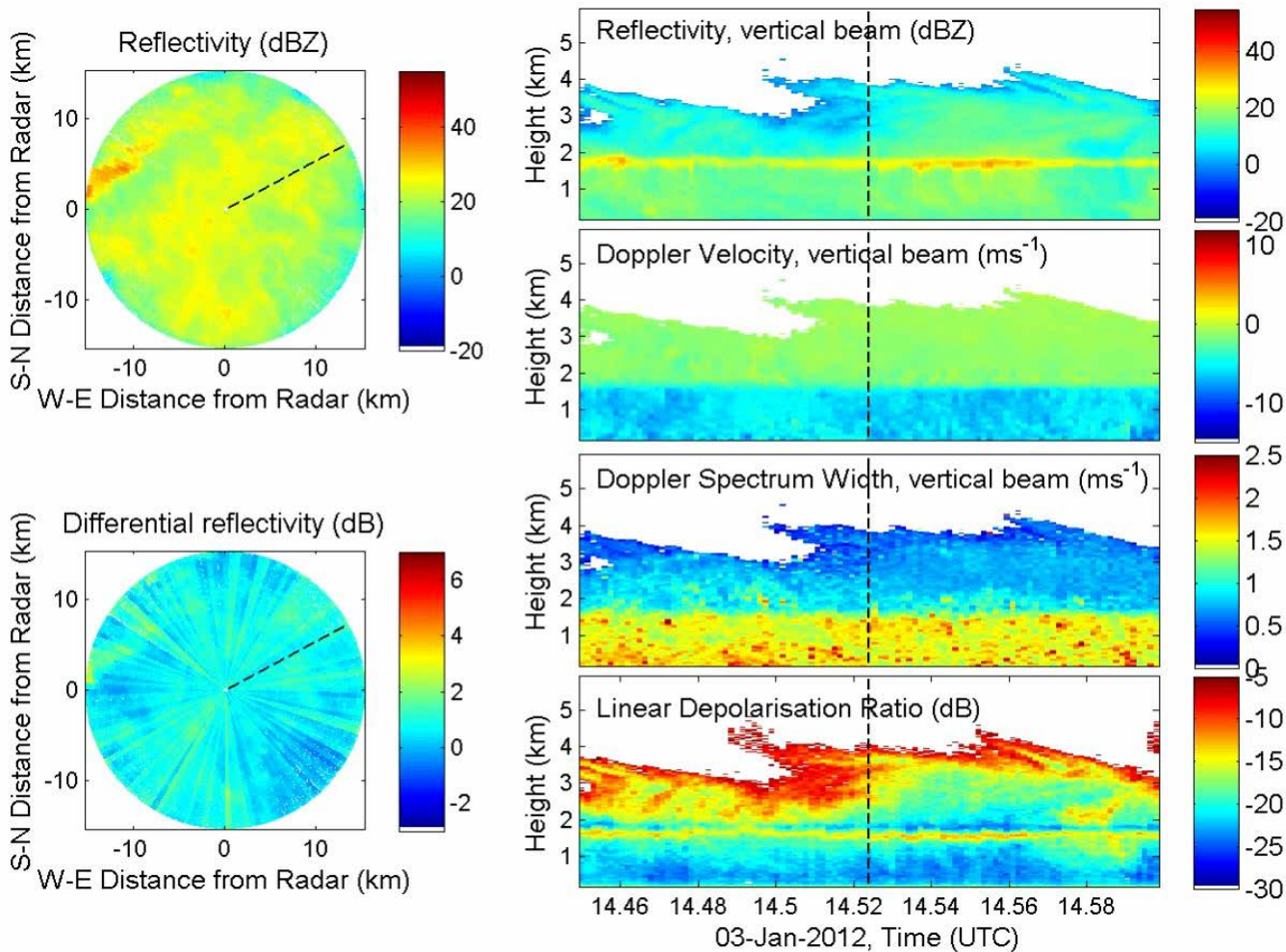
# Composite KNMI C-band Radar

17:30 UTC

Radar position ★



# Clouds and rainfall



Courtesy of Tobias Otto, Yann Dufournet, Christine Unal