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**DOE ARM Science Team Meeting**  
**March 11, 2008**

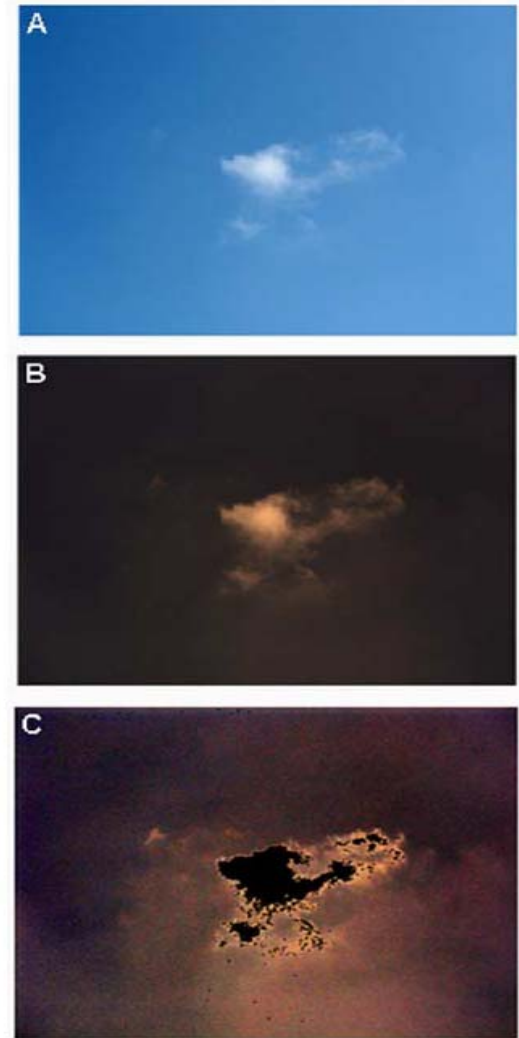


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NASA HQ Science  
Mission Directorate  
Radiation Sciences Program



Funded by  
Department of Energy  
Atmospheric Radiation Measurement Program  
Atmospheric Science Program

- Satellite, airborne, and surface sensors have noted significant changes in aerosol properties in transition zones near clouds (“Twilight Zone”, Koren et al., 2007)
  - Area of forming/evaporating cloud fragments and hydrated aerosols
- Increase in aerosol optical thickness (5-25%) has been observed in such zones
- Other studies have pointed out existence of continuum in optical depths and cloud albedos rather than distinct separation of aerosol and cloud values (Charlson et al., 2007)
- Questions:
  - How do the sizes of such zones vary ?
  - How are these effects related to hygroscopic growth, increased particle production, in cloud processing?
  - How do we interpret satellite observations of such zones given 3-D cloud radiative effects, sample bias, etc. ?
- We use combination of advanced ground (SGP Raman Lidar) and airborne (NASA/LaRC HSRL) lidars to address these questions

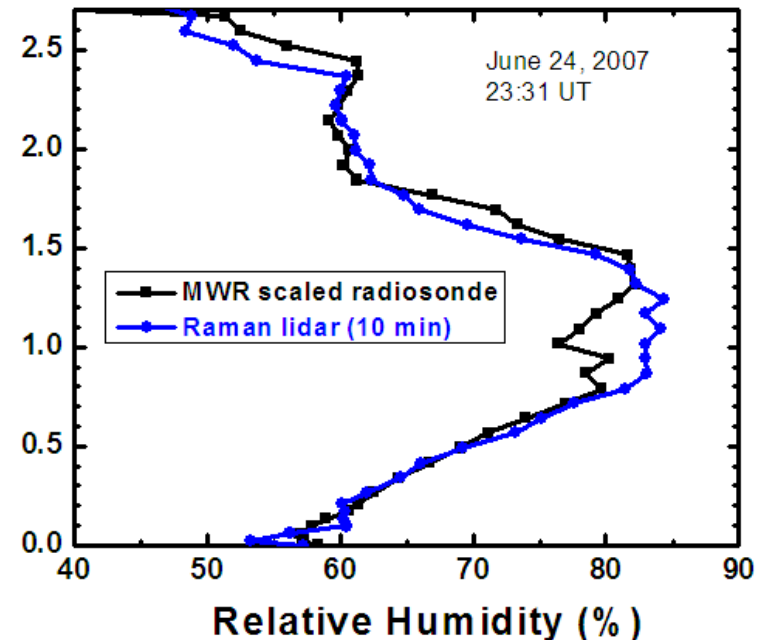
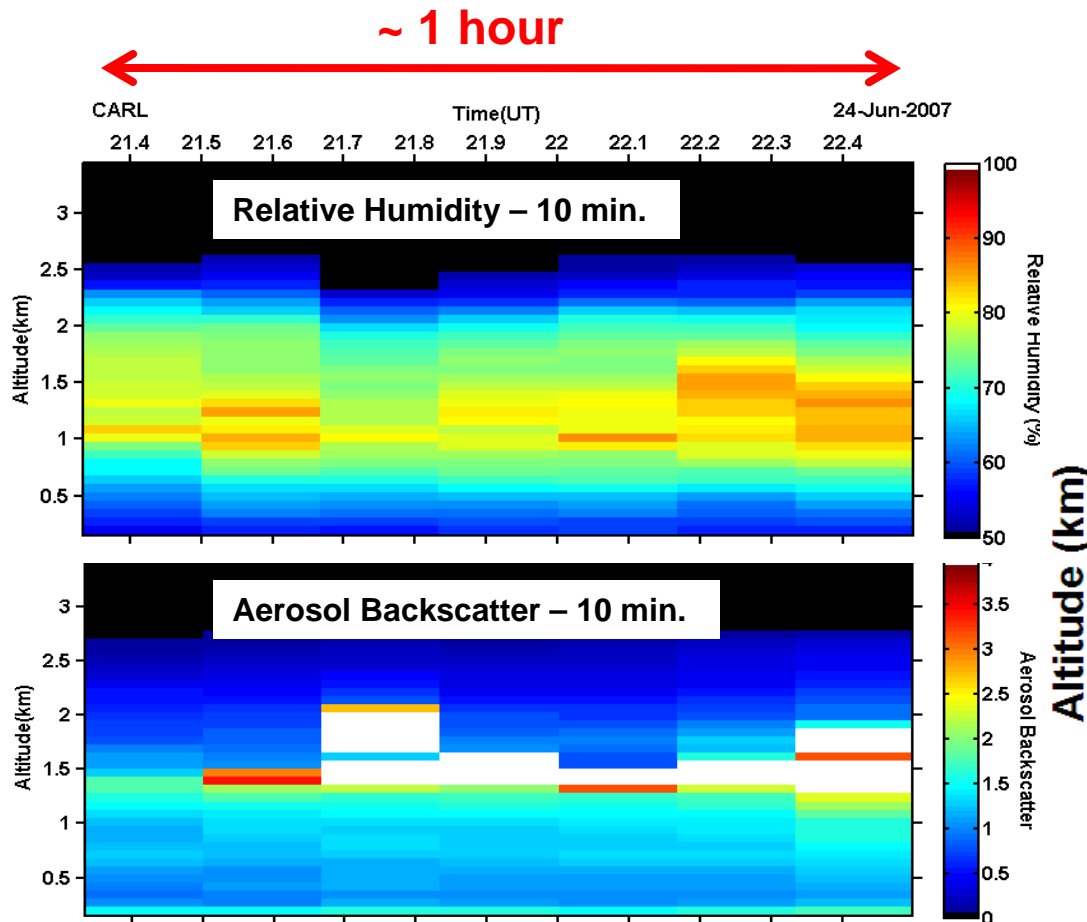


Koren et al., 2007

- Water vapor, aerosol, depolarization profiles
- Precipitable water vapor and aerosol optical thickness (355 nm)
- Designed for continuous, autonomous (24/7) operation
- Operational retrievals since 1998



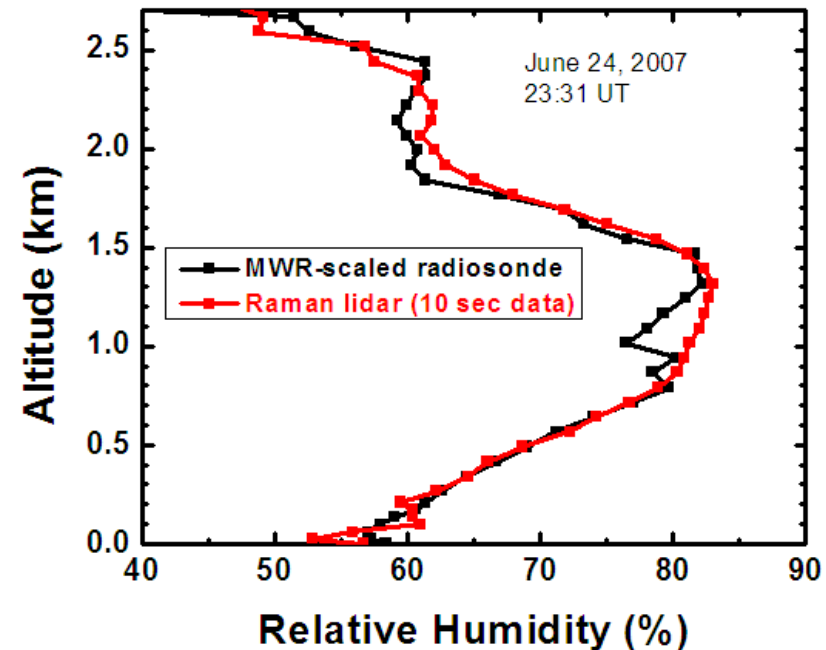
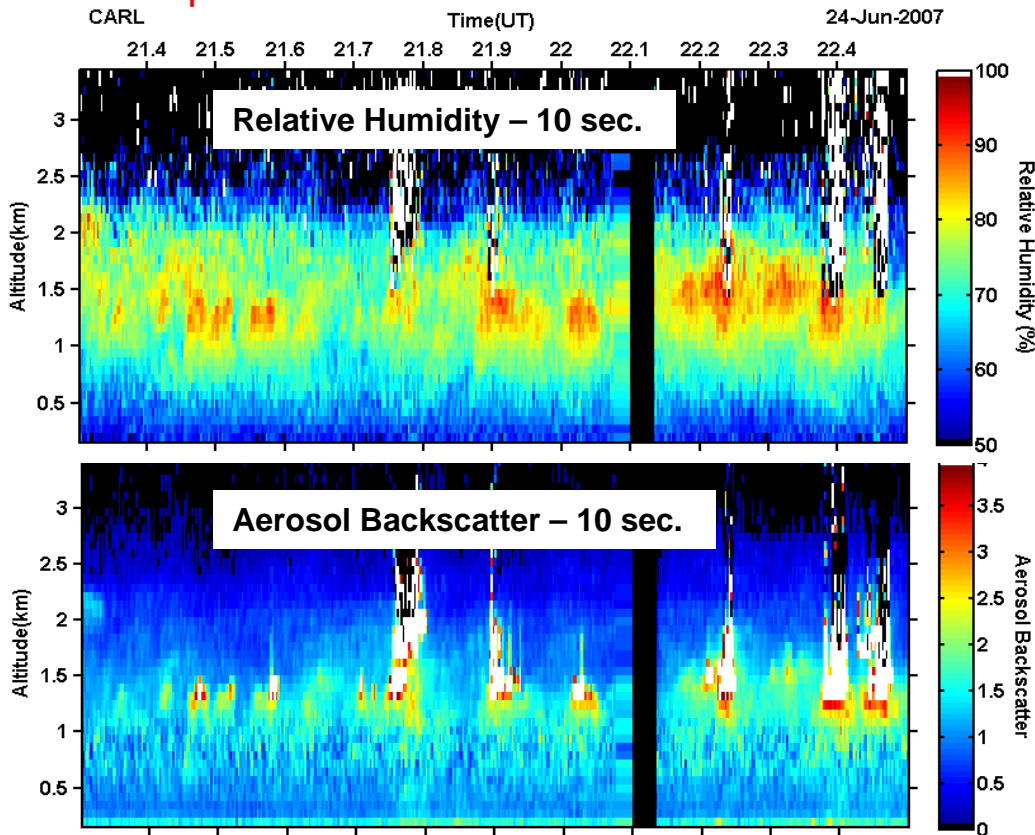
(Turner et al., JAOT, 2002)



- Water vapor, aerosol, depolarization profiles
- Precipitable water vapor and aerosol optical thickness (355 nm)
- Designed for continuous, autonomous (24/7) operation
- Operational retrievals since 1998
- **Hardware (2004) and software (2006-2007) upgrades now permit rapid (10 sec – 1min) water vapor and aerosol profiles**



(Turner et al., JAOT, 2002)



## HSRL Technique:

- Relies on spectral separation of aerosol and molecular backscatter in lidar receiver
- Independently measures aerosol backscatter, extinction, and optical thickness
- Internally calibrated
- Provides **intensive** aerosol parameter to help determine aerosol type

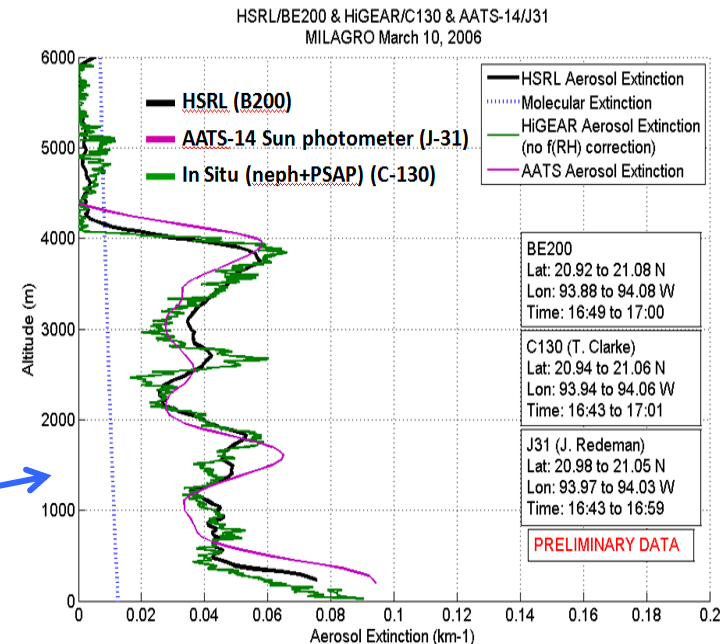


## Data Products

- Aerosol scattering ratio (aerosol/molecular backscatter) (532 nm) ( $\Delta x \sim 1$  km,  $\Delta z \sim 60$  m)
- Aerosol backscatter coefficient at 532 nm ( $\Delta x \sim 1$  km,  $\Delta z \sim 60$  m)
- Aerosol extinction coefficient at 532 nm ( $\Delta x \sim 6$  km,  $\Delta z \sim 300$  m)
- Aerosol wavelength dependence (532/1064) (i.e. Angstrom exponent for aerosol backscatter) (similar to backscatter color ratio)
- Aerosol extinction/backscatter ratio ("lidar ratio") (532 nm) ( $\Delta x \sim 6$  km,  $\Delta z \sim 300$  m)
- Aerosol depolarization (532 and 1064 nm) ( $\Delta x \sim 1$  km,  $\Delta z \sim 60$  m)

Extensive – depend on aerosol amount and type  
 Intensive – depend on aerosol type

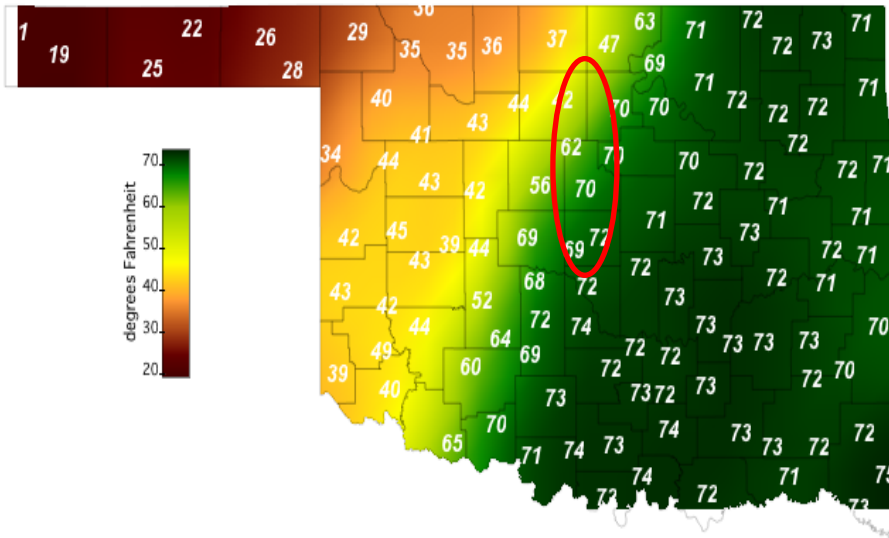
## Validation – aerosol extinction



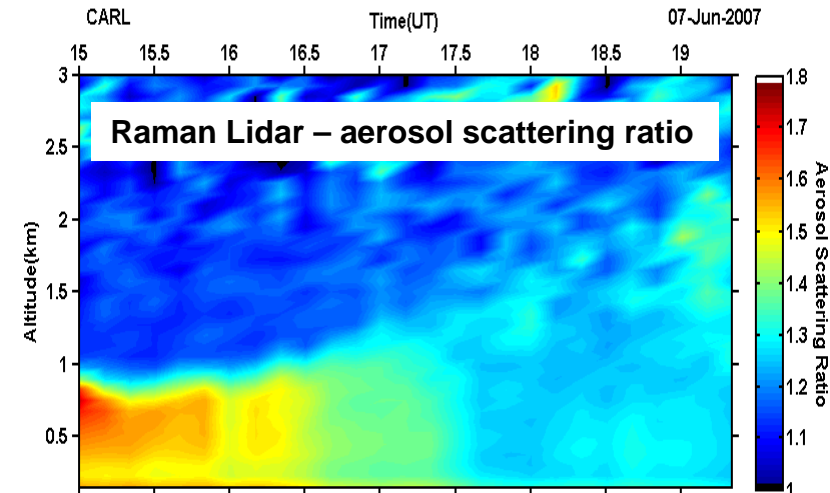
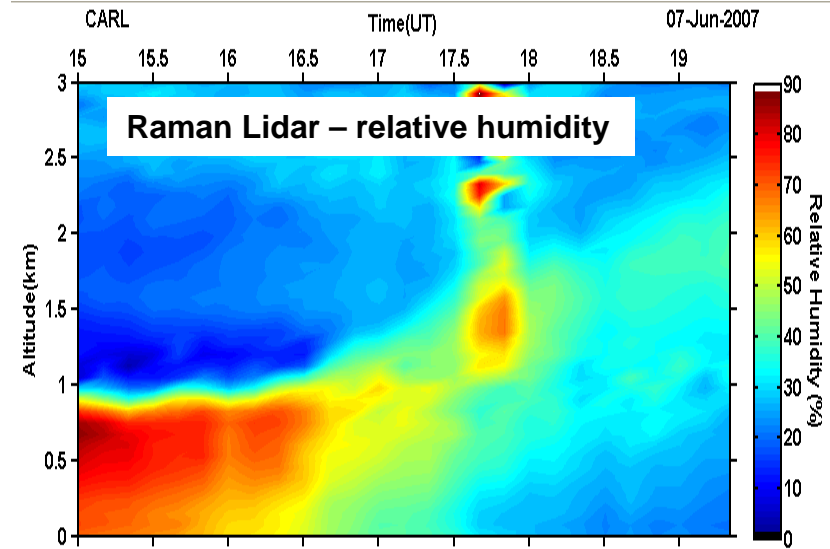
AATS-14 data (Jens Redemann, Phil Russell)  
 HIGEAR in situ data (Antony Clarke)

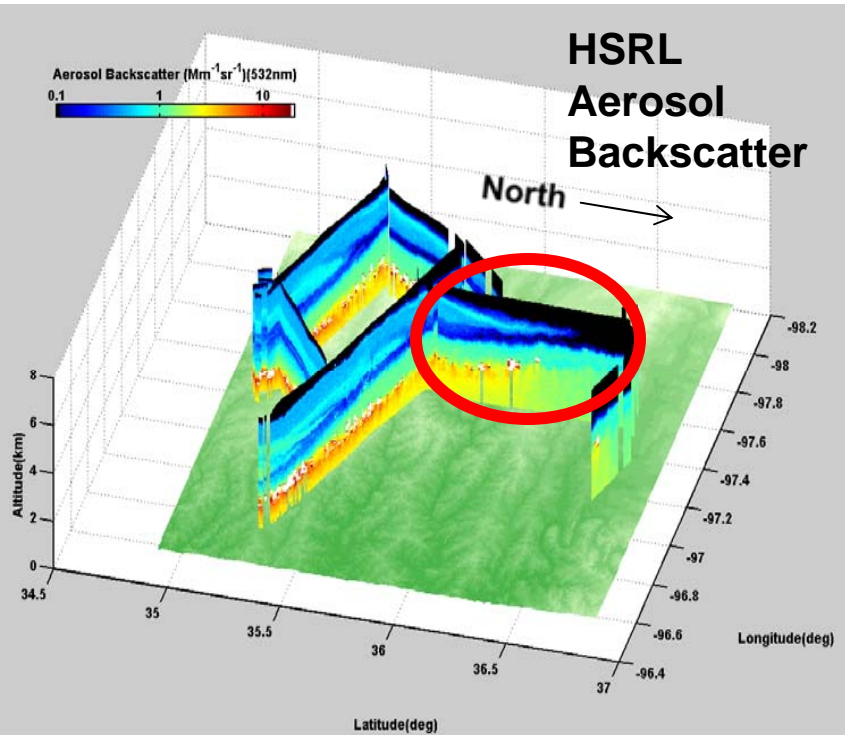
- Dry line passed from NW to SE over SGP site and crossed the region between the SGP and OKC
- Raman Lidar measurements show large decrease in water vapor after passage of dry line

OK Mesonet; Surface Dew Point 20:00 UT



Temperature: Dew Point  
06-07-2007 03:00 PM CDT

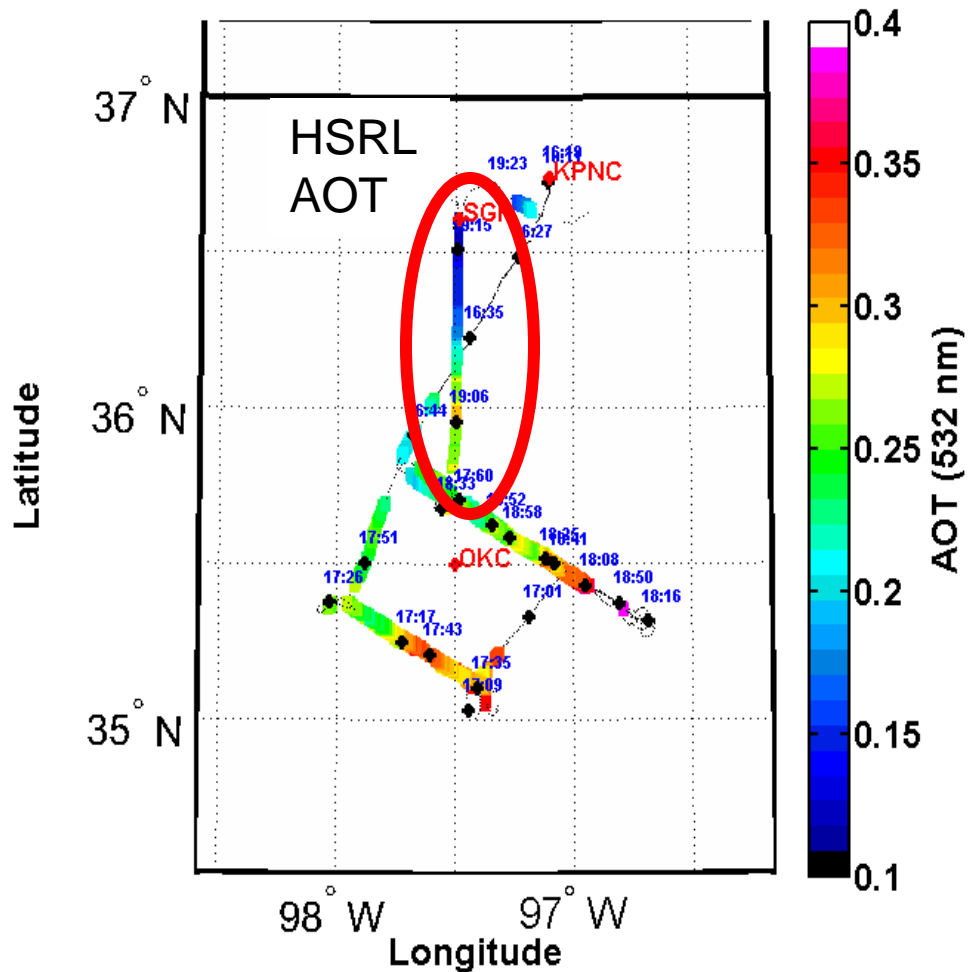
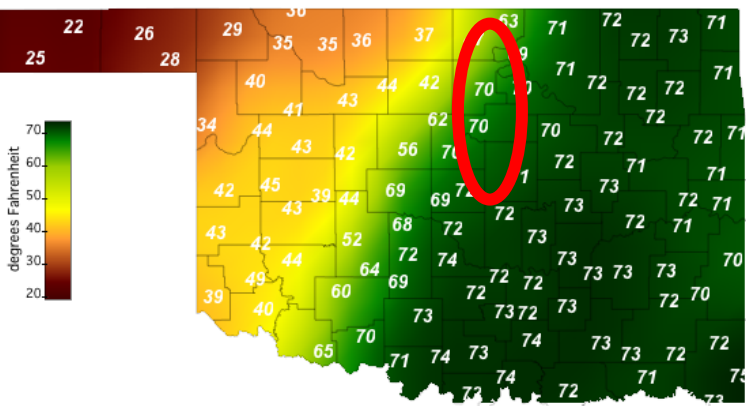




HSRL measurements show:

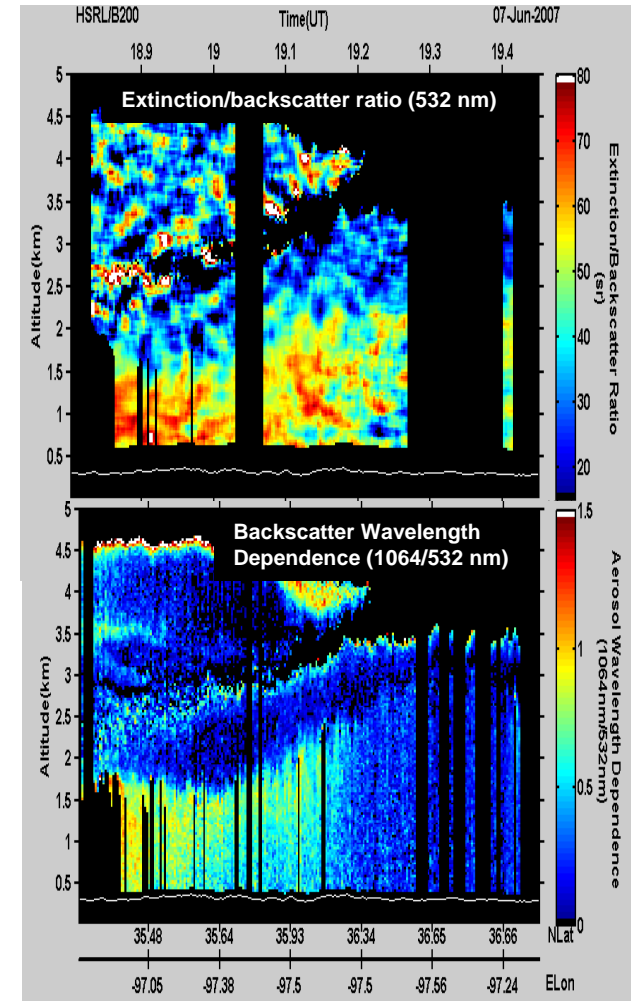
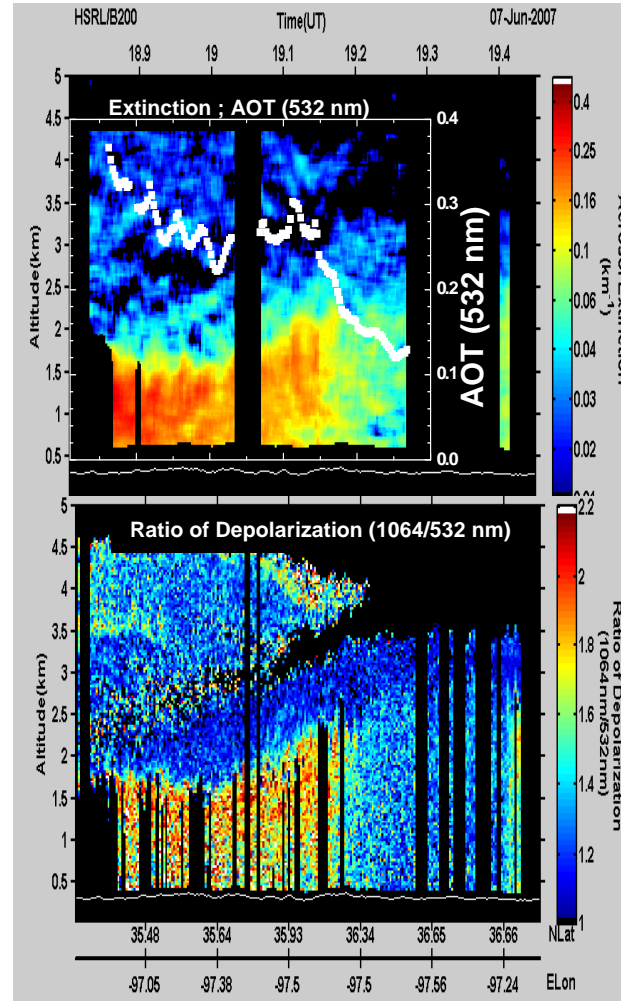
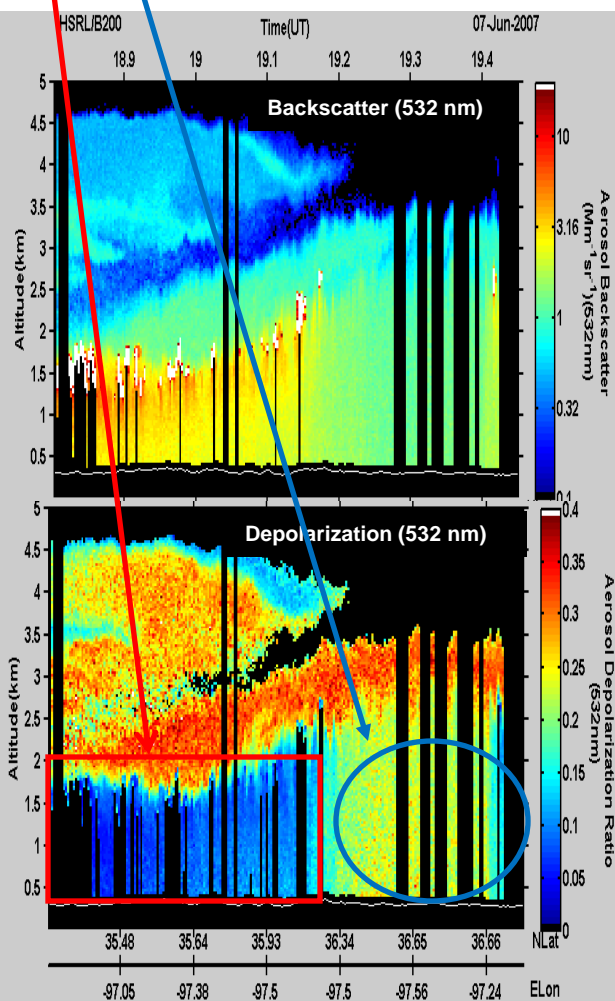
- High AOT ahead (SE) of dry line in OKC region
- Large decrease in AOT behind (NW) of dry line

OK Mesonet; Surface Dew Point 20:00 UT



## LaRC Airborne HSRL Measurements over between OKC and SGP over dry line, June 7, 2007

- **South, OKC, humid - high  $S_a$ , high WVD, low depolarization – urban, small, spherical**
- **North, SGP, dry - low  $S_a$ , low WVD, high depolarization – dustlike, large, nonspherical**

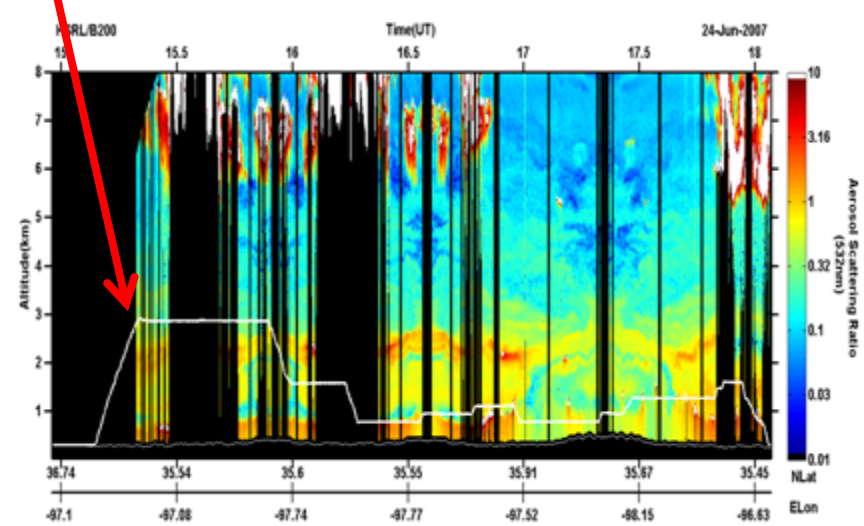
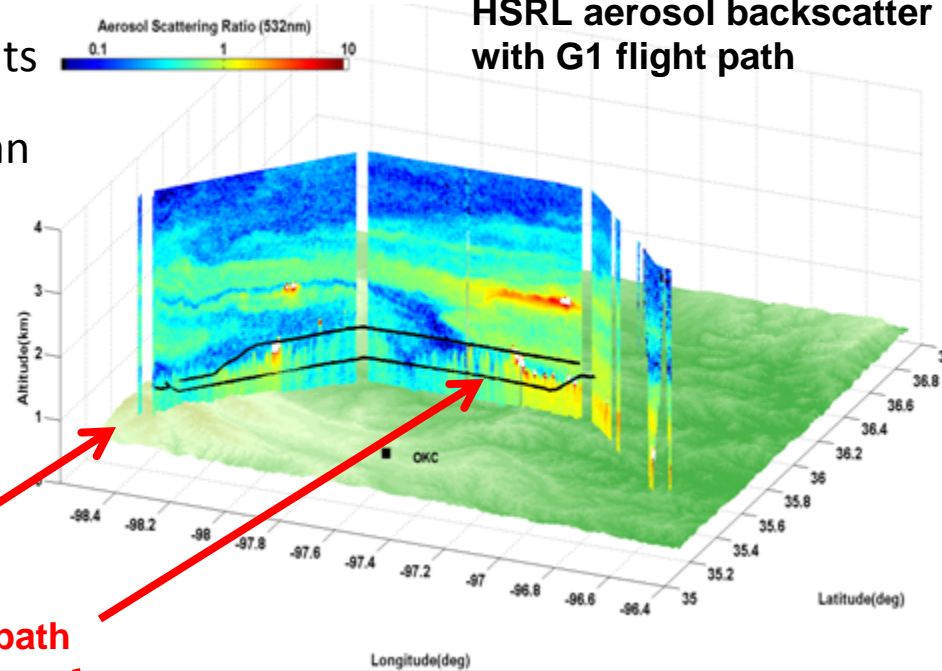
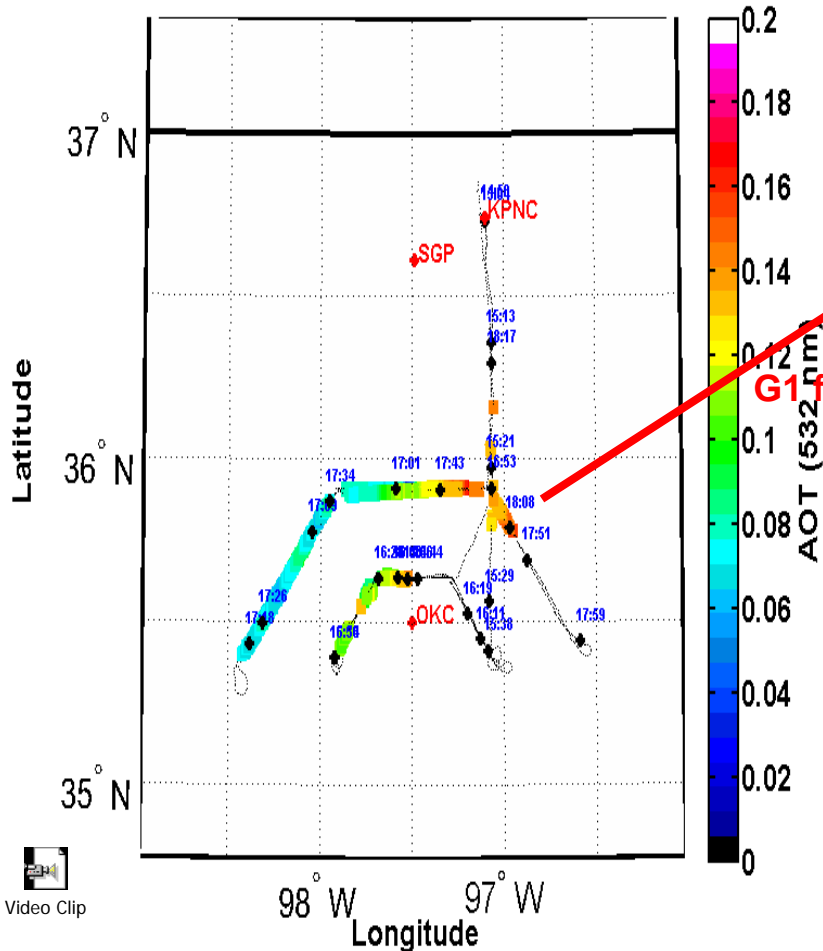




## HSRL measurements :

- Provide vertical context for G1 measurements
- Investigate changes in aerosol optical properties as a function of proximity to urban center (ex. upwind vs. downwind of OKC)

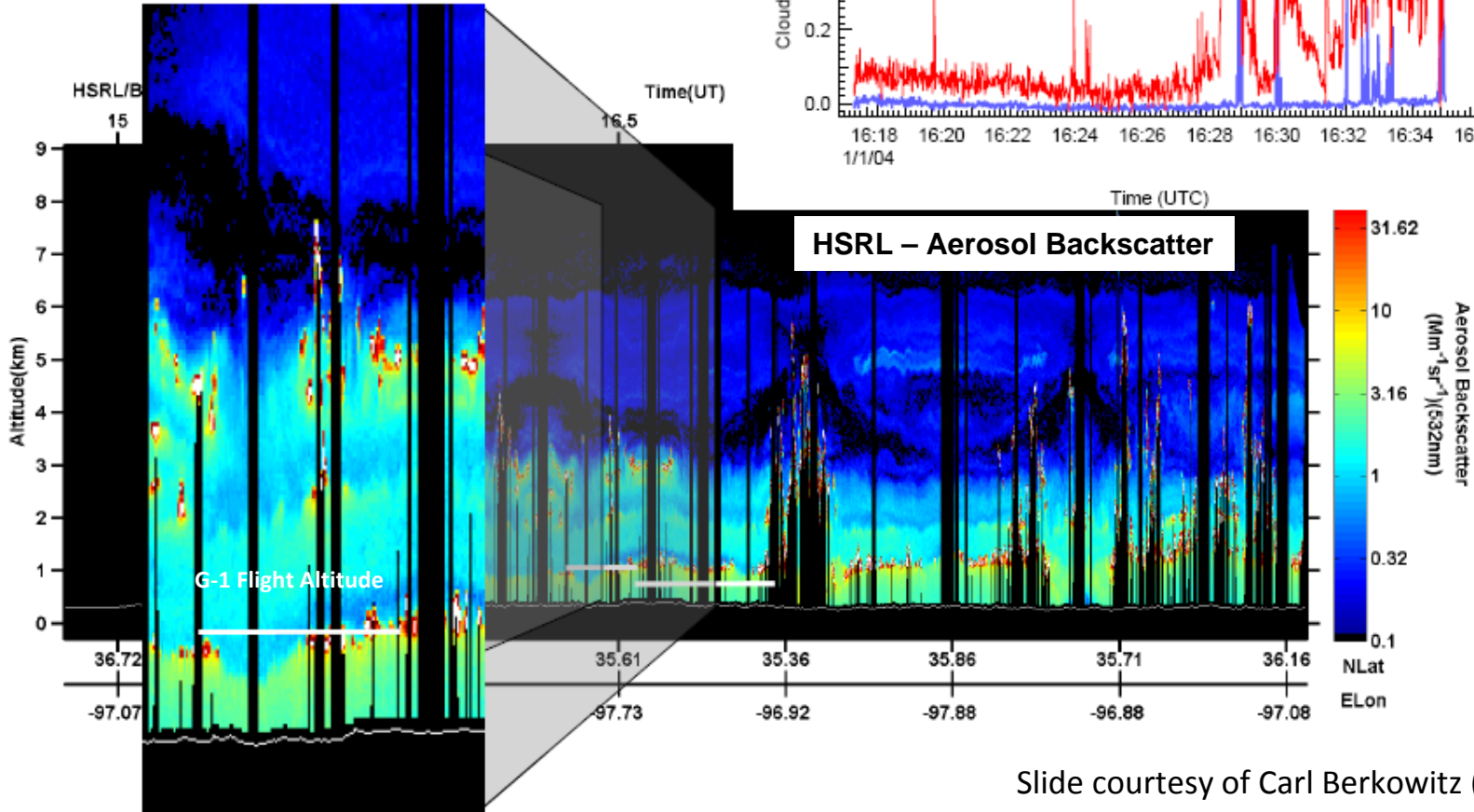
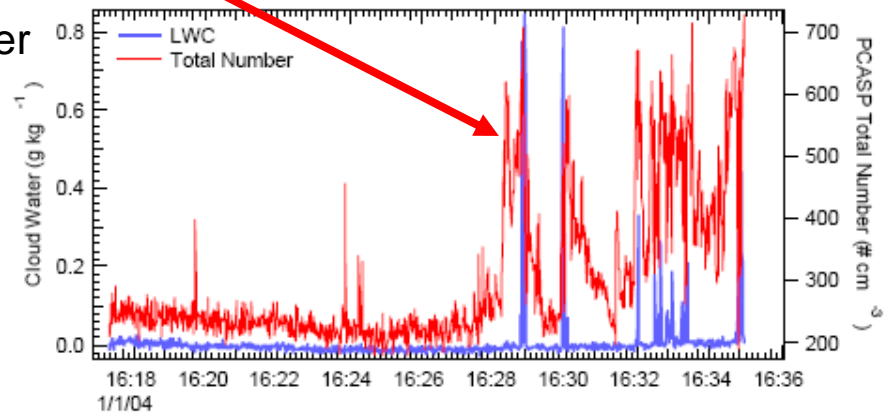
## HSRL aerosol backscatter with G1 flight path

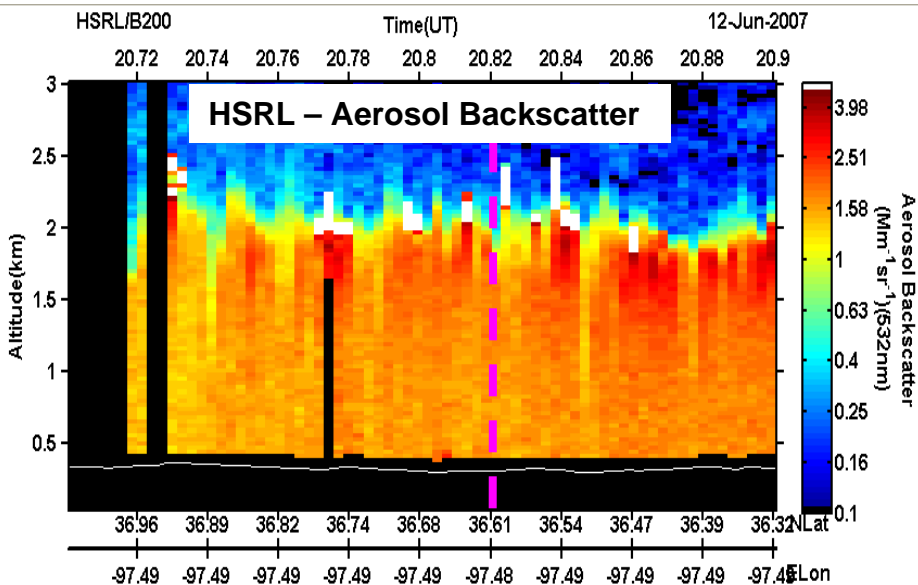
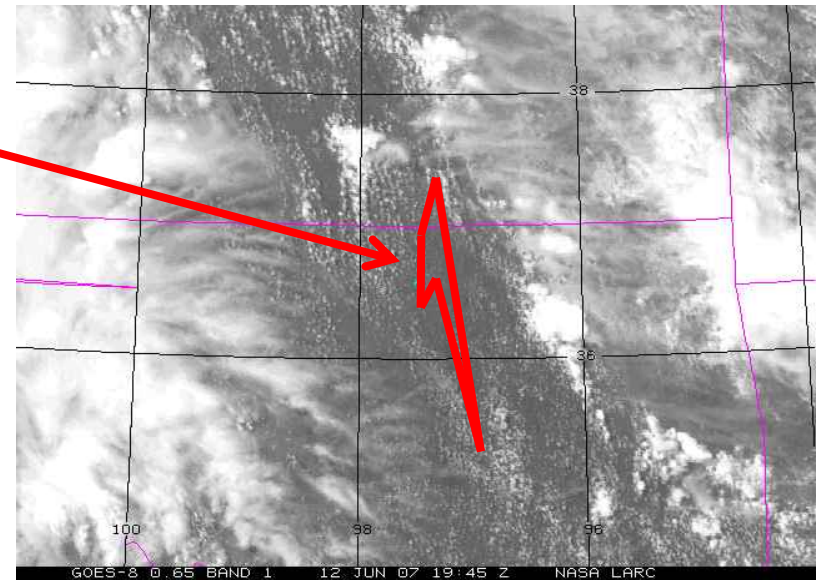
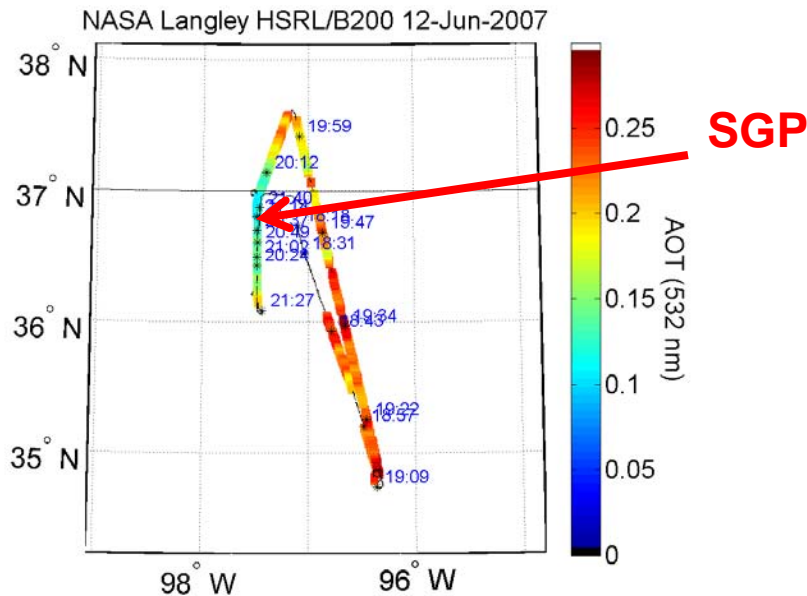


- Increases in total aerosol number measured by PCASP instrument on G-1 suggests penetration of plume from Oklahoma City
- However, coincident HSRL aerosol backscatter measurements show these aerosol number variations are due to G-1 flying in and out of PBL rather than Oklahoma City plume

June 23, 2007 DOE CHAPS Mission

G-1 In situ Measurements





HSRL measurements acquired over ARM SGP Raman lidar to investigate:

- Investigate changes in aerosol optical properties as a function of
  - RH
  - Distance from clouds
- Advanced, multi-wavelength lidar retrievals

HSRL measurements used to study spatial variations of aerosol optical properties near clouds

- Temporal resolution: 2 sec
- Vertical resolution:
  - 30 m backscatter
  - 300 m extinction
- Averaged data within +/- 60 m of cloud top
- Compare aerosol properties adjacent to cloud edge with properties some distance away from cloud edge

~ 10 min (60 km)

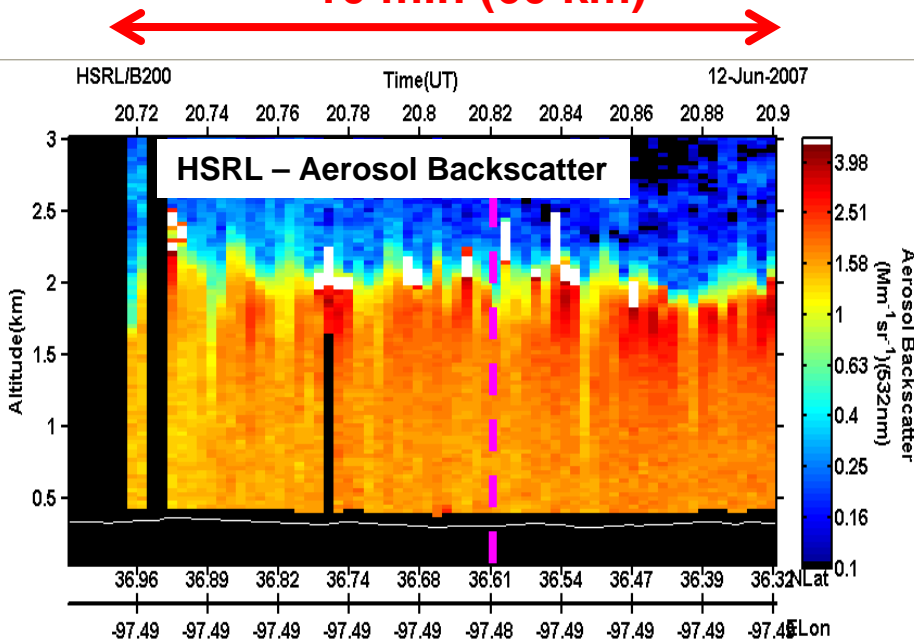
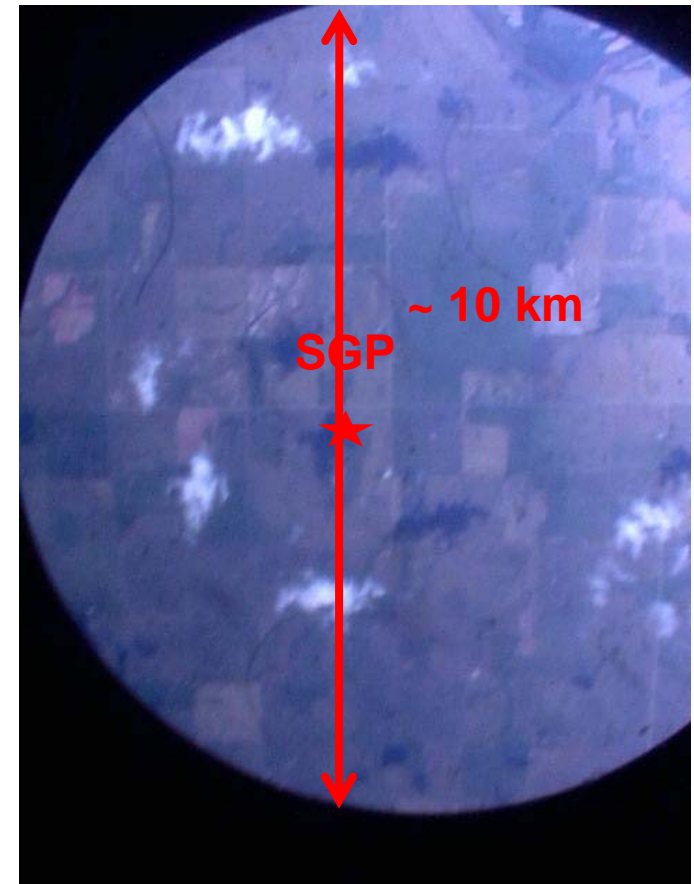


Image from digital camera on NASA B200 King Air

20070612\_204915



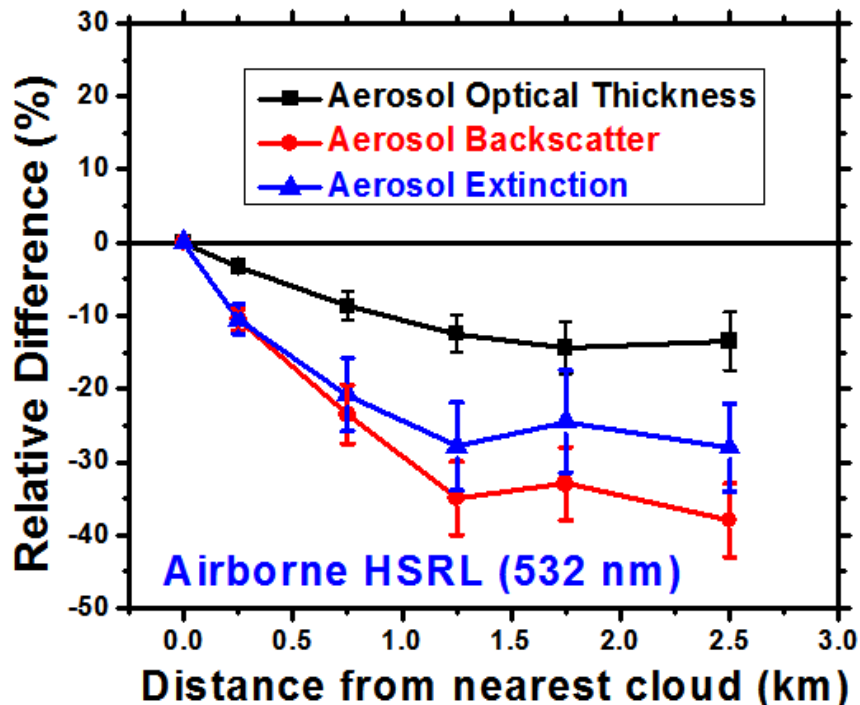
June 12, 2007 case

Significant changes in aerosol properties within 1-2 km of clouds. As distance from cloud increases:

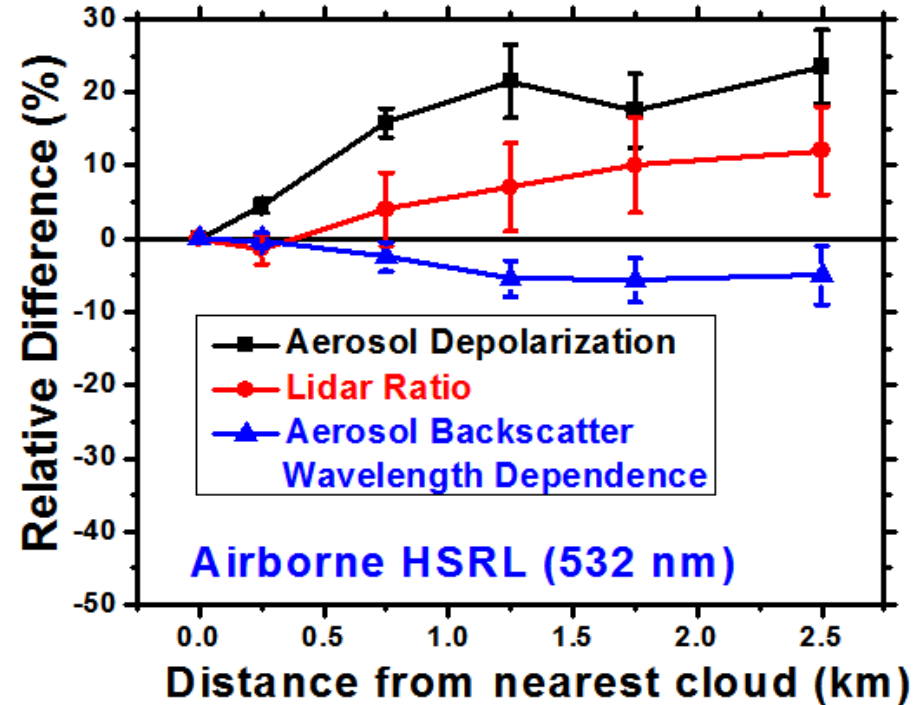
- AOT decreases 10-15%
- Aerosol backscatter and extinction decrease 25-40%
- Aerosol depolarization increases 10-20%
- Lidar ratio increases 5-10%
- Small (~5%) decrease in backscatter wavelength dependence

How much explained by changes in RH?

Aerosol Extensive Parameters

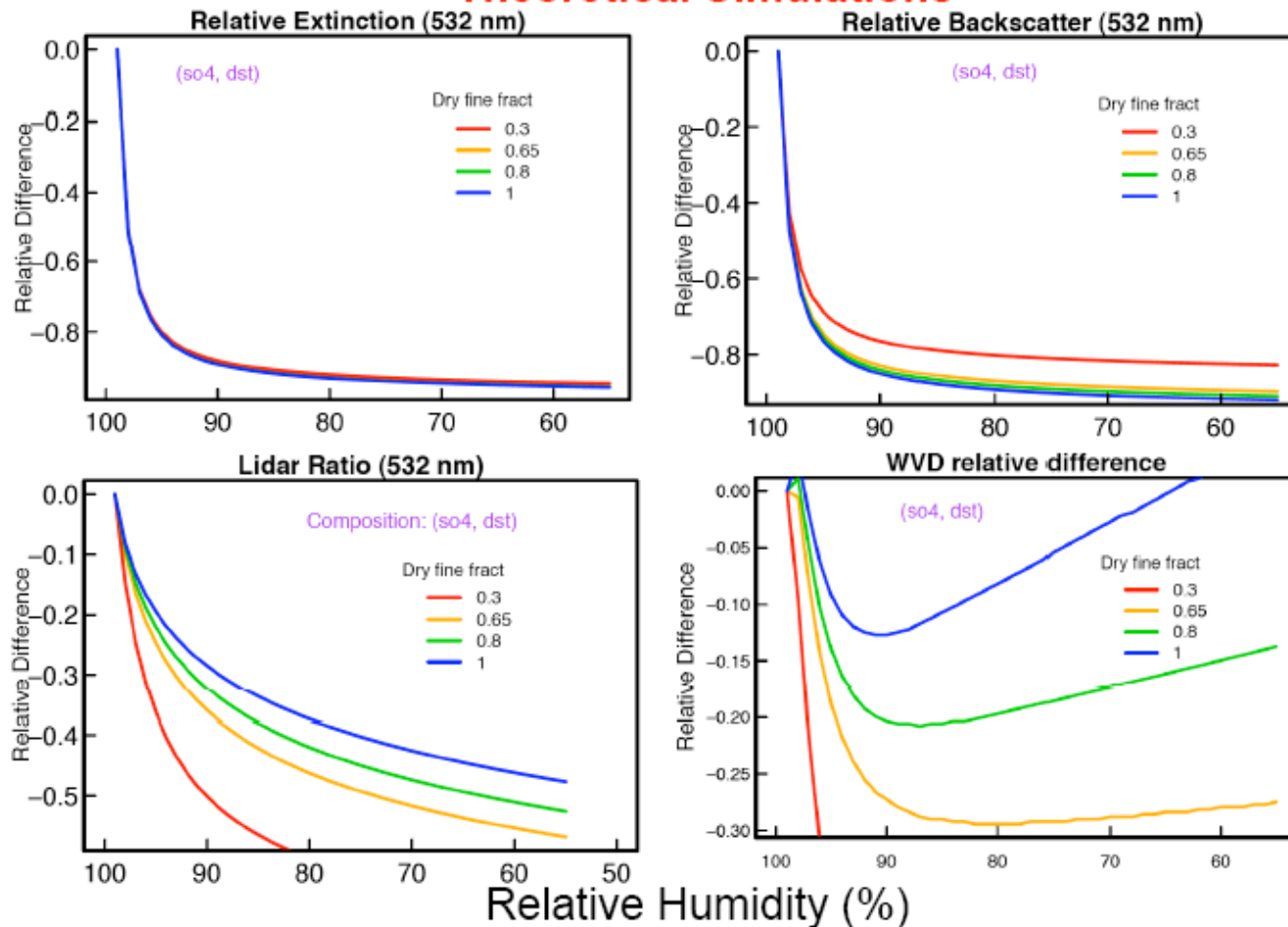


Aerosol Intensive Parameters



- Simulations show:
  - significant decrease in aerosol backscatter and extinction with decreasing RH
  - smaller decrease in lidar ratio with decreasing RH
  - wide range in backscatter wavelength dependence behavior with decreasing RH

## Theoretical Simulations

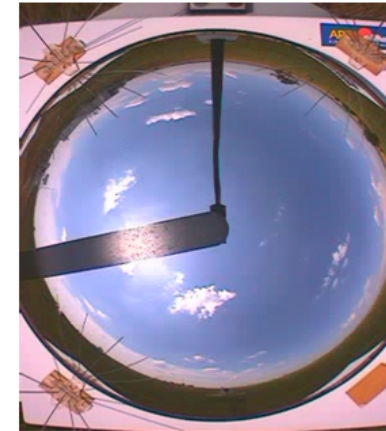


SGP Raman lidar measurements used to study spatial variations of relative humidity and aerosol optical properties near clouds

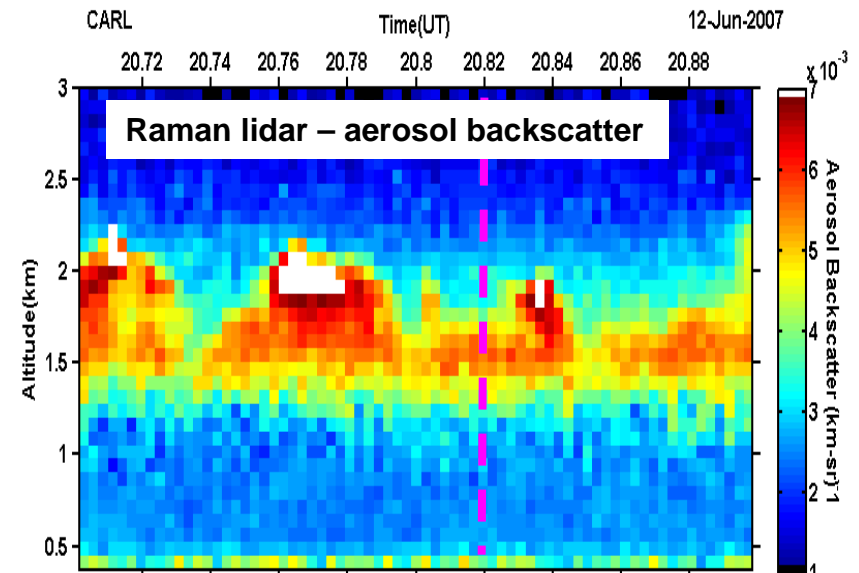
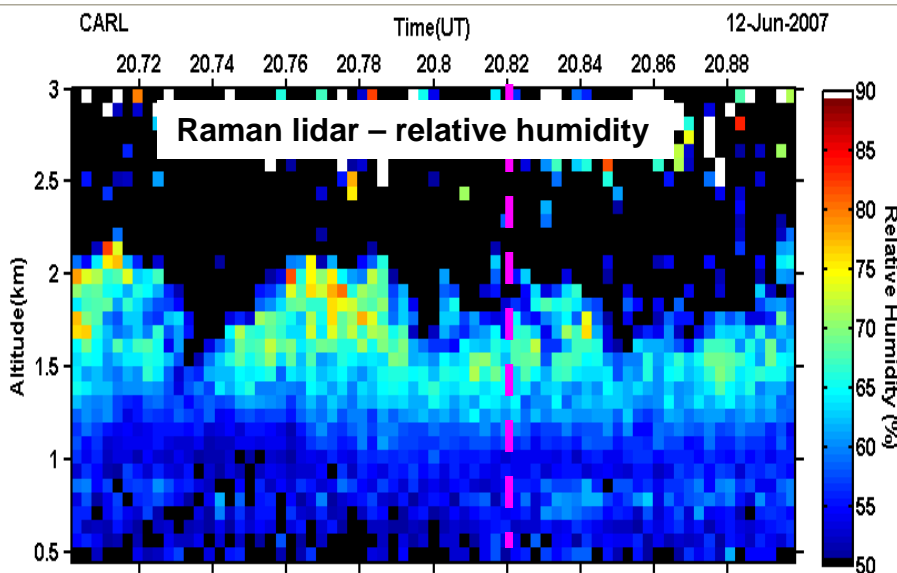
- Temporal resolution: 10 sec (RH, backscatter)
- Vertical resolution: 75 m (possible to go lower)
- Compare RH and aerosol properties adjacent to cloud edge with properties some time (distance) away from cloud edge
- Examined several altitudes above/below cloud base

## SGP TSI image

20070612\_204900

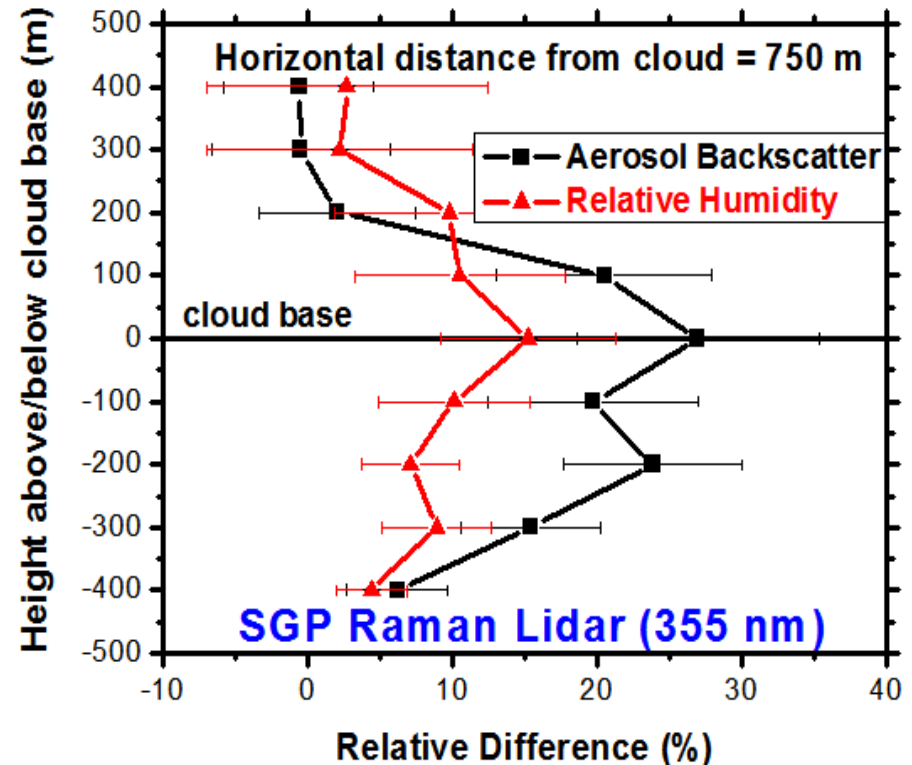
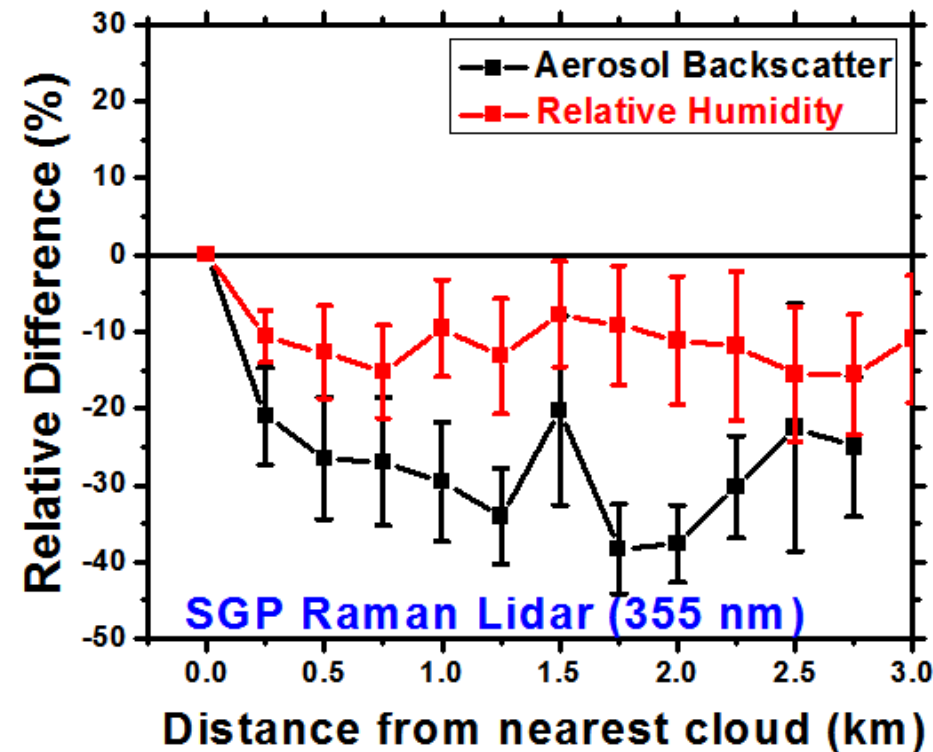


~ 10 min

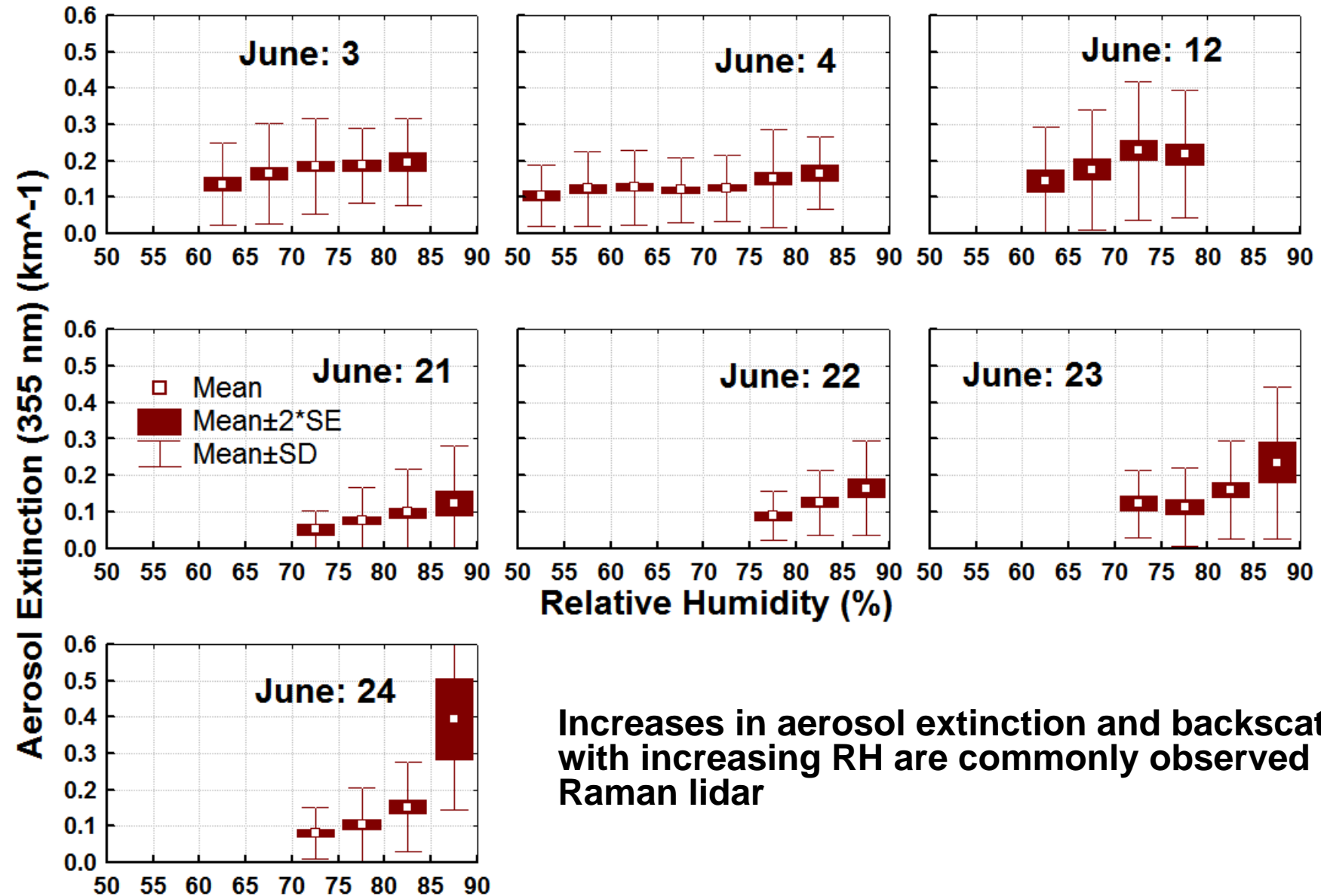


June 12, 2007 case

- Significant changes in aerosol properties within 1-2 km of clouds. As distance from cloud increases:
  - Similar (25-40%) decrease in aerosol backscattering observed from ground
  - Relative humidity decreases 5-15%
- Variations confined to altitudes between ~200-300 m below cloud base to 100-200 m above cloud base

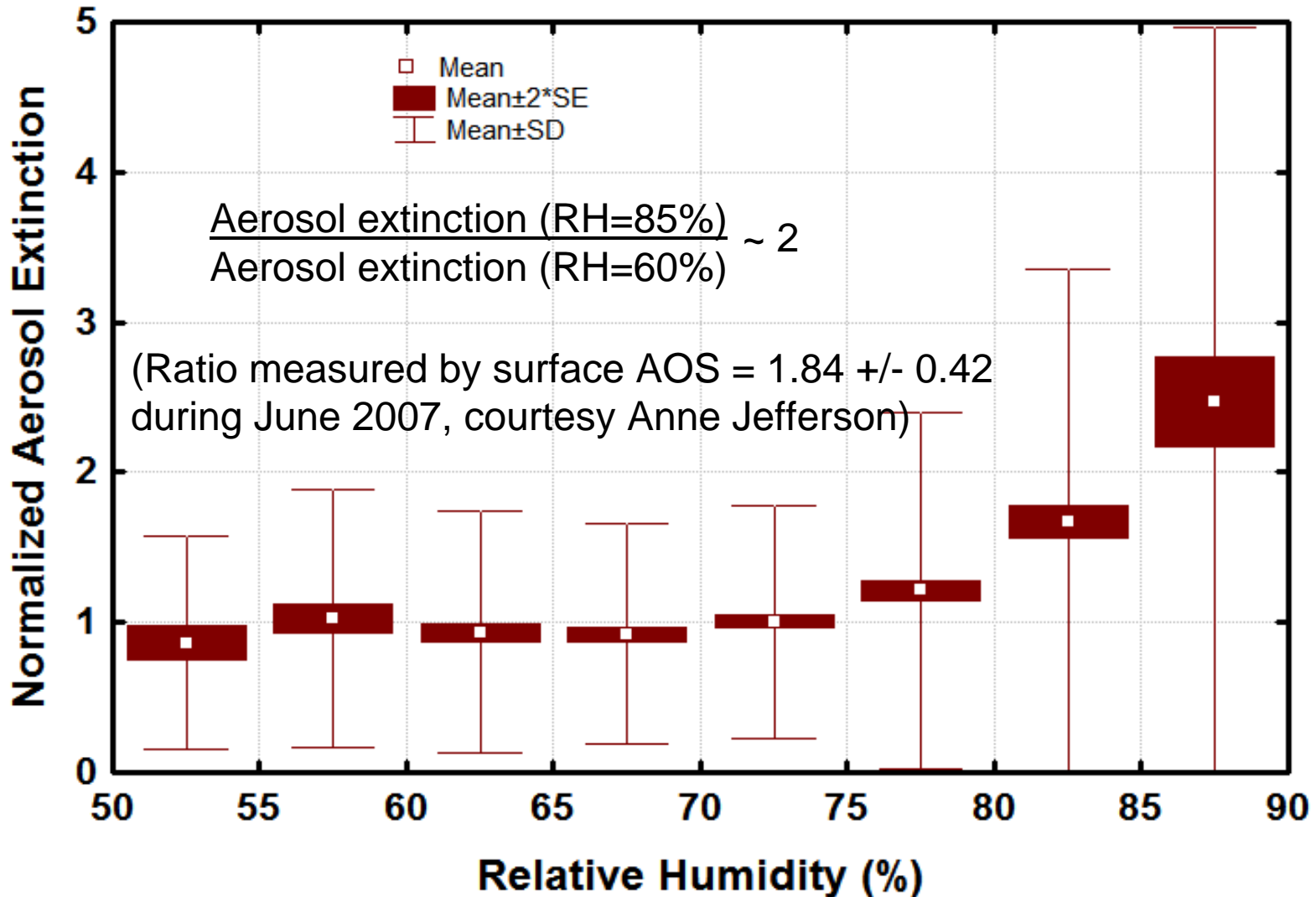






**Increases in aerosol extinction and backscatter with increasing RH are commonly observed by Raman lidar**

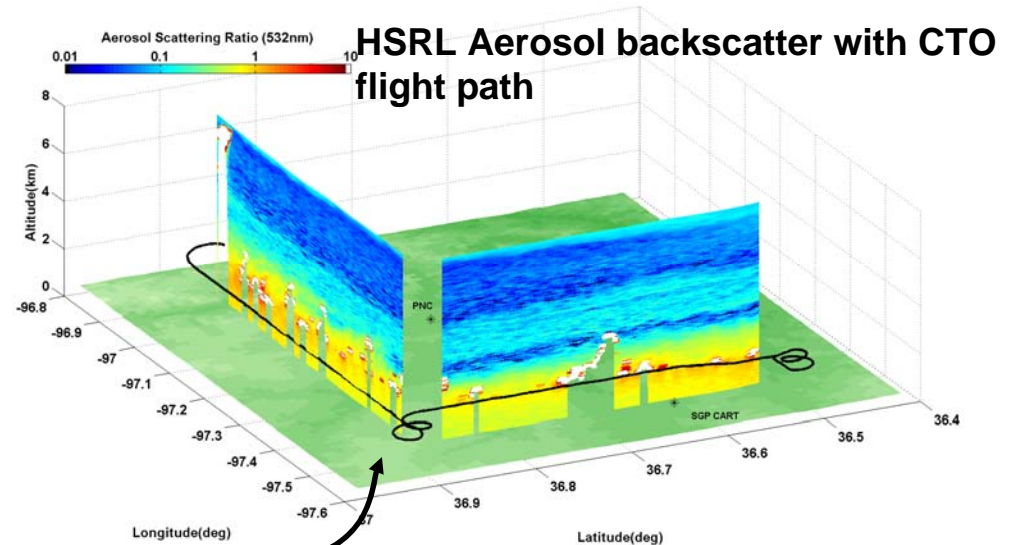
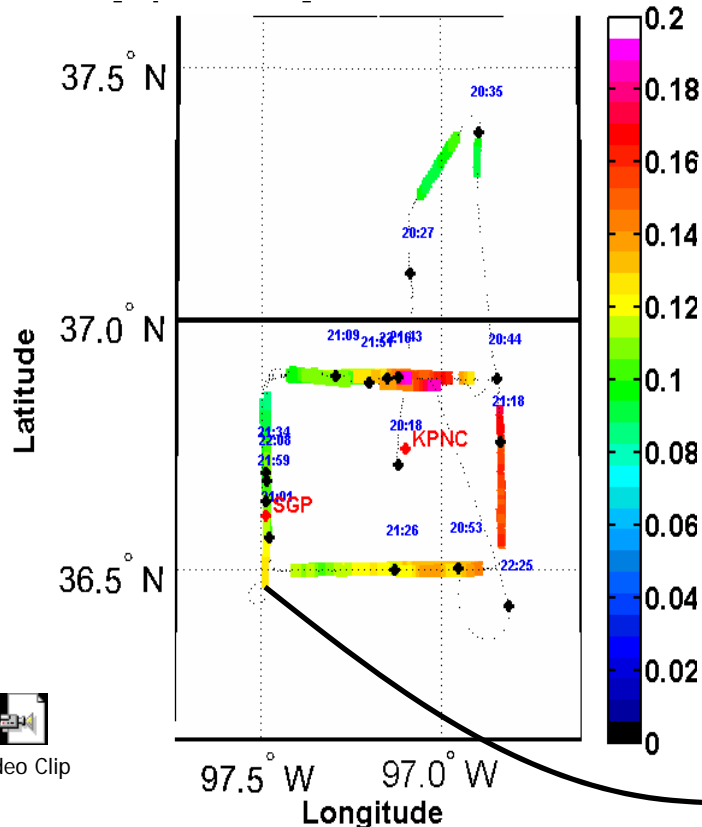
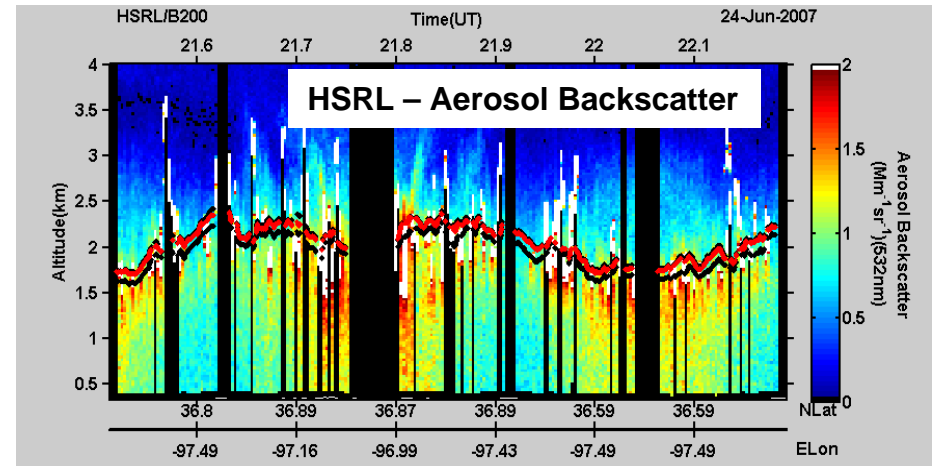
Average aerosol humidification factor [f(RH)] derived from Raman lidar is slightly higher but consistent with surface AOS measurements



- Airborne HSRL and SGP Raman lidar data show:
  - significant changes in aerosol backscatter, extinction, AOT, and depolarization within a few km from clouds
  - changes in lidar ratio and backscatter wavelength dependence are smaller and/or less consistent
- Results using HSRL data from CLASIC/CHAPS generally consistent with results over east coast of U.S.
- Aerosol humidification factor  $[f(\text{RH})]$  derived from Raman lidar consistent with values derived from surface AOS
- Anticipate additional studies using cloud images and airborne in situ data

## HSRL measurements :

- Provide vertical profiles of aerosol between and above cloud
- Provide vertical context for Twin Otter measurements
- Investigate changes in aerosol optical properties as a function of distance from clouds

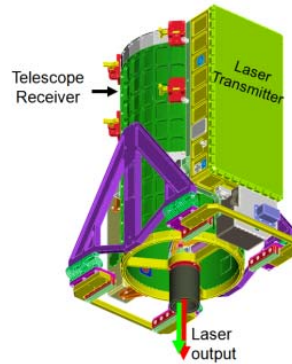




Backup Slides



NASA B200 King Air



- Background – changes in aerosol properties near clouds
- Instruments and data – airborne HSRL and SGP Raman lidar
- Results – variability of aerosol and relative humidity near clouds



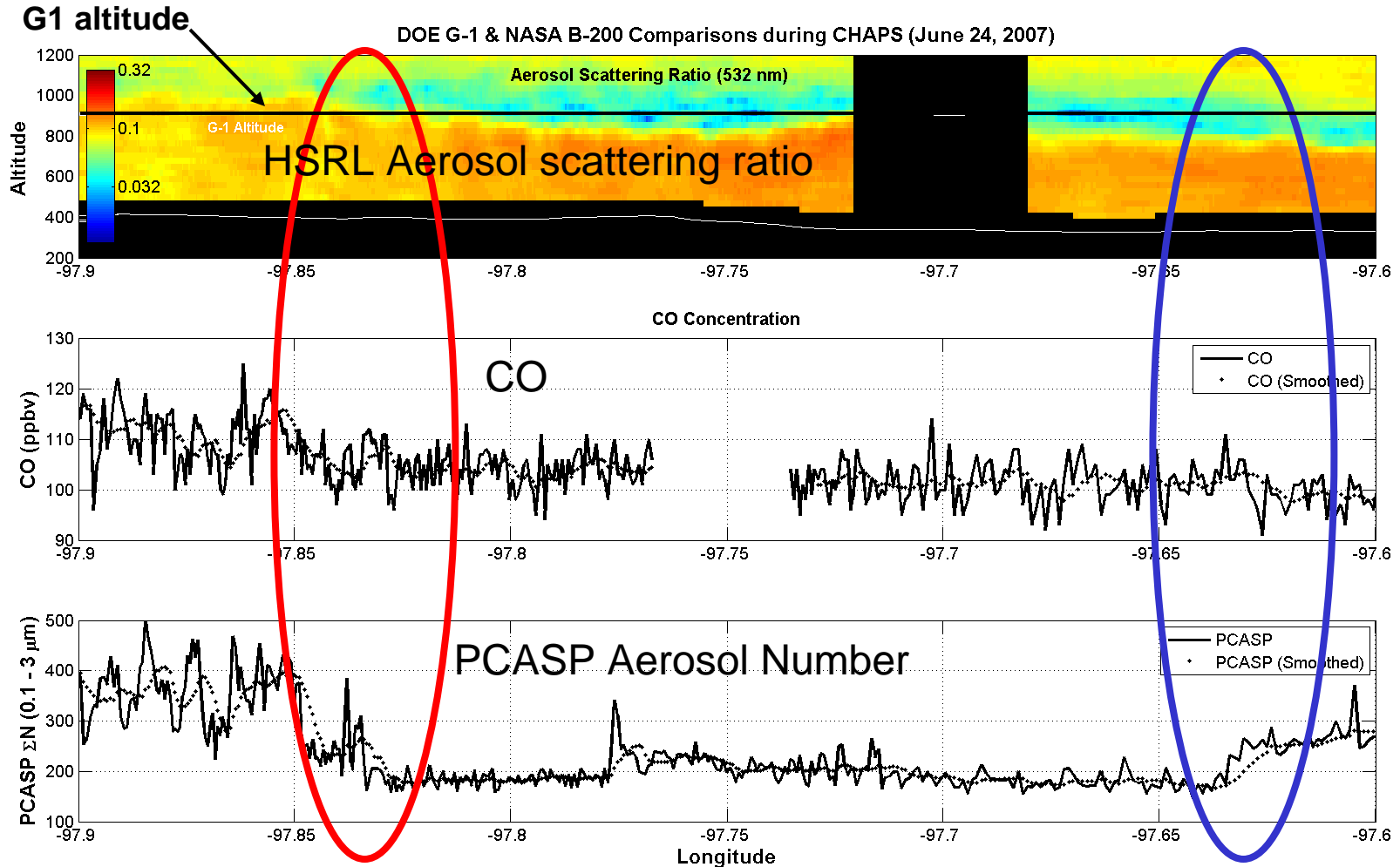
**DOE ARM Science Team Meeting  
March 11, 2008**



Funded by  
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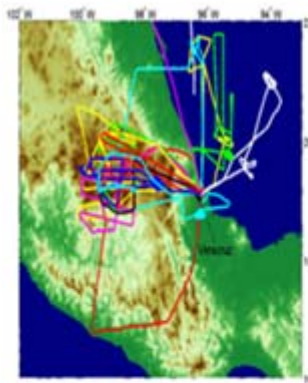
Funded by  
Department of Energy  
Atmospheric Radiation Measurement Program  
Atmospheric Science Program



**G-1 exiting aerosol layer: Decreasing HSRL Aerosol Scattering Ratio corresponds to decreasing CO and small particle concentration.**

**G-1 entering *different* aerosol layer: Increasing HSRL Aerosol Scattering Ratio corresponds to increase in small particle concentration with no change in CO.**

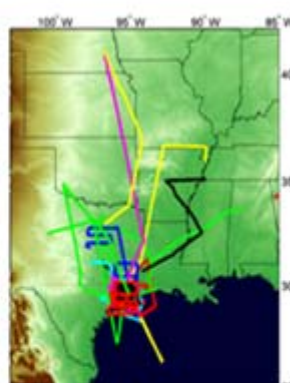
## Past Campaigns:



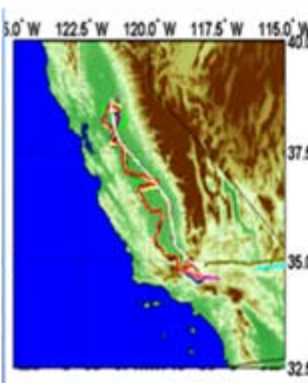
MAXMex/MILAGRO/INTEX-B  
Mexico City  
March 1-30, 2006



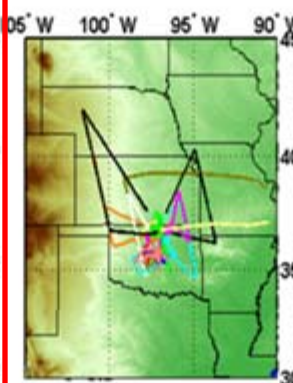
CALIPSO Validation  
Eastern U.S.A.  
June 14 - Aug 10, 2006



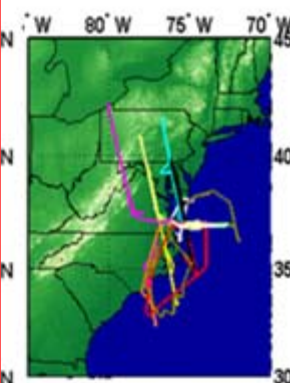
TexAQS II/GoMACCS  
Houston  
Aug 27 - Sep 29, 2006



San Joaquin Valley  
California  
February 8-21, 2007



CHAPS  
Oklahoma  
June 3-29, 2007



CALIPSO/MODIS/CATZ  
Eastern U.S.  
January 17- Aug 11, 2007

## Capabilities

- HSRL at 532 nm:  
*independently* measures aerosol backscatter and extinction at 532 nm
- Backscatter lidar at 1064 nm
- Depolarization at both 532, 1064 nm

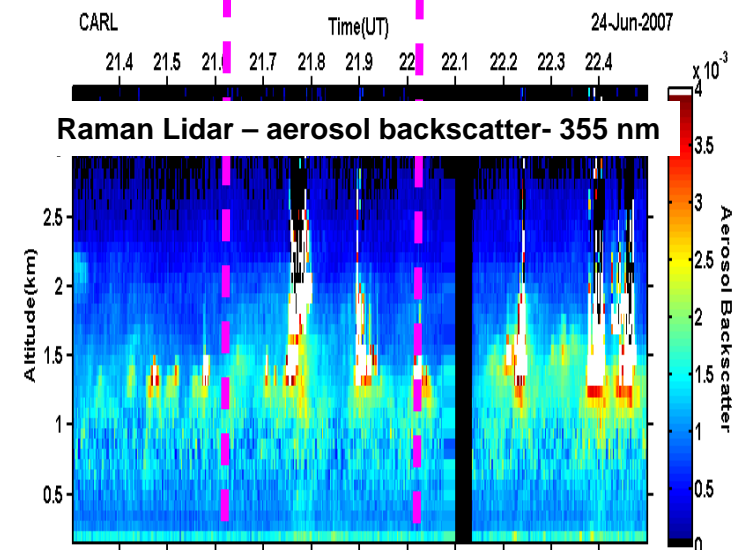
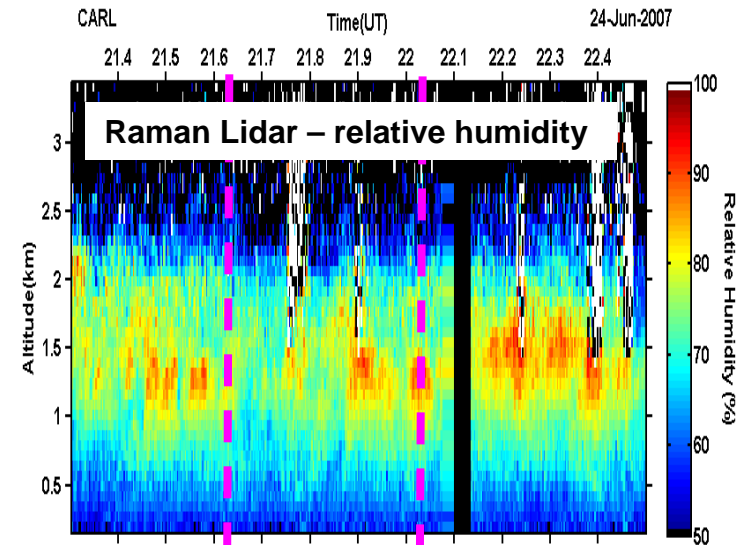
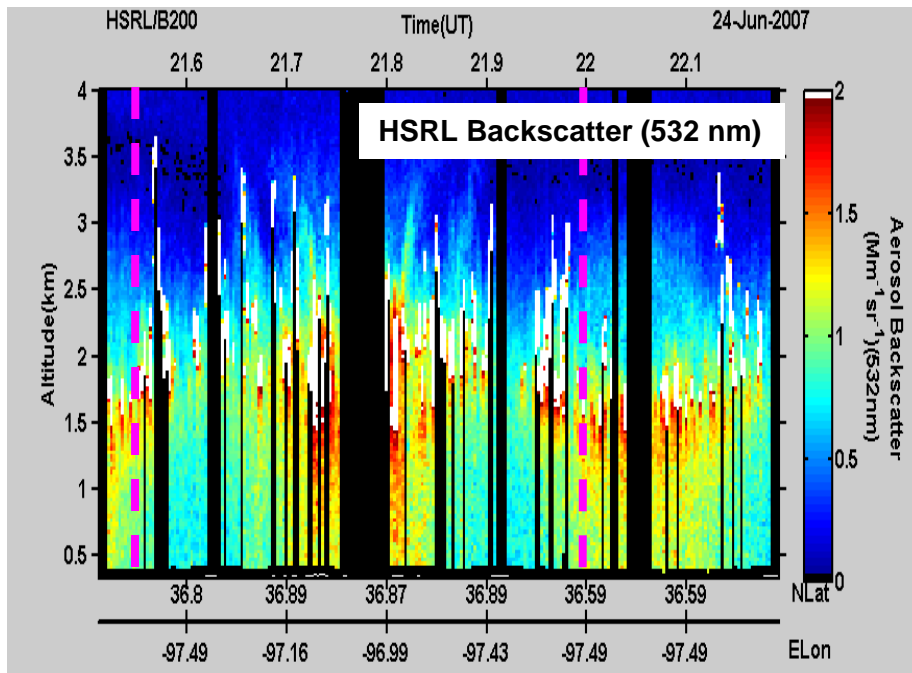
## History

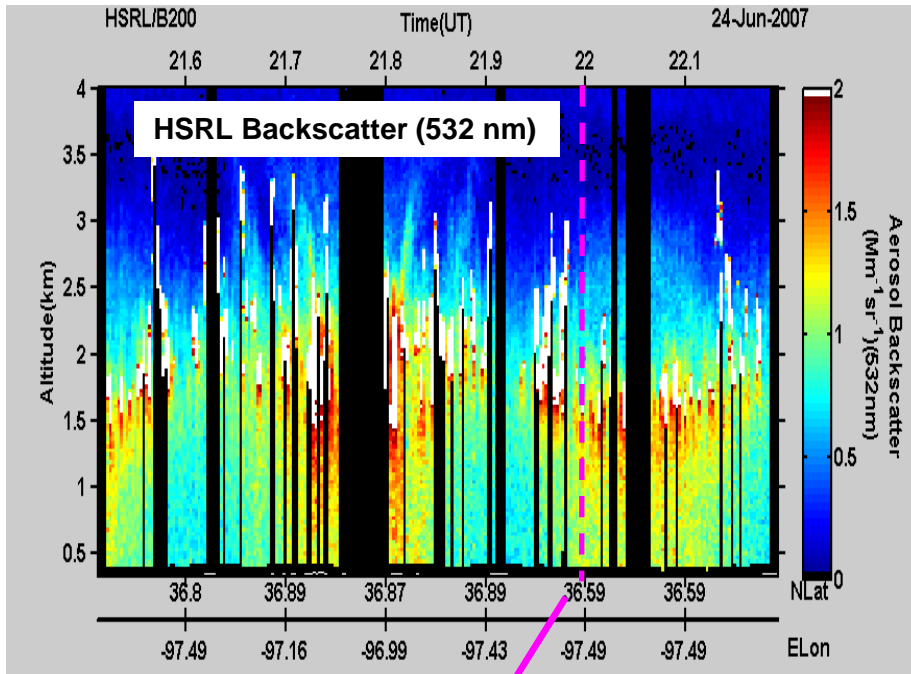
- 2000-2004: instrument development
- Dec 2004: first test flight on Lear 25-C
- Dec 2005: first test flight NASA King Air
- 2006: flew on 3 major campaigns:
  - MILAGRO (55 hours)
  - TexAQS/GoMACCS (90 hours)
  - CALIPSO Val (51 hours)
- 2007: flew on 3 campaigns:
  - San Joaquin (EPA) (43 hours)
  - **CHAPS/CLASIC (70 hours)**
  - NASA CALIPSO/CATZ (50 hours)
- More than 450 hours of data and 120 science flights over two years!



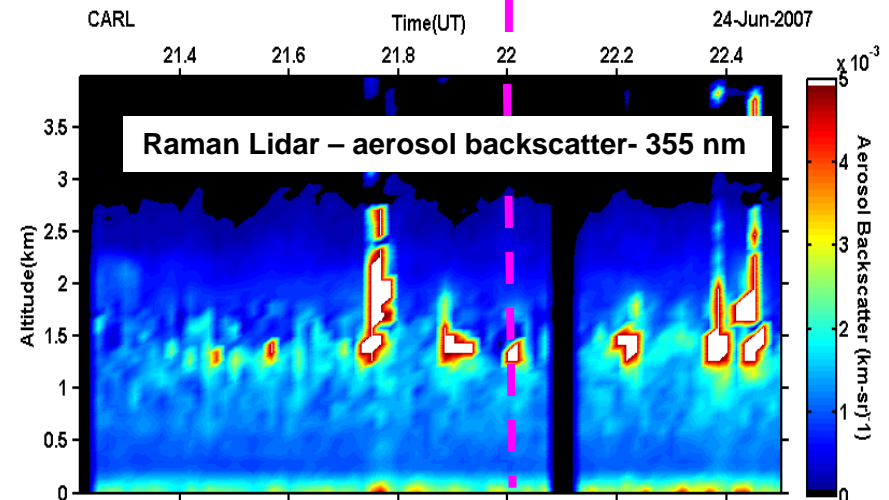
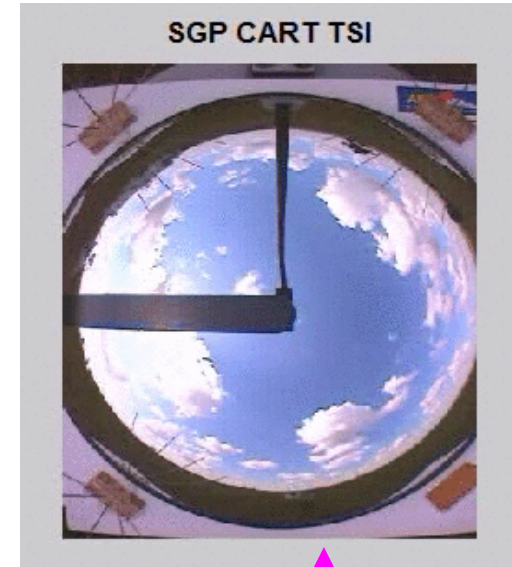
HSRL measurements acquired over DOE ARM SGP Raman lidar to investigate:

- Investigate changes in aerosol optical properties as a function of
  - RH
  - Distance from clouds
- Advanced, multi-wavelength lidar retrievals



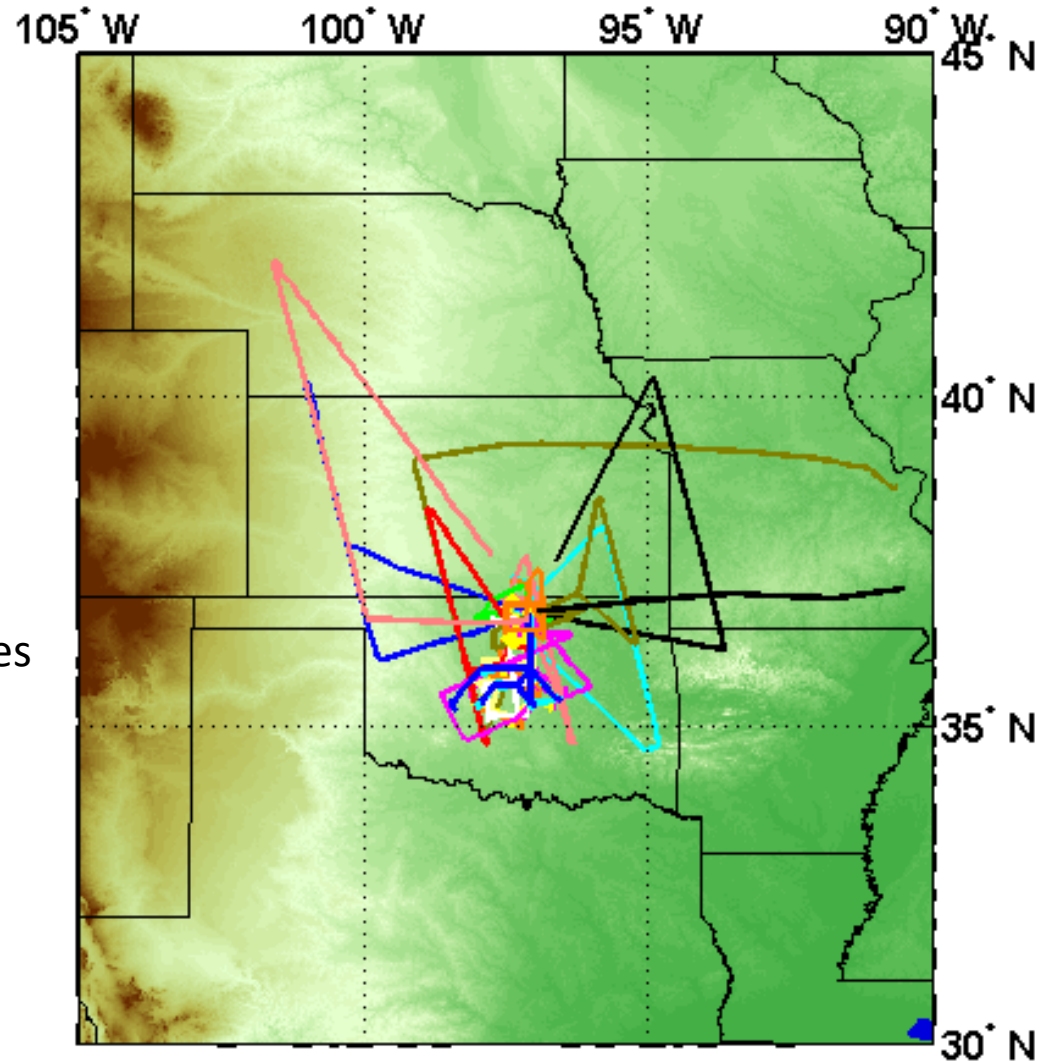


Investigate changes in aerosol optical properties as a function of distance from clouds



## Objectives

- Provide vertical profiles of aerosol between and above cloud
  - Provide vertical context for DOE G-1 measurements
  - Investigate changes in aerosol optical properties as a function of:
    - Distance from clouds
    - Proximity to urban center (ex. upwind vs. downwind of OKC)
- Provide cloud top and PBL heights
- Locate horizontal extent of OKC plume
- Use HSRL measurements of aerosol intensive parameters to infer aerosol types
- Validate CALIOP lidar on the CALIPSO satellite
- Assess aerosol measurements of existing passive satellite sensors
  - MODIS, MISR, PARASOL
- Acquire data over DOE ARM SGP Raman lidar to investigate advanced, multi-wavelength lidar retrievals



20070612\_204915



[Save to My Maps](#)

### Directions to the ACRF SGP Central Facility

Both dry-weather and all-weather directions to the ACRF CF, via Tulsa Airport.

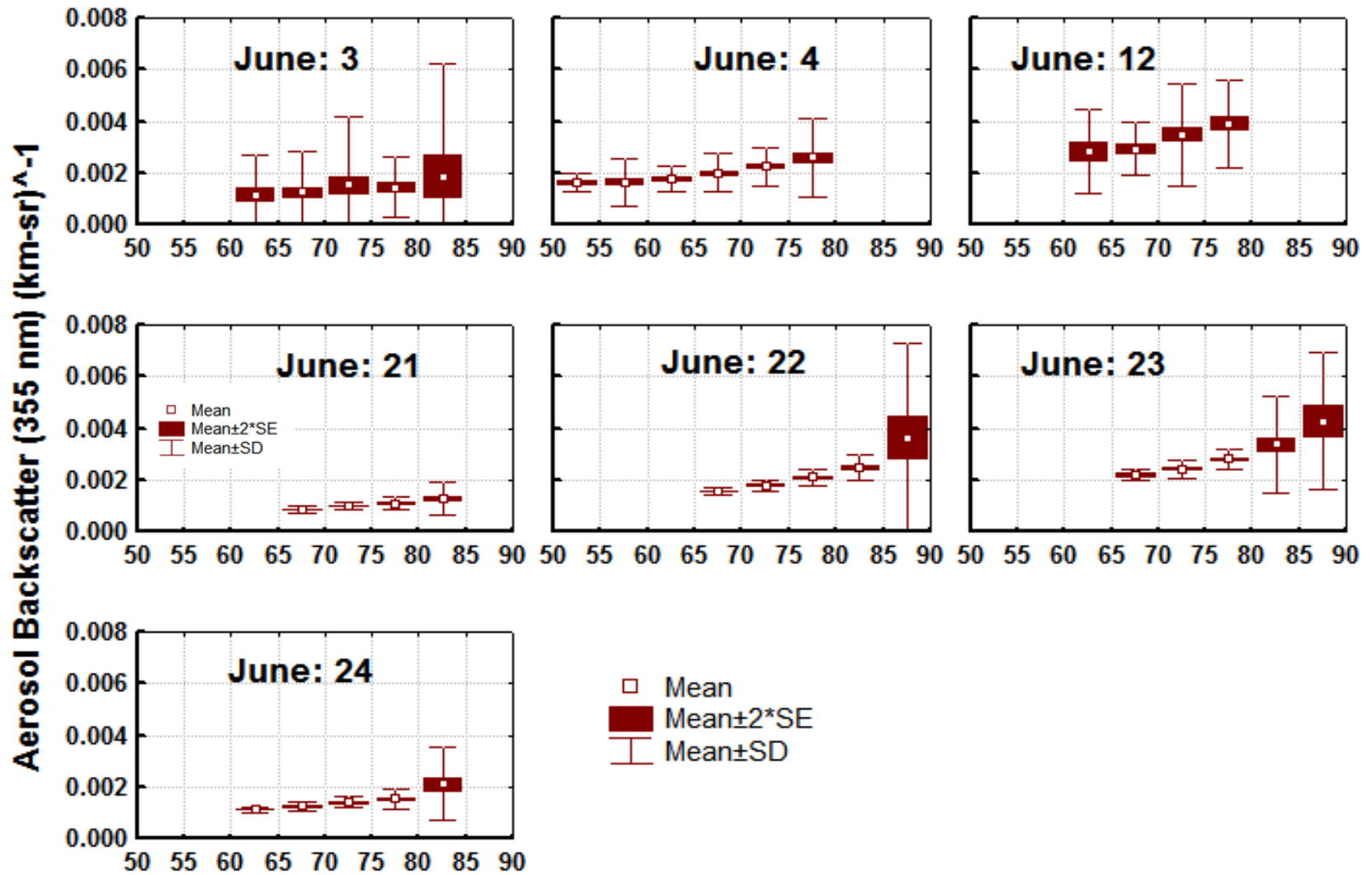
447 views - Public  
Created on Jul 5 - Updated Sep 17  
By [cialella](#)  
[Rate this map](#) - [Write a comment](#)

- Central Facility**  
+36° 36' 29.11", -97° 29' 23.74"
- Billings/Nardin Rd.**  
140th Rd.
- All Weather Route**  
From the intersection of I-35 and Hwy 60, go
- Johnston Grain**  
Three aluminum grain storage bins.
- East Rt. - Dry Weather Route**  
From the intersection of I-35 and Hwy 60, go
- Holiday Inn**  
2215 N 14th St Ponca City, OK 74601 (580)
- Tulsa Airport**
- Tulsa Airport Route**  
To exit the airport, follow State Route 11
- Rad Weather**

Map Satellite Terrain

1 mi  
1 km

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## NASA Langley airborne HSRL: Investigations planned or underway to:

- Study changes in aerosol optical properties as a function of:
  - Distance from clouds
  - Proximity to urban center (ex. upwind vs. downwind of OKC)
- Provide cloud top and PBL heights and AOT within PBL
- Locate horizontal extent of OKC plume
- Provide vertical context for interpretation of G-1 and CTO observations
- Infer aerosol types and attribute AOT to aerosol types
- Validate CALIOP lidar on the CALIPSO satellite
- Assess aerosol measurements of existing passive satellite sensors
  - MODIS, MISR, PARASOL
- Examine feasibility of advanced, multi-wavelength lidar retrievals

**HSRL data and images are available via CHAPS archive as well as from NASA LaRC FTP site**

	Aircraft and HSRL flew all or partially coordinated flights
	Aircraft believed to have flown; possibly coordinated
	Aircraft and HSRL flew UNCOORDINATED flights
	Aircraft operations unknown
	Aircraft did not fly CHAPS science flight

**20** science flights, **66** flight hours

Date	G1 <sup>2,3</sup>	CTO <sup>2,4</sup>	CALIPSO underflight included?	SGP site overflight included? <sup>2</sup>
06/03/07			Yes	
06/04/07				Yes
06/05/07			Yes	Yes
06/06/07				
06/07/07				Yes
06/08/07				
06/09/07				
06/10/07			Yes	Yes, but cloudy
06/11/07				Yes
06/12/07			Yes	Yes
06/13/07				
06/14/07			Yes	
06/15/07				
06/16/07				Yes
06/17/07				Yes
06/18/07				
06/19/07			Yes	Yes, but cloudy
06/20/07				Yes, but cloudy
06/21/07			Yes	Yes
06/22/07				Yes
06/23/07				Yes
06/24/07				Yes
06/25/07				
06/26/07			Yes	Yes, but cloudy
06/27/07				
06/28/07				
06/29/07				

- 15 flights over ARM SGP
- 8 flights included CALIPSO validation
- 8-10 flights coordinated with DOE G-1
- 9-10 flights coordinated with CIRPAS TO
- 10-12 flights with MODIS/MISR

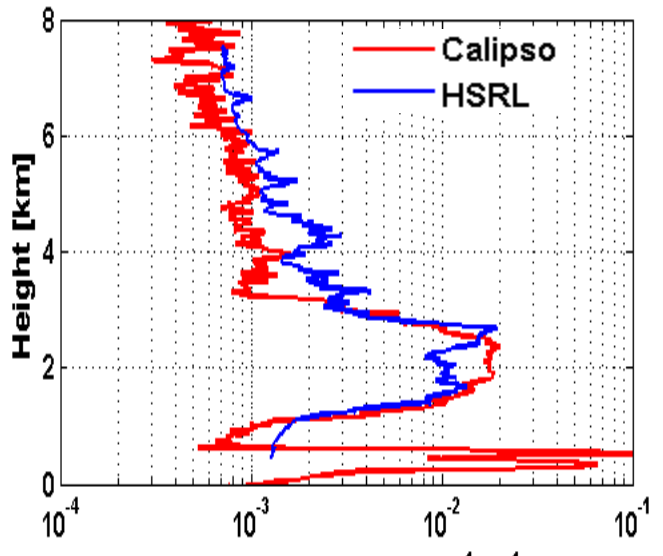
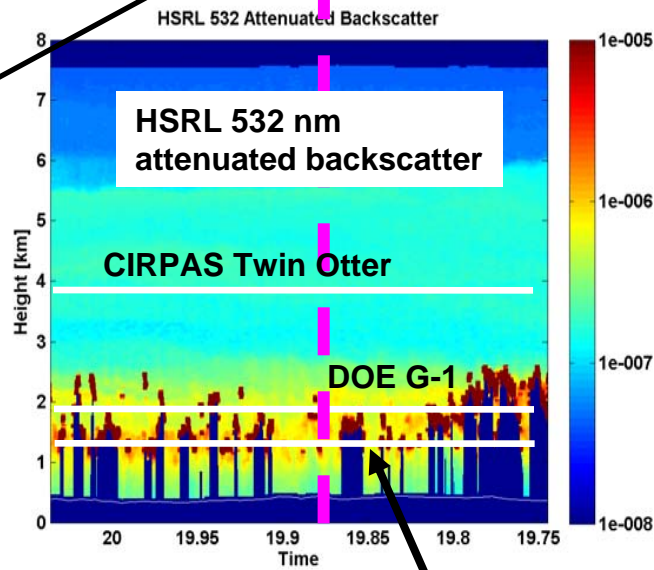
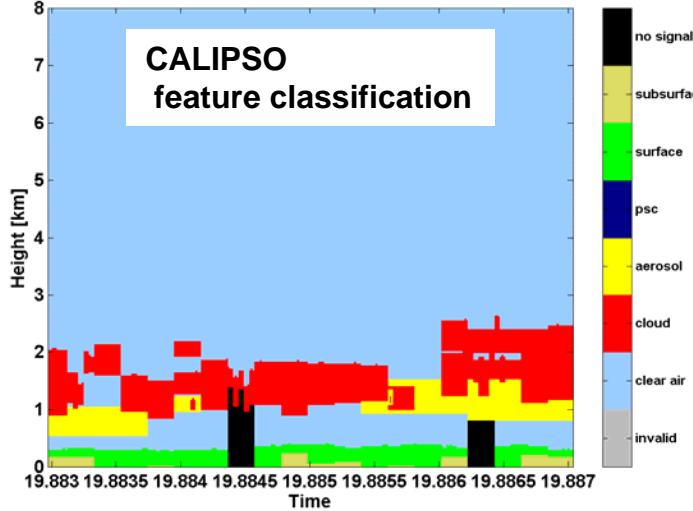
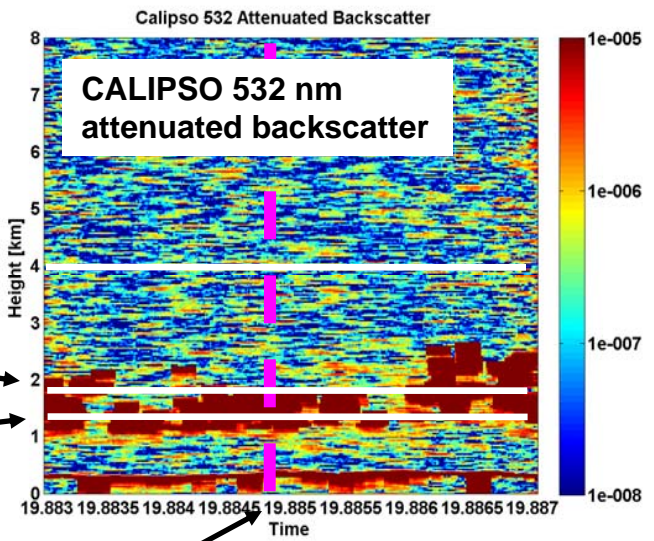
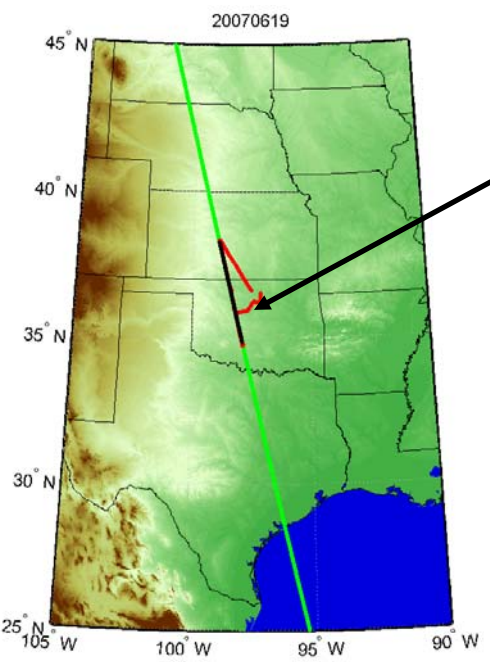
- Examples:
1. June 7<sup>th</sup>
  2. June 19<sup>th</sup>
  3. June 24<sup>th</sup>

Total Number of Coordinated Flights with NASA HSRL:

8-10	9-10	8	15
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## Multi-aircraft coordinated flight along CALIPSO track:

- CIRPAS Twin Otter →
- DOE G-1 →
- DOE IAP Cessna →

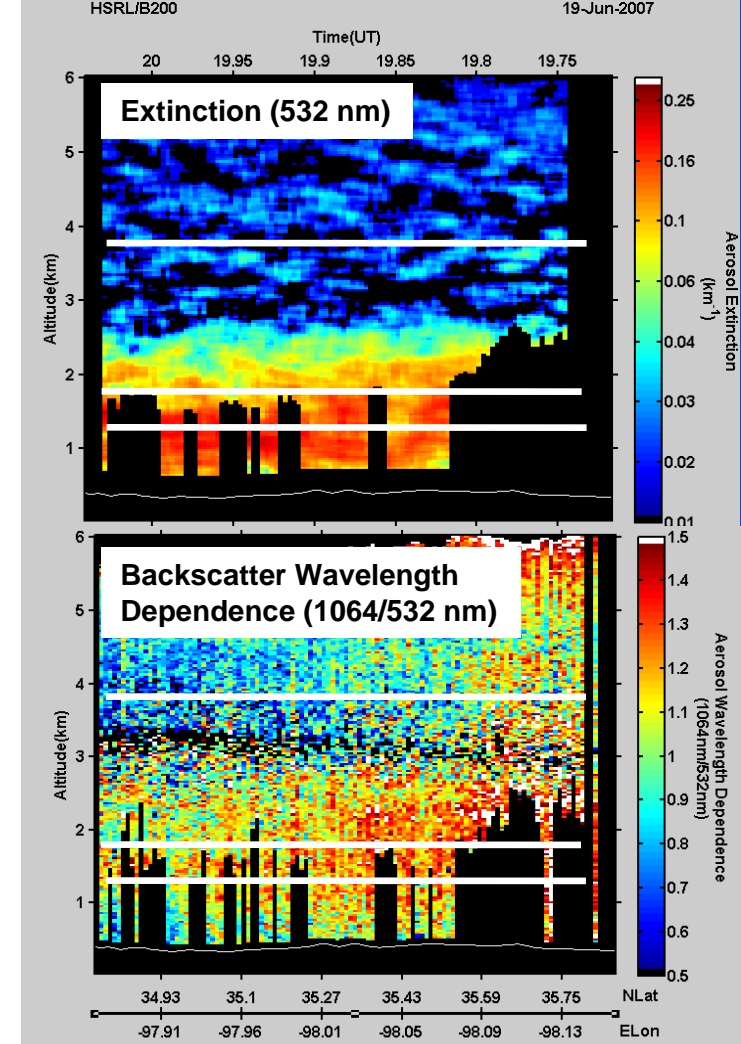
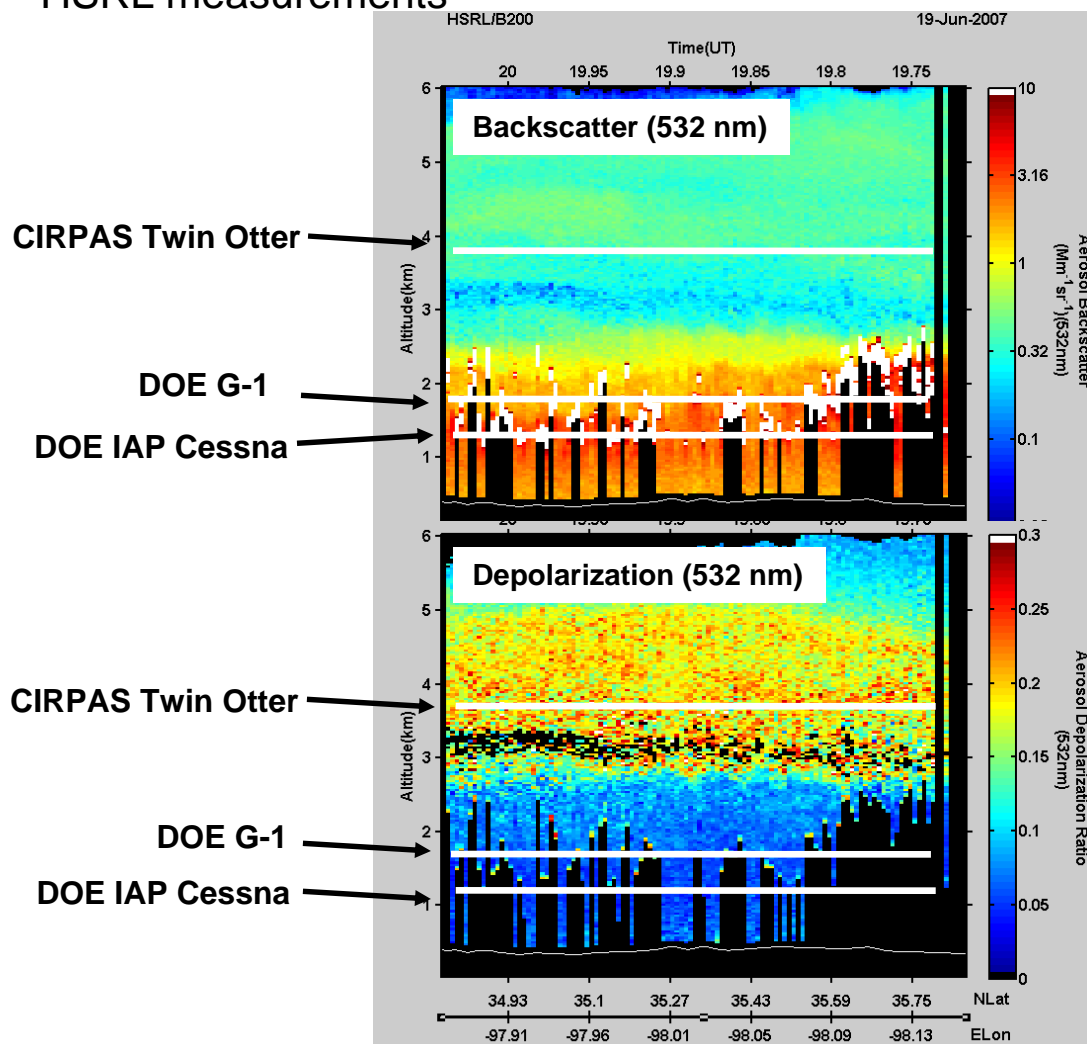


DOE IAP Cessna

532 Attn. Backscatter [ $km^{-1} sr^{-1}$ ]



- HSRL measurements indicate elevated layer of larger, nonspherical aerosols above smaller, spherical aerosols in PBL
- In situ measurements on DOE aircraft provide detailed measurements to assess CALIPSO and HSRL measurements



The authors would like to thank Mike Wusk, Rick Yasky, Les Kagey, Howard Lewis, Scott Sims, and Dale Bowser for support of the B200 flights during this campaign. We also thank the Department of Energy (DOE) Atmospheric Science Program, the NASA HQ Science Mission Directorate Radiation Sciences Program, and the NASA CALIPSO project for funding this HSRL-related research. Analyses of data from the DOE Atmospheric Radiation Measurement (ARM) Climate Research Facility (CRF) Raman lidar was supported by the Office of Biological and Environmental Research of the U.S. Department of Energy (Interagency Agreement DE-AI02-02ER63328) as part of the Atmospheric Radiation Measurement Program.

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See also:

<http://science.larc.nasa.gov/hsrl>

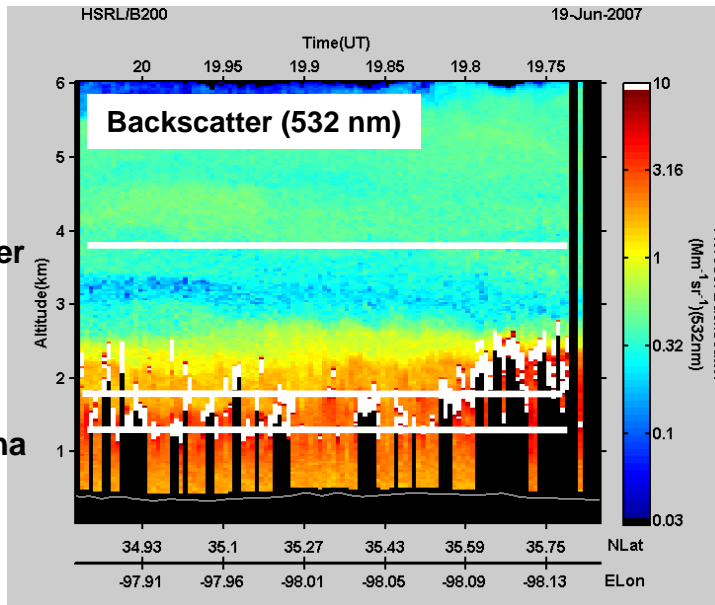
Hair, J., C. Hostetler, R. Ferrare, A. Cook, D. Harper, "The NASA Langley High Spectral Resolution Lidar for Measurements of Aerosols and Clouds", in: *Reviewed and Revised Papers Presented at the 23rd International Laser Radar Conference*, C. Nagasawa and N. Sugimoto, Eds., 411-414, 2006.

R. Ferrare, C.A. Hostetler, J.W. Hair, A.L. Cook, D.B. Harper, S. Burton, A. Clarke, P.B. Russell, J. Redemann, "Airborne High Spectral Resolution Lidar aerosol measurements during MILAGRO and TexAQS/GoMACCS", Ninth Conference on Atmospheric Chemistry, American Meteorological Society Annual Meeting, San Antonio, TX, January, 2007.

CIRPAS Twin Otter

DOE G-1

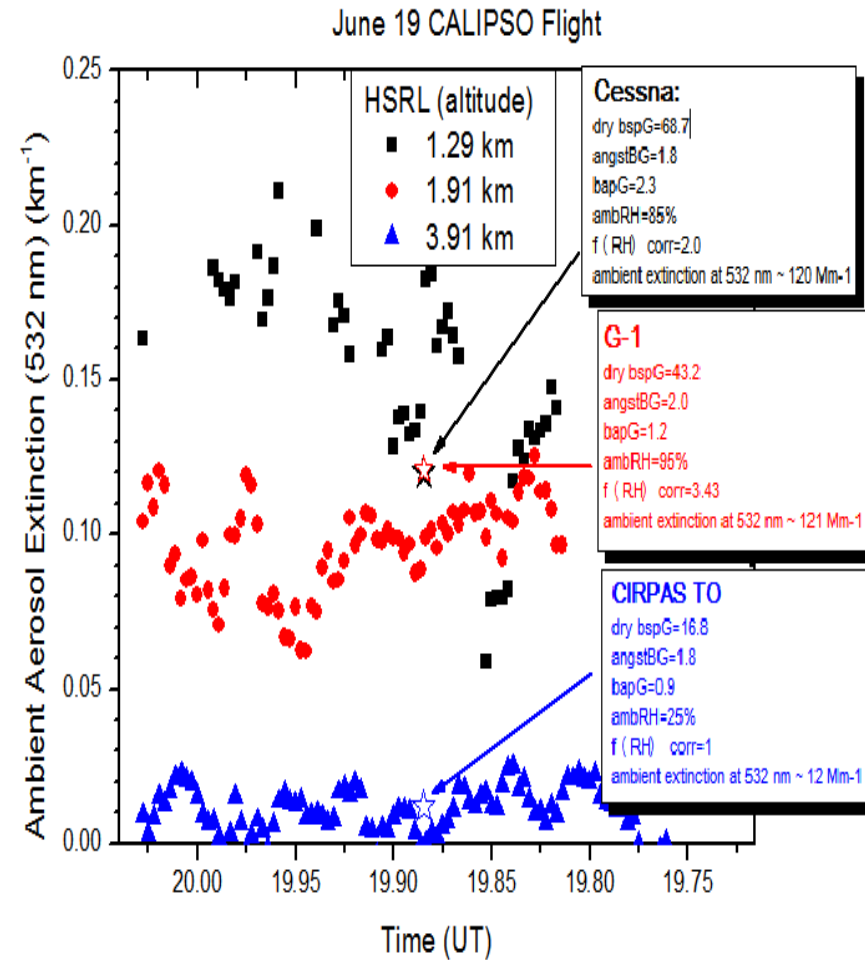
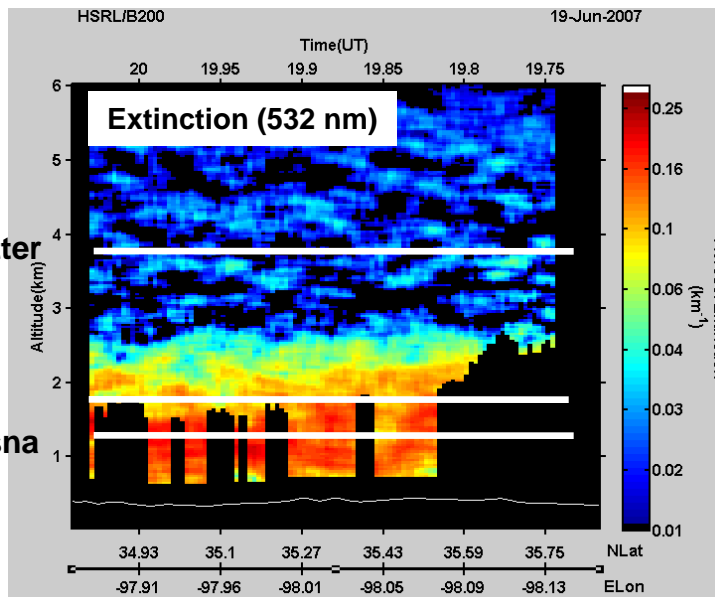
DOE IAP Cessna



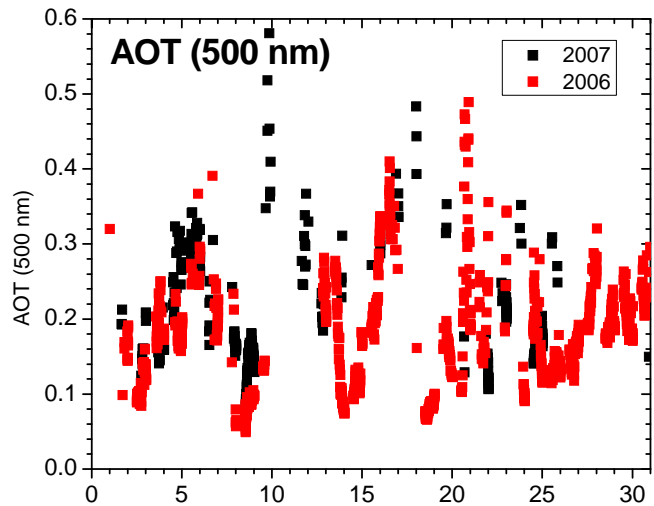
CIRPAS Twin Otter

DOE G-1

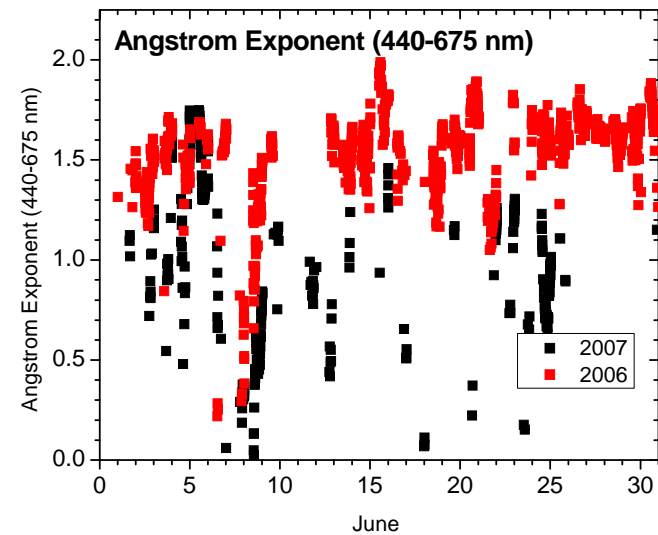
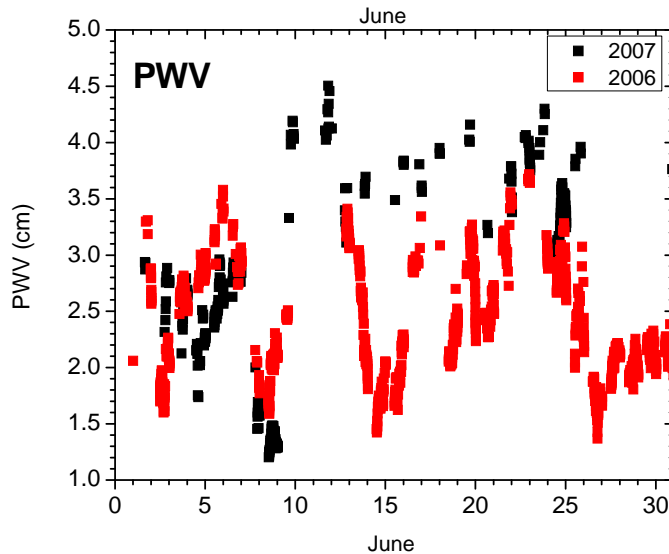
DOE IAP Cessna



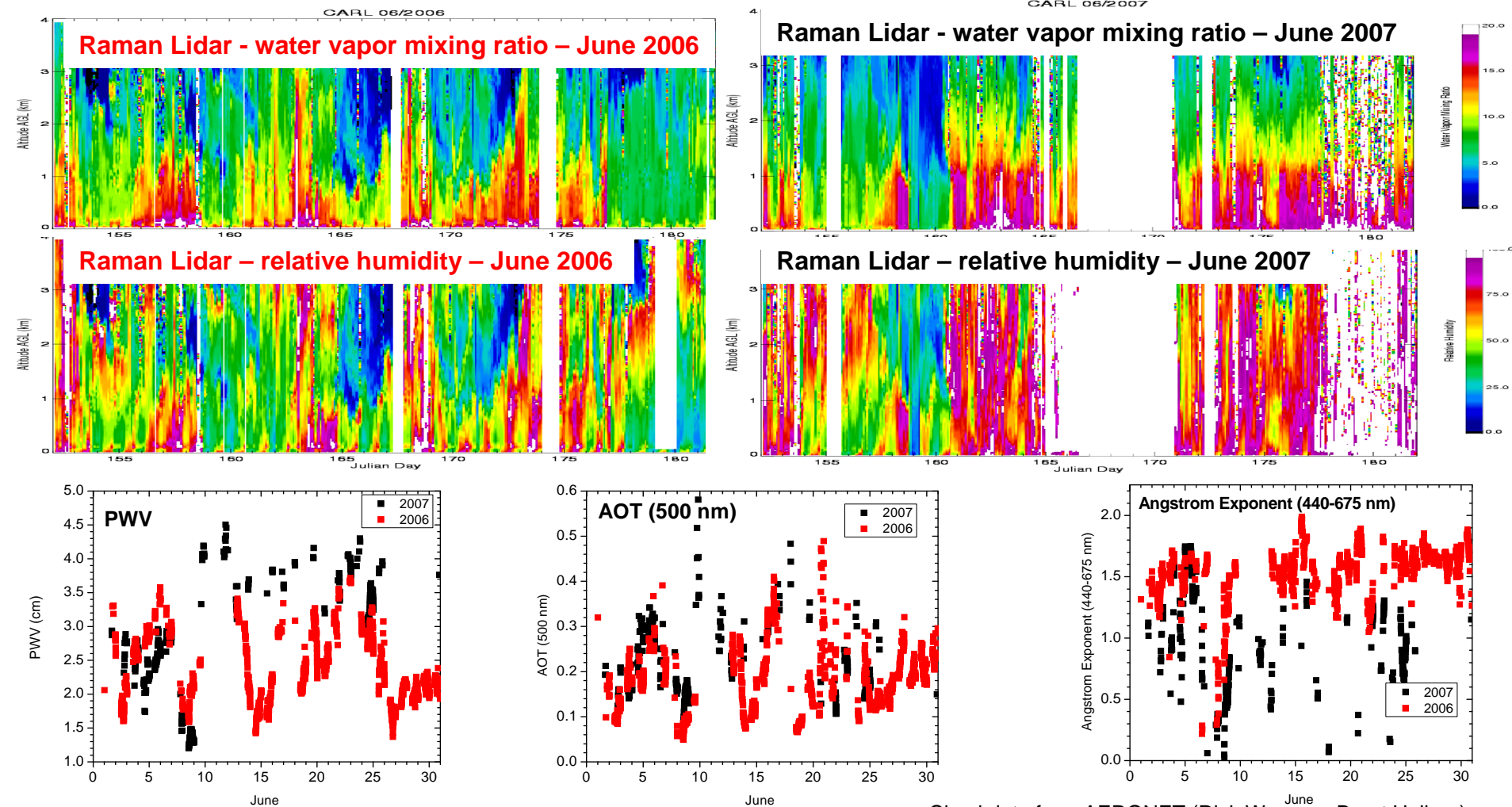
Humidity-corrected in situ data  
courtesy of Betsy Andrews and  
John Ogren

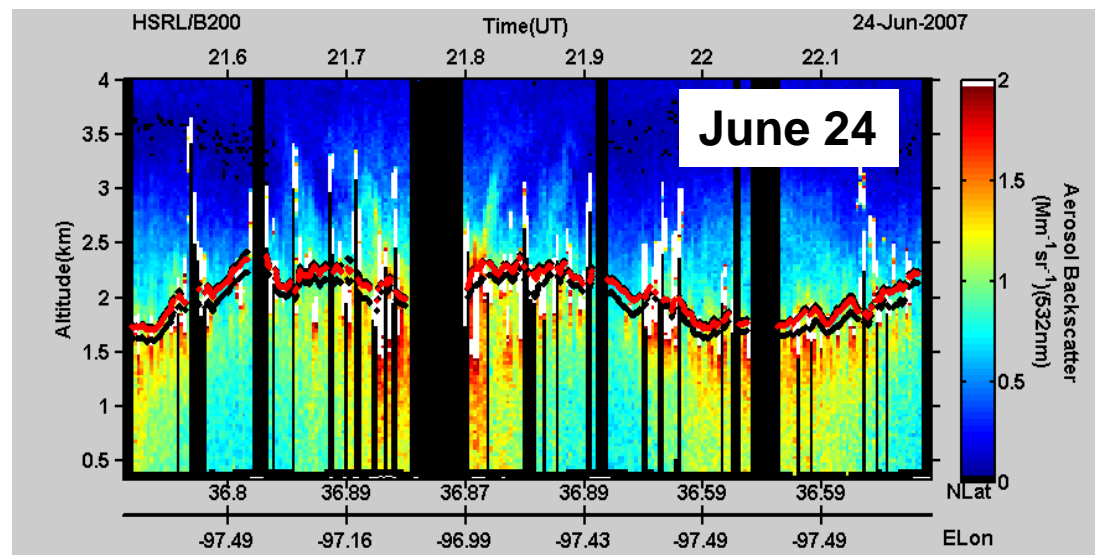
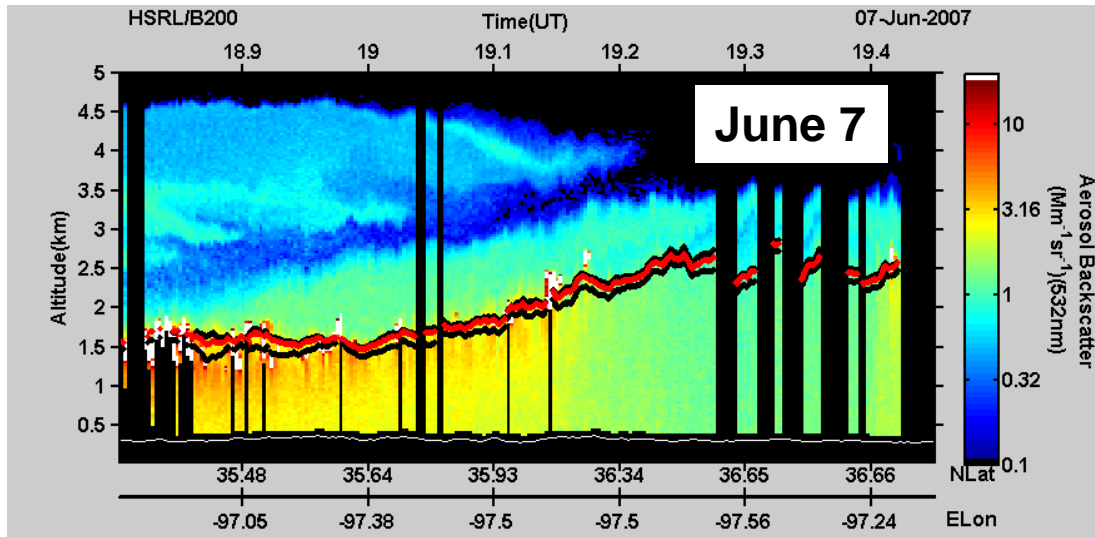


- AOT is generally similar between 2006 and 2007
- PWV is generally 1-2 cm higher during latter 3 weeks of June 2007 than during same period in June 2006
- Angstrom exponent generally lower during 2007 – more large particles during June 2007 than June 2006



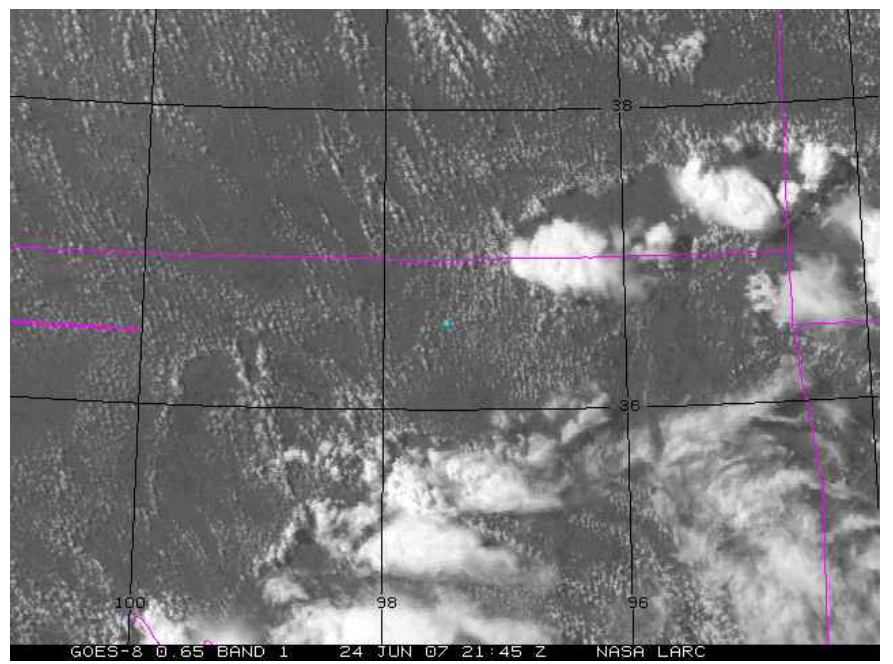
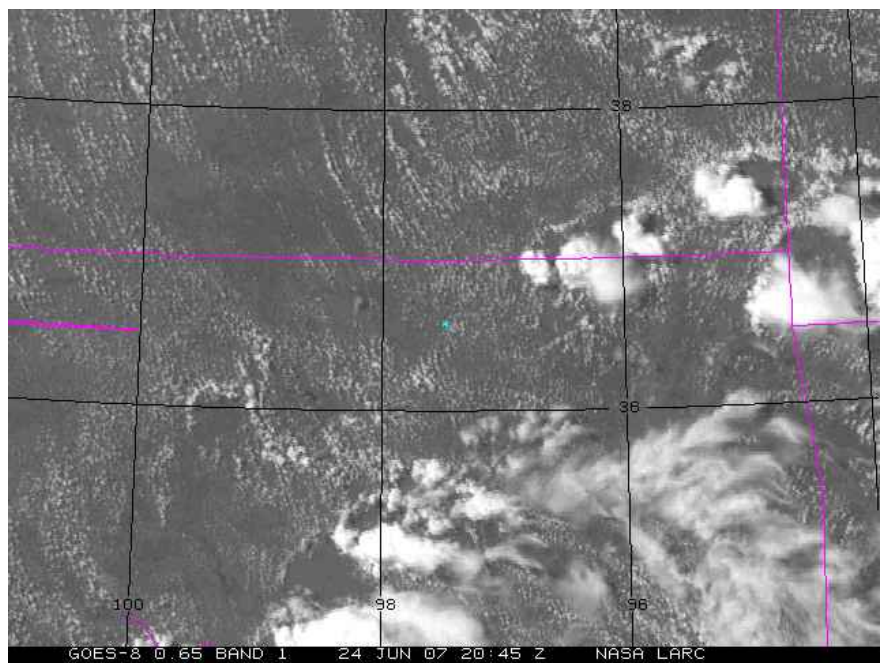
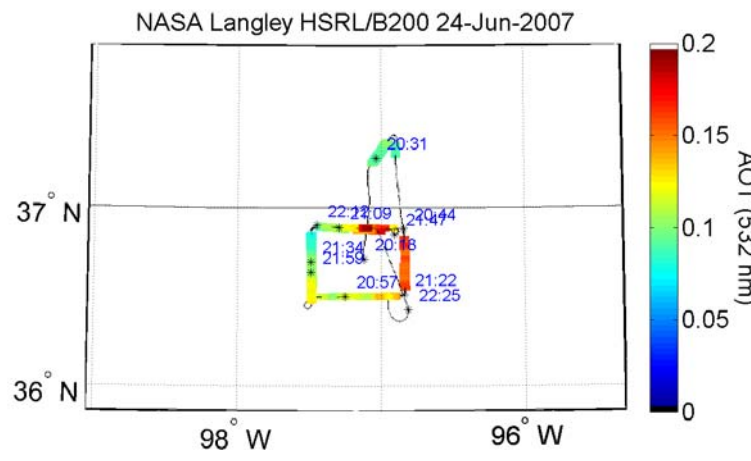
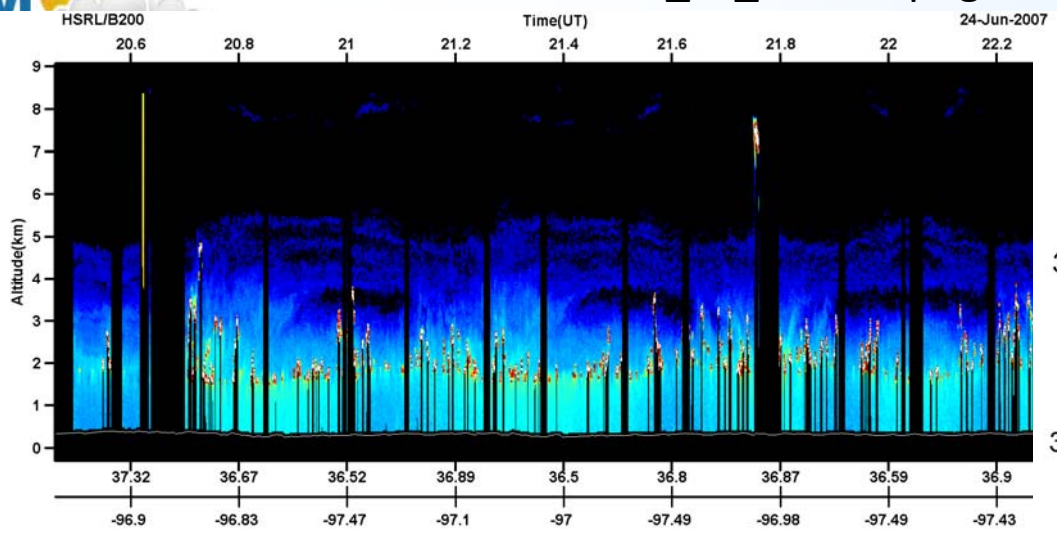
- Significantly higher water vapor and RH during latter 3 weeks of June 2007
- AOT similar but Angstrom exponent generally lower during 2007 – more large particles present during June 2007 than during June 2006

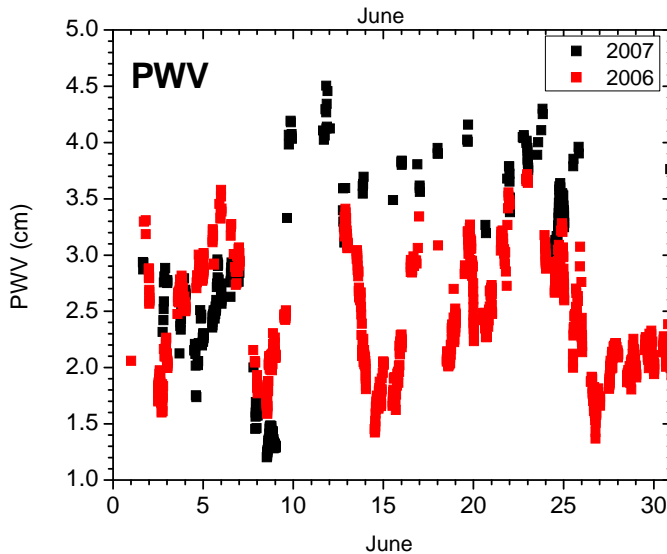
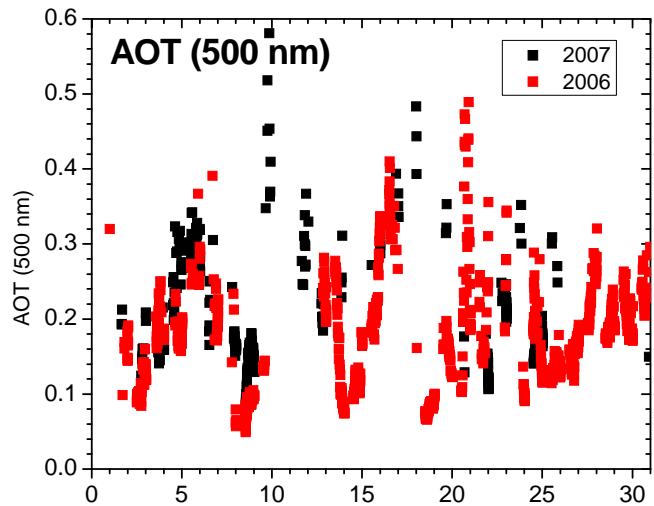




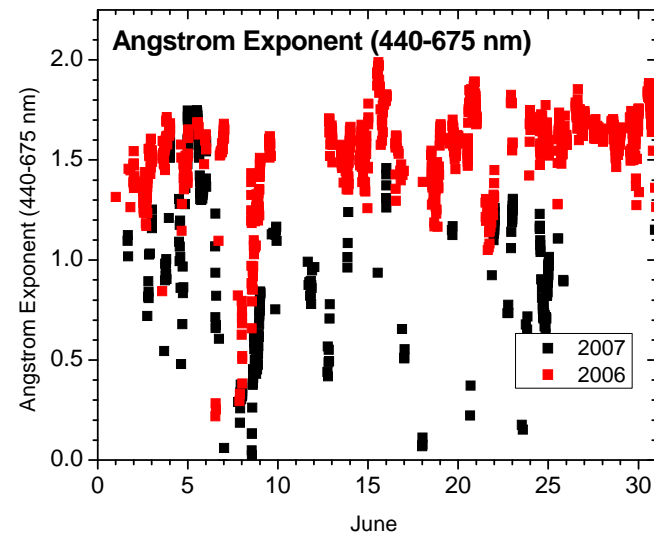
An automated technique that uses a Haar wavelet covariance transform with multiple wavelet dilations (Brooks, 2003) was used to determine:

- PBL height
- Upper and lower limits of the backscatter transition (i.e. entrainment) zone





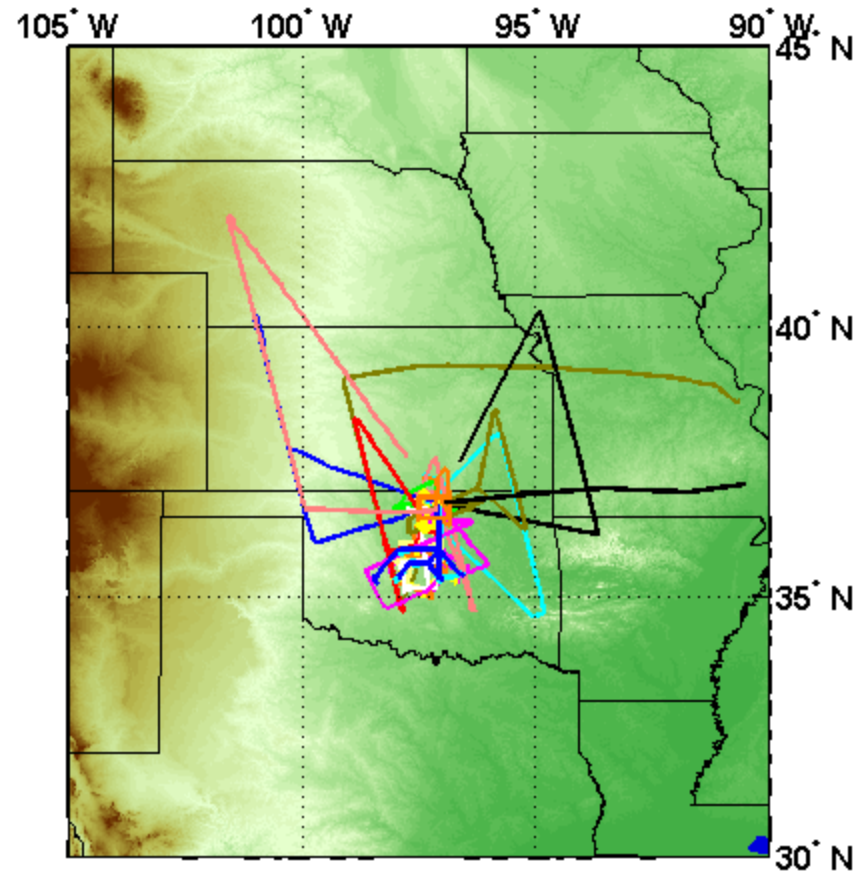
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## Objectives

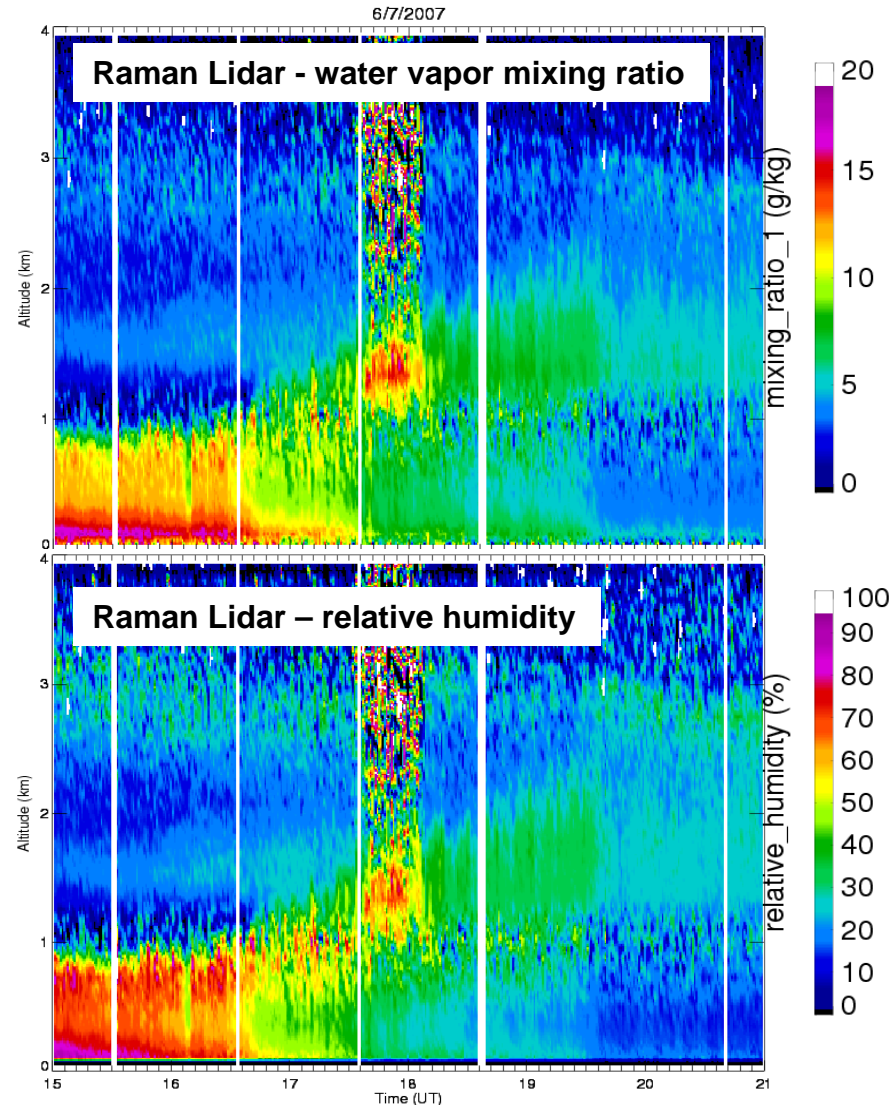
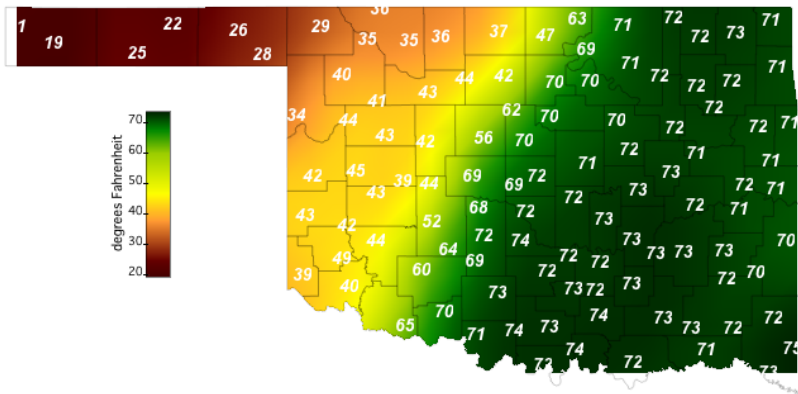
- Provide vertical profiles of aerosol between and above cloud
  - Provide vertical context for G-1 measurements
  - Investigate changes in aerosol optical properties as a function of:
    - Distance from clouds
    - Proximity to urban center (ex. upwind vs. downwind of OKC)
- Provide cloud top and PBL heights
- Locate horizontal extent of OKC plume
- Use HSRL measurements of aerosol intensive parameters to infer aerosol types
- Validate CALIOP lidar on the CALIPSO satellite
- Assess aerosol measurements of existing passive satellite sensors
  - MODIS, MISR, PARASOL
- Acquire data over DOE ARM SGP Raman lidar to investigate advanced, multi-wavelength lidar retrievals



- 20 science flights, 66 flight hours
- 12 flights over ARM SGP
  - 8 flights included CALIPSO validation
  - ~8 flights coordinated with DOE G-1
  - ~4 flights coordinated with CIRPAS TO
  - ~10-12 flights with MODIS/MISR

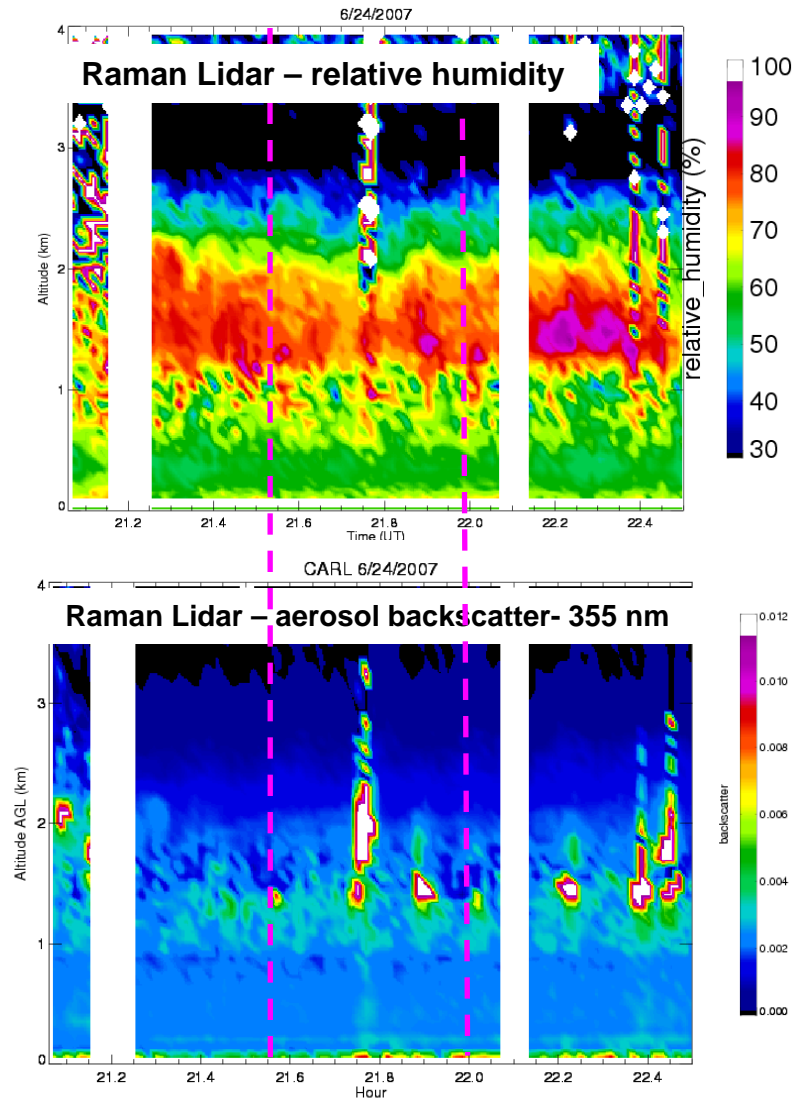
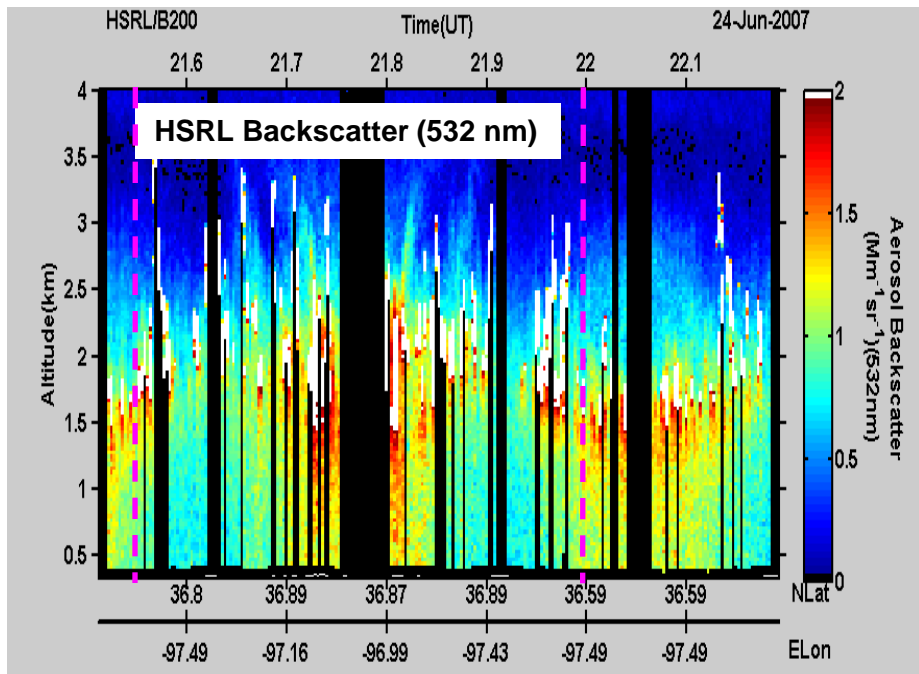
- Dry line passed from NW to SE over SGP site and crossed the region between the SGP and OKC
- Raman Lidar measurements show large decrease in water vapor after passage of dry line

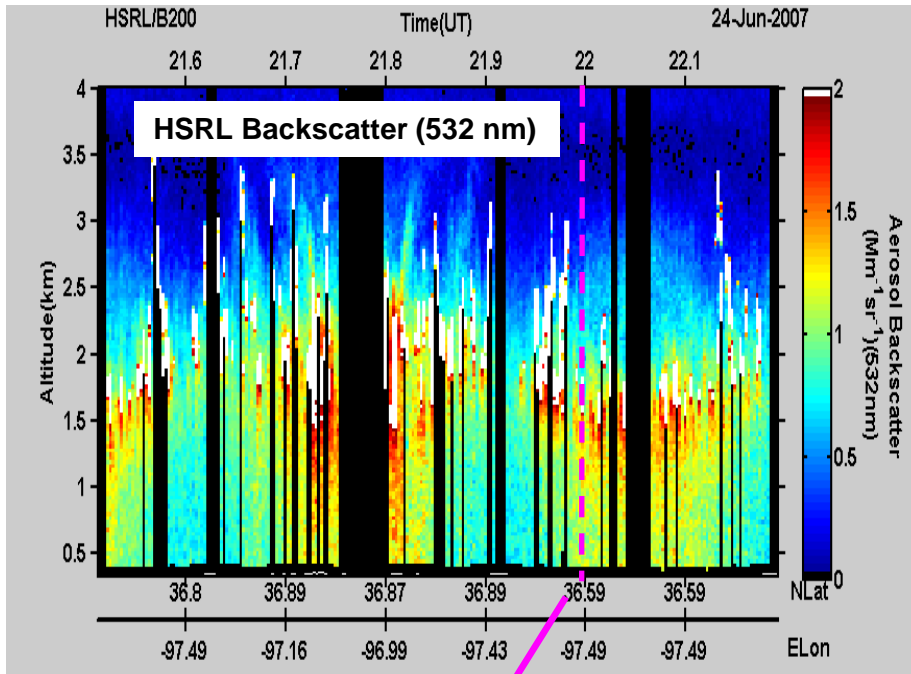
OK Mesonet; Surface Dew Point 20:00 UT



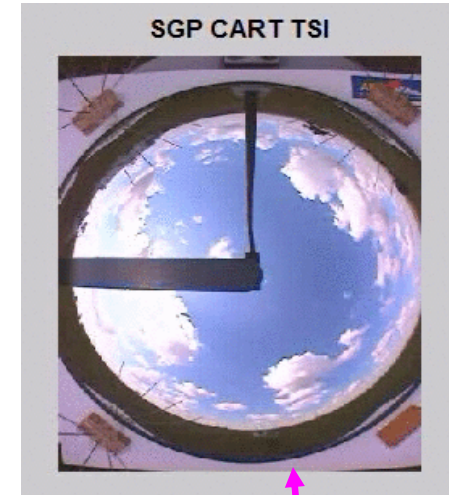
HSRL measurements acquired over DOE ARM SGP Raman lidar to investigate:

- Advanced, multi-wavelength lidar retrievals
- Investigate changes in aerosol optical properties as a function of
  - RH
  - Distance from clouds

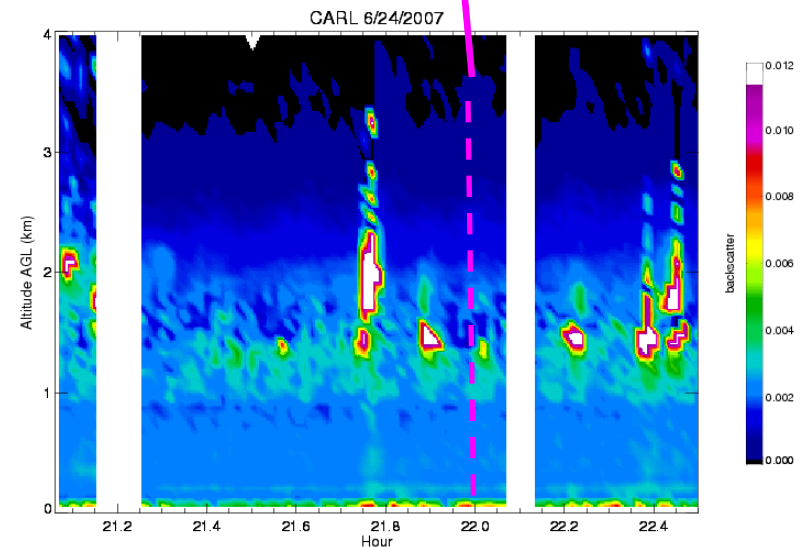


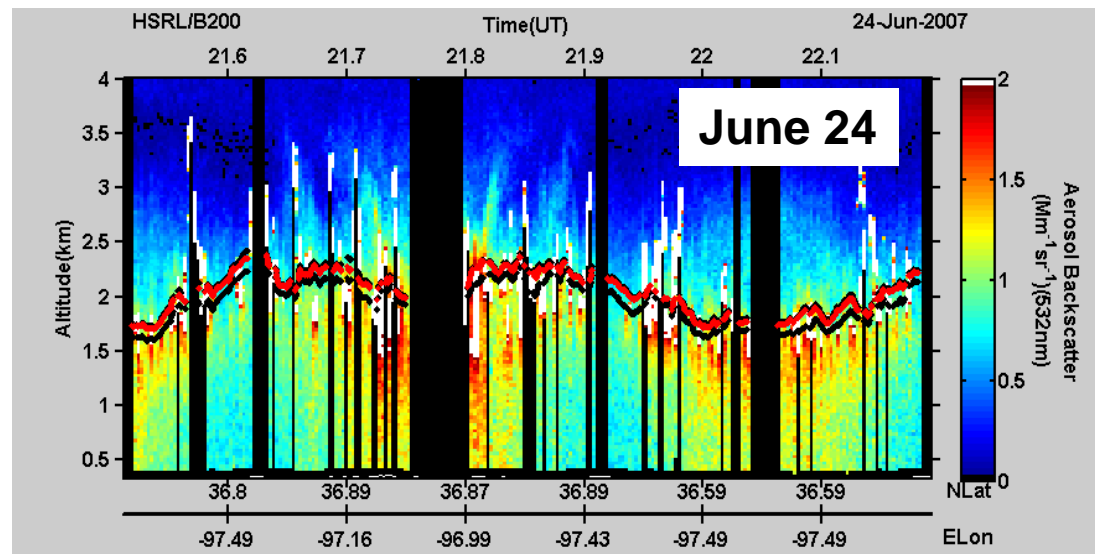
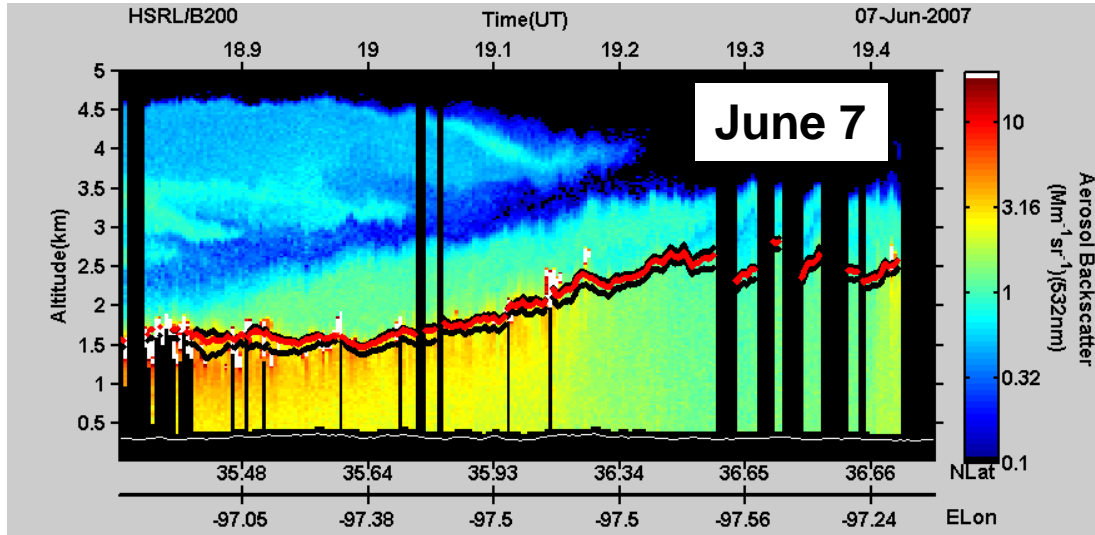


Investigate changes in aerosol optical properties as a function of distance from clouds



Raman Lidar – aerosol backscatter- 355 nm



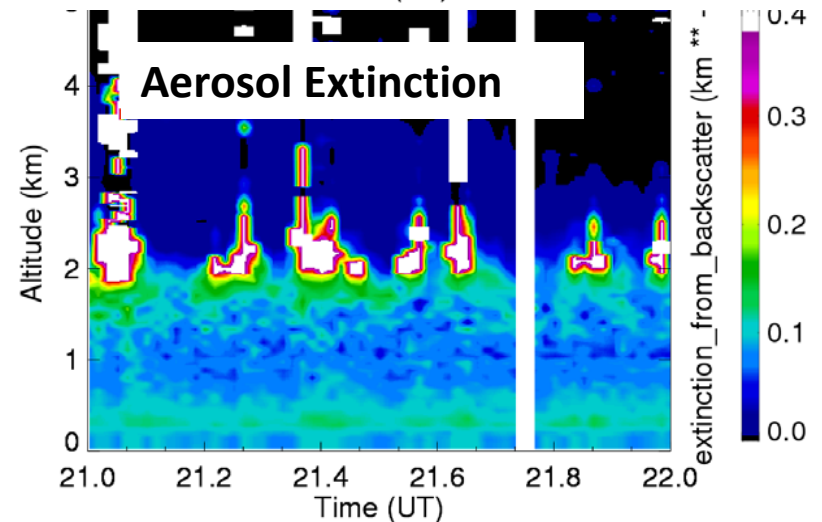
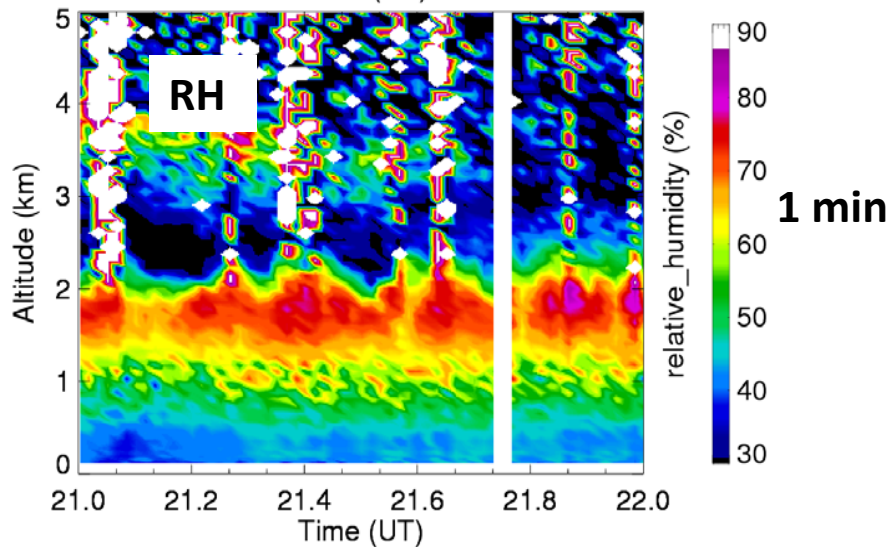
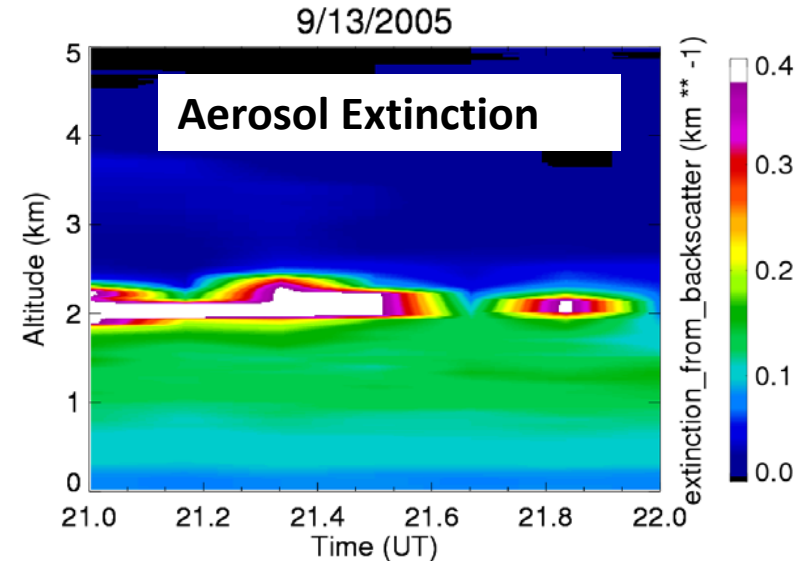
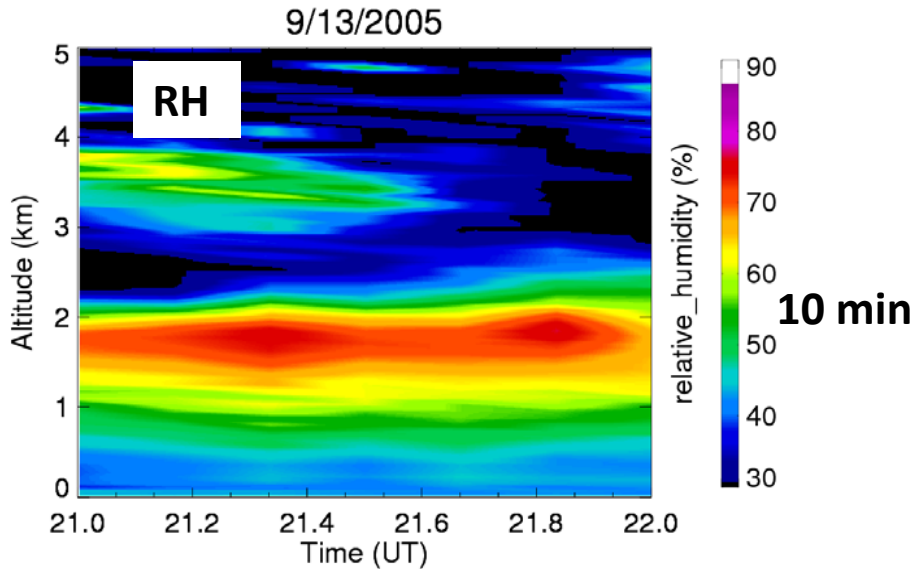


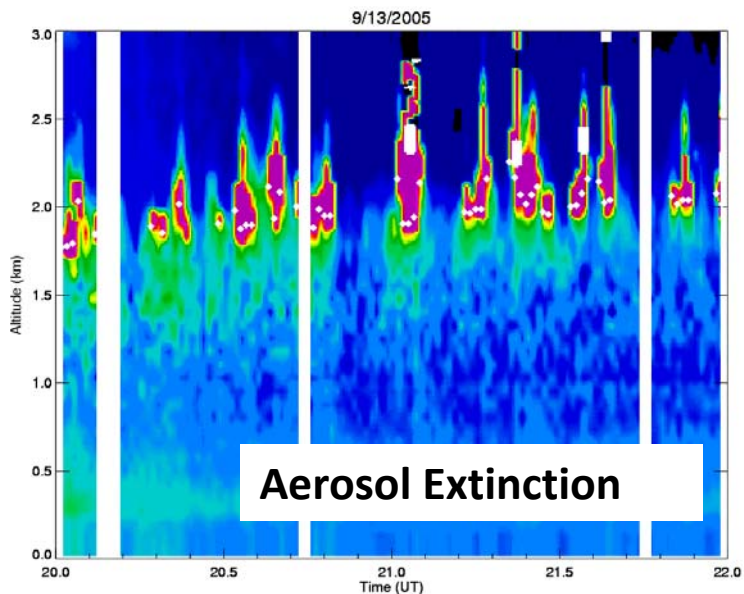
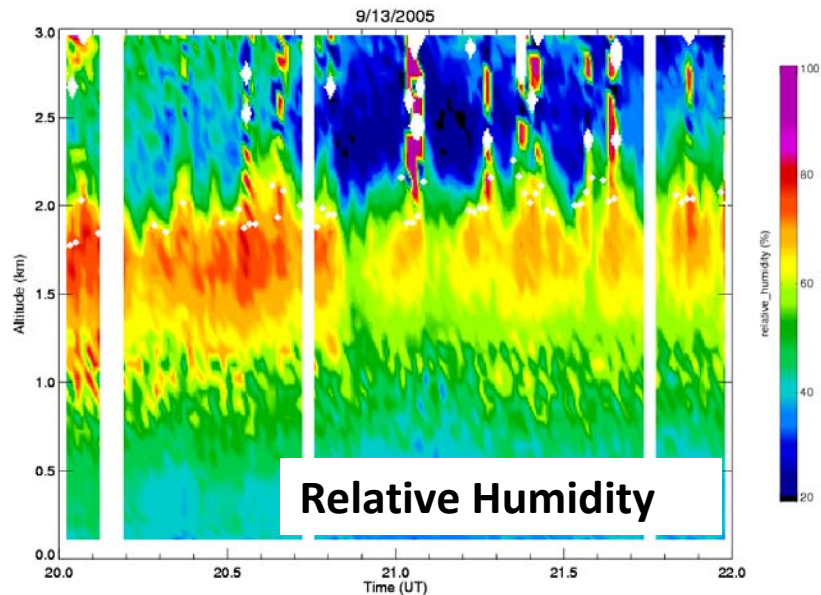
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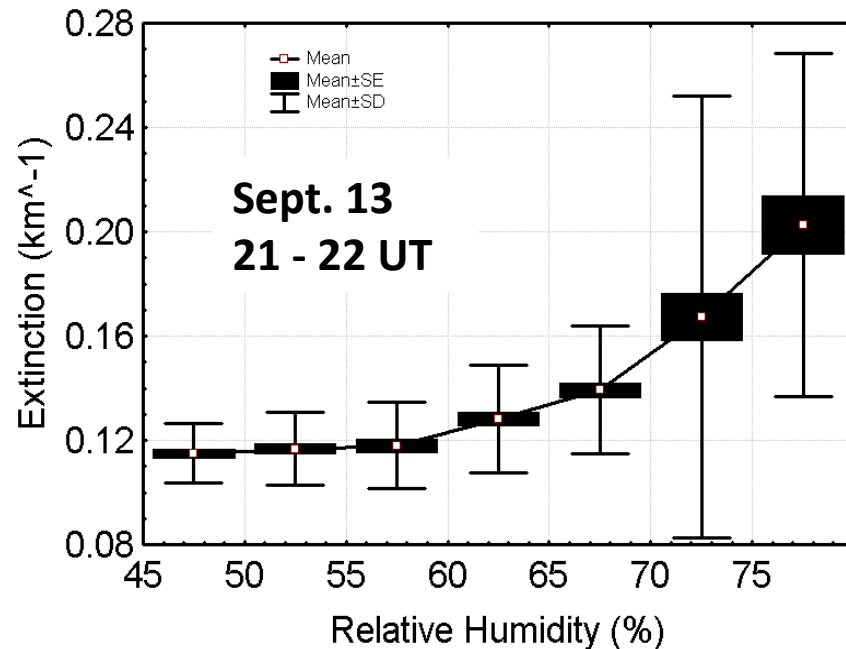
Increased temporal resolution should permit more detailed analyses near clouds





Increased temporal resolution permit more detailed studies of aerosol hygroscopicity

Aerosol Humidification Factor ( $f(\text{RH})$ )

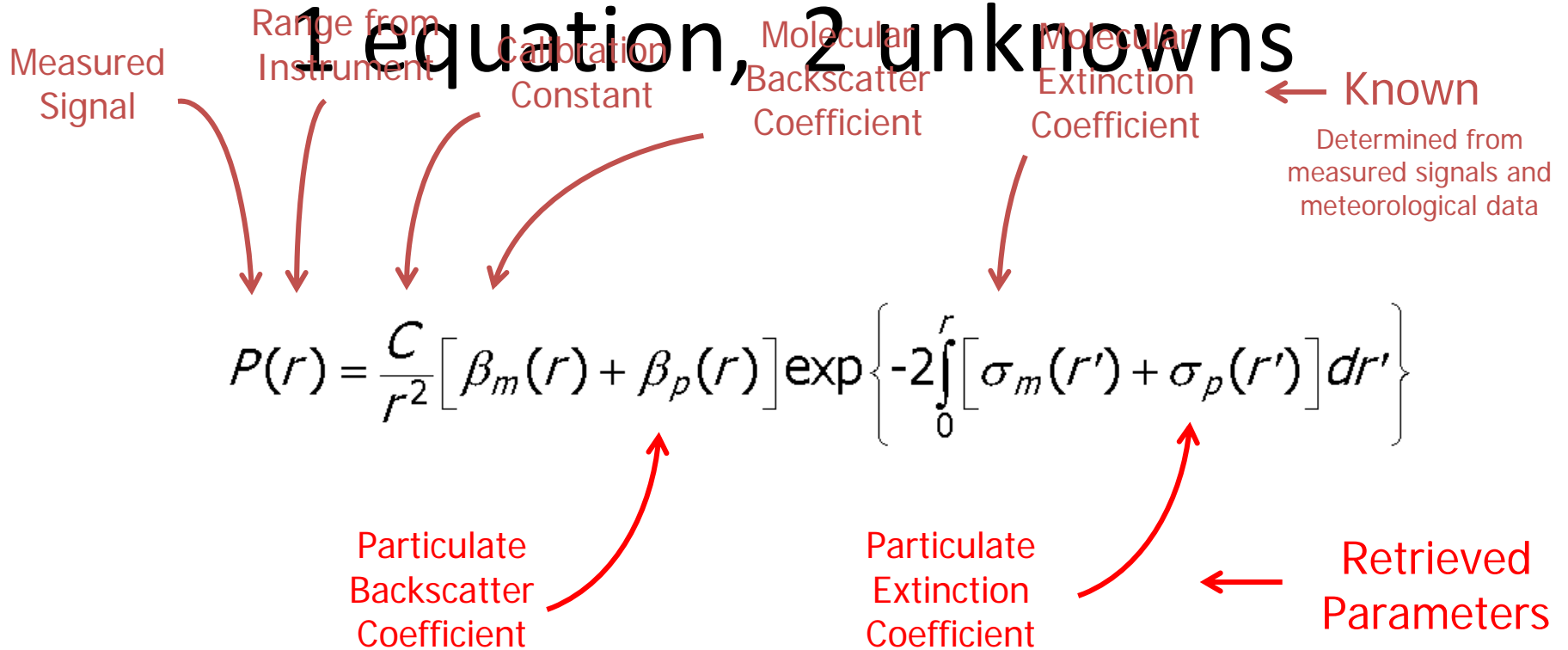


$f(\text{RH})$  derived from CARL measurements between 21-22 UT on Sept. 13, 2005



# Disadvantage of backscatter lidar:

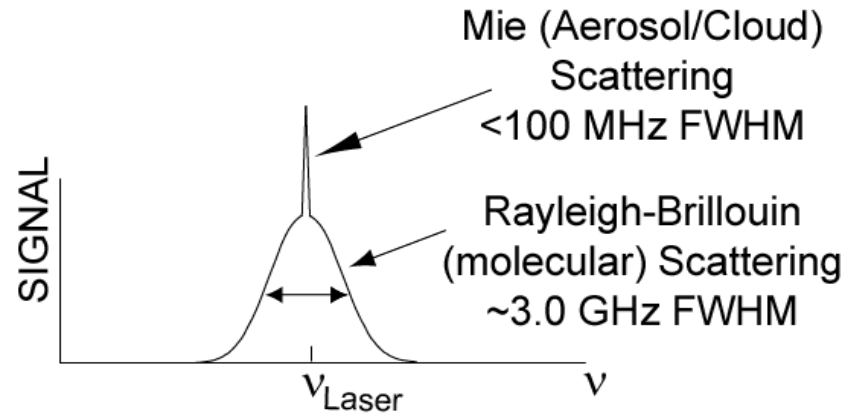
## 1 equation, 2 unknowns



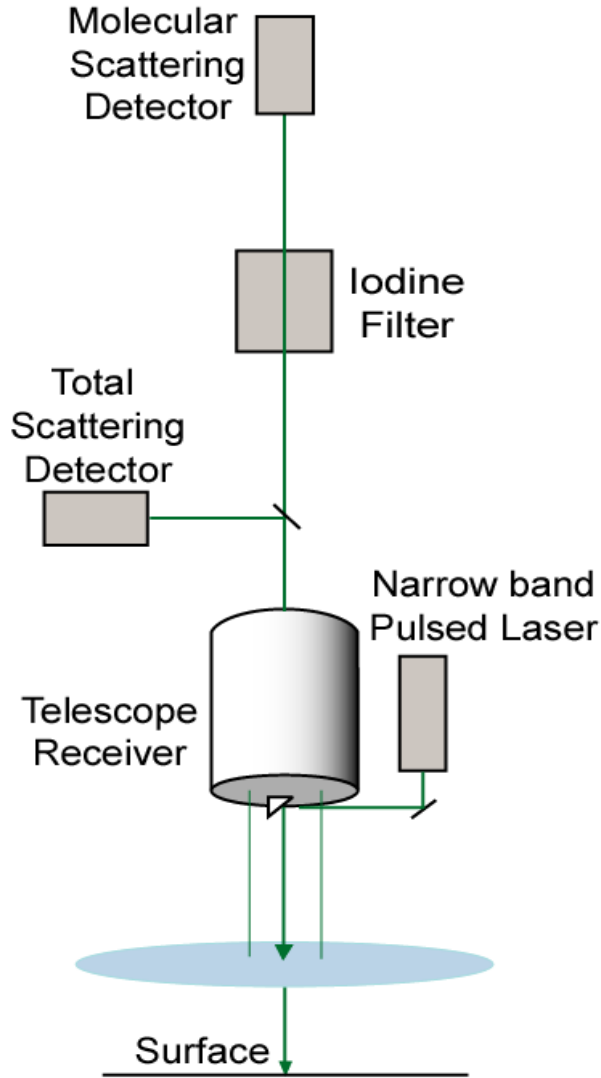
$$\frac{\sigma_p(r)}{\beta_p(r)} = S_p \quad \leftarrow \text{Assumption of value for extinction-to-backscatter } (S_p) \text{ ratio required for backscatter lidar retrieval}$$

## (HSRL)

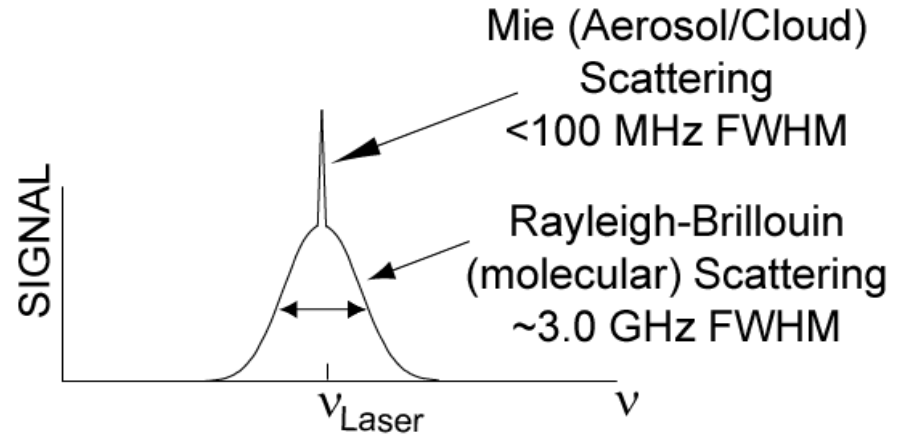
HSRL relies on spectral separation of aerosol and molecular backscatter in lidar receiver.



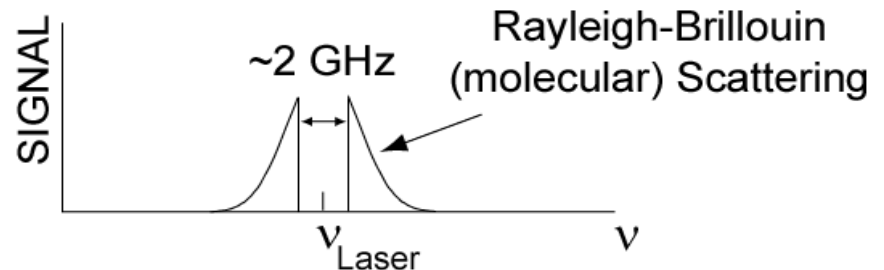
- HSRL independently measures aerosol and molecular backscatter
  - Can be internally calibrated
  - No correction for extinction required to derive backscatter profiles
  - More accurate aerosol layer top/base heights
- HSRL enables independent estimates of aerosol backscatter and extinction
  - Extinction and backscatter estimates require no  $S_o$  assumptions
  - Provide *intensive* optical data from which to infer aerosol type
  - Measurements of extinction at 2 wavelengths and backscatter at 3 wavelengths enables retrieval of aerosol microphysical parameters and concentration



### Atmospheric Scattering



### Effect of Iodine Vapor Notch Filter



# HSRL: 2 equations, 2 unknowns

Measured Signal on Molecular Scatter (MS) Channel:

$$P_{MS}(r) = \frac{C_{MS}}{r^2} F(r) \beta_m(r) \exp \left\{ -2 \int_0^r [\sigma_m(r') + \underline{\sigma_p(r')}] dr' \right\}$$

Particulate  
Extinction



Measured Signal on Total Scatter (TS) Channel:

$$P_{TS}(r) = \frac{C_{TS}}{r^2} [\beta_m(r) + \underline{\beta_p(r)}] \exp \left\{ -2 \int_0^r [\sigma_m(r') + \sigma_p(r')] dr' \right\}$$

Particulate  
Backscatter



$$\frac{\sigma_p(r)}{\beta_p(r)} = \underline{S_p}$$

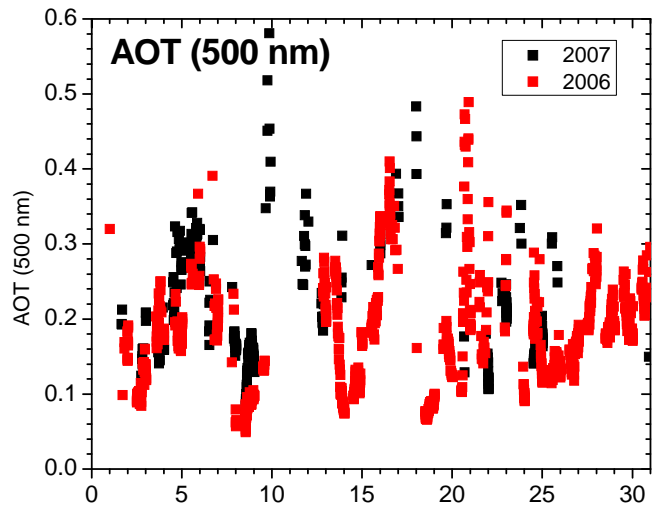
Ext/Backscatter



Retrieved  
Parameters







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