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Sonic Detection and Ranging Wind Profiler Instrument Handbook

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ARM
CLIMATE RESEARCH FACILITY

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Acronyms and Abbreviations

AMF	ARM Mobile Facility
ARM	Atmospheric Radiation Measurement Climate Research Facility
ATP	Acceptance Test Plan
BBSS	Balloon-borne sounding system
cm	centimeter
dB	decibel
DOE	U.S. Department of Energy
DQO	Data Quality Office
FFT	fast Fourier transform
Hz	hertz
LST	local standard time
m	meter
CAR	National Center for Atmospheric Research
NOAA	National Oceanic and Atmospheric Administration
QC	Quality Control
QME	Quality Measurement Experiment
SNR	Signal-to-Noise Ratio
SODAR	Sonic Detection and Ranging
VAP	Value-Added Product

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1.0 General Overview

The SODAR (Sonic Detection and Ranging) wind profiler measures wind profiles and backscattered signal strength between (nominally) 15 meters (m) and 500 m. It operates by transmitting acoustic energy into the atmosphere and measuring the strength and frequency of backscattered energy. The strength of the backscattered signal is determined by the strength of temperature inhomogeneities with size on the order of 10 centimeters (cm). Assuming the scattering elements in the atmosphere are moving with the mean wind, the horizontal wind field can be derived. The U.S. Department of Energy (DOE) Atmospheric Radiation Measurement (ARM) Climate Research Facility Mobile Facility (AMF) has a system developed by Scintec, Inc. that transmits a sequence of frequencies to enhance signal determination.

2.0 Contacts

2.1 Mentor

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2.2 Instrument Distributor

Scintec Corporation
197 South 104th St
Louisville, CO 80027
Phone: 303-666-7000
Fax: 303-666-8803

Instrument manual available from manufacturer http://www.scintec.com/english/Web/Scintec/Home.aspx

3.0 Deployment Locations and History

AMF1

1. Cape Cod, Massachusetts, USA — July 2012-June 2013
2. Manacapuru, Amazonas, Brazil — February 2014-February 2016

4.0 Near-Real-Time Data Plots

See http://plot.dmf.arm.gov/plotbrowser/

5.0 Data Description and Examples

5.1 Wind Profile Data

The data produced by this instrument come in two forms: raw spectra, and time-averaged profiles, similar to the data produced by the radar wind profiler.

The spectra are the most basic form of data produced by the present version of this instrument. The method by which the spectra are obtained is discussed below in Section 8.1.2. The spectra display the energy content of the scattered signal over the range of Doppler shifts observed from each of five pointing directions and in the power level of the wind profiler. There is a single spectrum for each range gate, pointing direction, and power level. The spectrum represents an average of several (e.g., 60) individual spectra obtained over several seconds (e.g., 30).

The time-averaged profiles are calculated from the spectra using the following variables.

- Mean Doppler Shift is the first moment of the spectrum, f_D, calculated roughly as:

$$\overline{f_D} = \sum_{i=f_1}^{f_2} f_i S(f_i) \Big/ \sum_{i=f_1}^{f_2} S(f_i)$$

$$c = v_r + 20.05\sqrt{T_v} \qquad \text{Eq. 1}$$

  where S(f) is the power at frequency f and f1 and f2 are the maximum and minimum frequencies, chosen about a mid-point frequency associated with the maximum signal power level.

- Doppler width: The width of the spectrum, V_D, calculated as

$$V_D = 2\sqrt{\sum_{i=f_1}^{f_2}\left(\left(f_i - \overline{f_D}\right)^2 S(f_i)\right) \Big/ \sum_{i=f_1}^{f_2} S(f_i)} \qquad \text{Eq. 2}$$

- Noise Level: This value is derived from the remaining portion of the spectrum, based on the assumption of a Gaussian noise spectrum, such that the variance of the spectral points should be equal to the square of their mean value divided by the number of spectral averages. Using this fact, the signal region is separated from the noise region and helps to define f1 and f2 above.
- Signal-to-Noise Ratio (SNR): This value is calculated from the ratio of S(f) to the noise level determined above.

This data can be very useful in determining atmospheric structure on time scales as fine as a few minutes. Figure 1 shows the SNR and vertical velocity moments for a 24-hour period (note that the vertical velocity definition is such that positive is upward in this figure). Note that the vertical velocities and the SNR ratios can be affected by rainfall (large downward motion associated with energy scattered from falling rain rather than atmospheric structure).

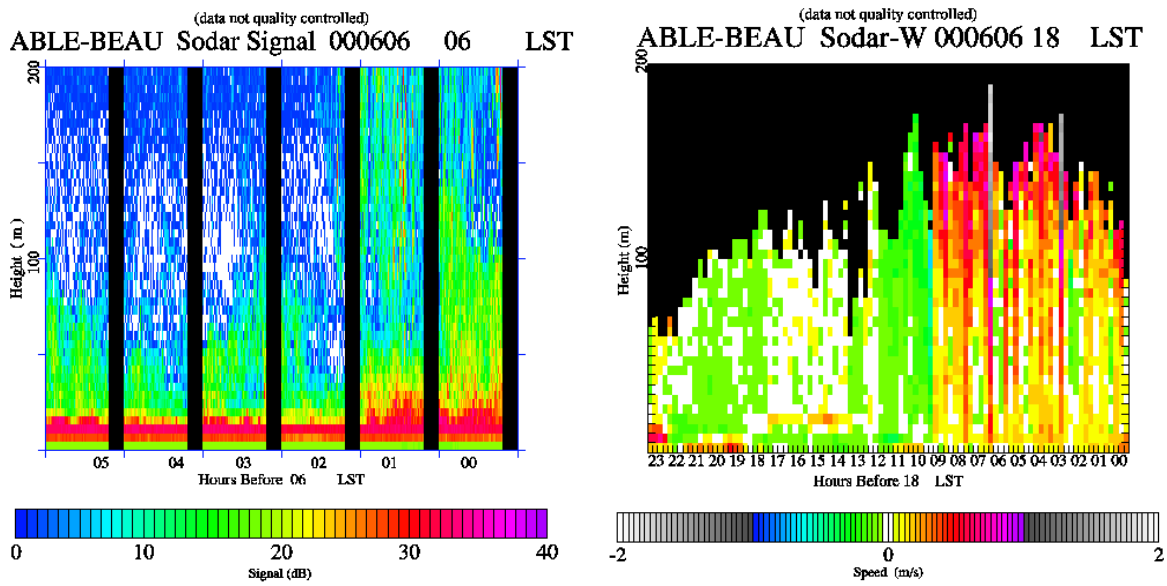

Figure 1. The time-averaged profiles consist of values calculated over a user-defined time period (usually 1 hour for ARM Data). A series of data-quality checks is used to eliminate values at times and heights with unacceptable data. These quantities include, for each height, the wind speed and direction, the radial wind speed along each transmit direction, and the SNR ratio along each transmit direction. A 24-hour period of SODAR wind measurements is often portrayed using wind barbs, as shown in **Figure 2**.

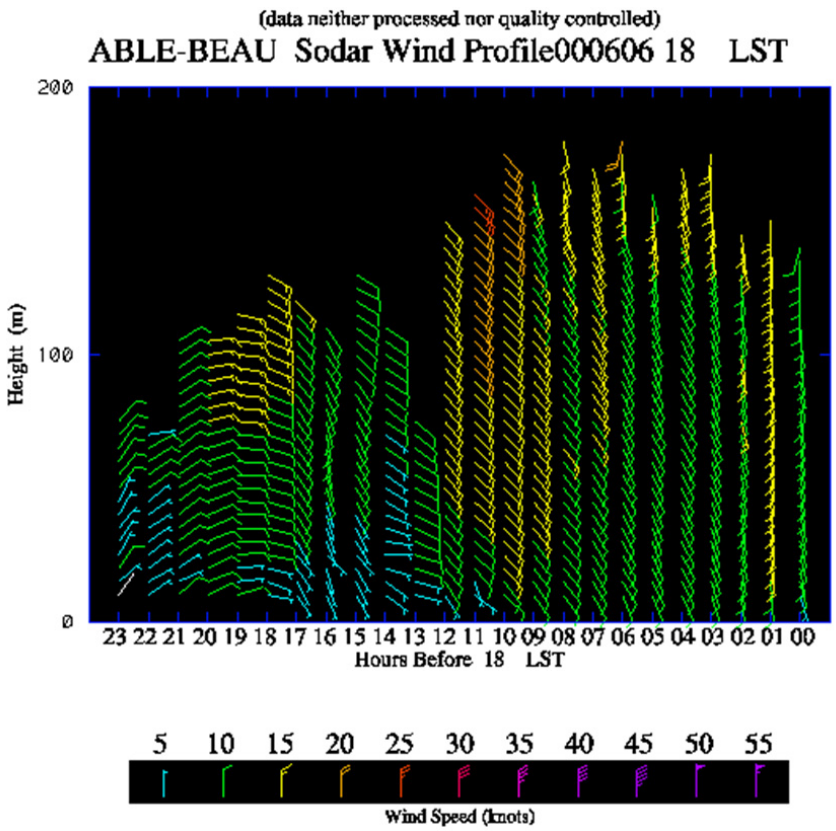

Figure 2. A 24-hour period of SODAR wind measurements.

6.0 Data File Contents

6.1 Wind Profile Data

- Spectral Data
  - At each height, beam pointing direction, and power level:
    1. Spectral amplitude (at each bin of fast Fourier transform [FFT])
- Average Data
  - At each power level
  - At each height:
    2. Wind speed (m/s)
    3. Wind direction (deg relative to true north)
    4. Vertical wind speed (positive = upward)
    5. Vertical wind standard deviation
    6. Average backscatter strength.

Additional information may be found at SODAR Data Object Design file for ARM netCDF file header descriptions.

6.1.1 Primary Variables and Expected Uncertainty

The primary quantities measured with the system are the intensity and Doppler frequency of backscattered radiation. Wind speed is determined from the Doppler frequency of energy scattered from refractive index fluctuations (caused primarily by moisture fluctuations but also, to a lesser extent, by temperature fluctuations) embedded within the atmosphere; the virtual temperature is determined from the Doppler frequency of microwave energy scattered from acoustic energy propagating through the atmosphere.

6.1.2 Definition of Uncertainty

The primary observed quantities are Doppler frequency and signal amplitude. Note that the observed quantities above are not the principal measurements of interest to most climate researchers. The derived quantities of most interest to climatologists are the wind speed, wind direction, vertical wind speed, and virtual temperature as a function of height. The accuracies of these quantities, while dependent upon the accuracy of the frequency measurement, are also affected by atmospheric effects and vary considerably according to conditions. The wind speed is derived from measurements from, normally, five SODAR beams. Because the individual components are not collocated in space, horizontal homogeneity is assumed to derive the wind vector at a single height. Furthermore, the data are sampled at equal time intervals along each transmit direction. Thus, the vertical beam is sampled at larger height intervals than are the tilted beams (by 1/[sin {elevation angle}]). This difference is approximately 3%, which can be significant at large ranges. For example, at a nominal height of 1000 m (tilted beams), the vertical beam information is derived from 1035 m, which could be a significant difference in some situations.

- Nominal accuracy for wind speed: **0.5 m/s**
- Nominal accuracy for radial wind components along the pointing direction of the transmitter (e.g., vertical velocity): **0.3 m/s**
- Nominal accuracy for wind direction: **3°**

The figures above are the result of more than one year of daily and multi-daily comparisons with winds derived from multiple sources at numerous field studies.

6.1.3 Data-Quality Flags

No flags are applied during data ingest of the averaged winds. However, the data are examined regularly by the instrument mentor and maintained; files are created and maintained by the Data Quality Office (DQO) on a monthly basis for each of the instruments that determine locations (temporally and spatially) where data should be eliminated based on a brute-force, multi-pass comparison with data from neighboring points (above, below, before, and after). This routine eliminates most of the questionable data, though several situations defy straightforward objective analysis routines. Most of these situations can be delineated by subjective analysis, done monthly by the instrument mentor. The primary situations that can create seemingly good, but actually erroneous, data include:

- *Precipitation*: Both rain and snow are excellent sources of scatter of electromagnetic radiation; thus they have the potential to considerably increase the effective range for useful data. However, precipitation generally possesses a heterogeneous spatial distribution on the scale of the separation of the transmitted beams that can lead to significant errors in estimates of the true wind speed. Rainfall is more amenable to objective analysis detection because it usually has a large downward velocity in comparison to atmospheric motion. Snow, on the other hand, has quite small terminal velocities. Figure 3 shows these effects.

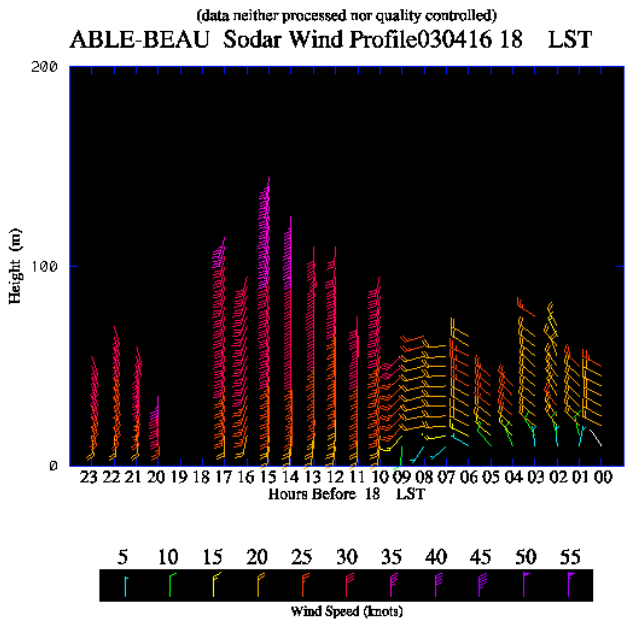

Figure 3. Note that the data around 2200 hours local standard time (LST) is obviously strongly affected by the precipitation (region of dark red extending to all heights between 17 and 10 hours before 18 LST) observed in the SNR profile. However, the precipitation around 0600 and 1000 hours is largely "thrown out" by the quality control requirements and the precipitation around 1600 hours has no obvious detrimental effect on the calculated wind profile.

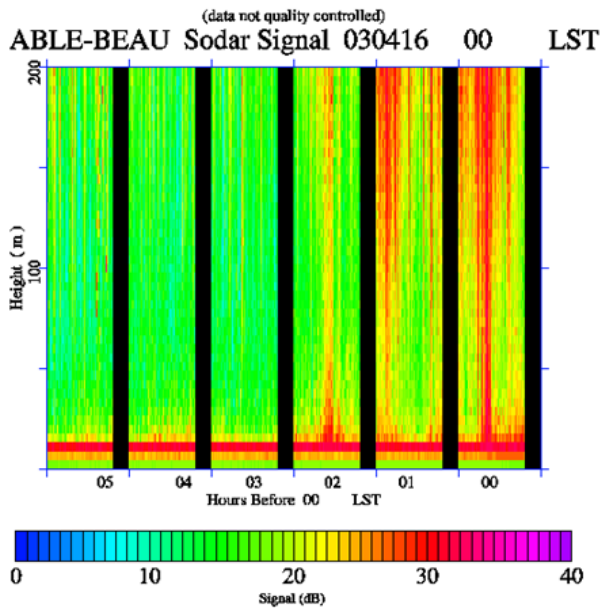

Figure 4. When 60-hertz (Hz) noise gets directly into the analyzed Doppler signal. It is detected approximately as a Doppler shift associated with a radial wind speed of 10 m/s. Because the Nyquist frequency for winds is often near 10 m/s, the noise signal is sometimes aliased back into the spectrum as well. Depending on which antenna beam is affected, very large deviations in wind speed can occur. Some of these are easily removed, but some provide consistent values not totally removed by some objective analysis routines. In addition, it reduces the range of accessible data, as discussed above.

6.2 Frequently Asked Questions

Why don't the SODAR values of winds and/or temperature agree with values from the radiosonde balloon-borne sounding system (BBSS)?

- The SODAR provides values averaged over (nominally) 1 hour, while the BBSS obtains only a grab sample at one instant in time at each height.
- The balloon from the BBSS travels with the mean wind; hence, it is not collocated with measurements from the SODAR.
- The SODAR values are volume averages over (nominally) 10-20 meters in height by 9 degrees horizontally.

7.0 Data Quality

7.1 Data Quality Health and Status

The following links go to current data-quality health and status results:

- DQ HandS (Data Quality Health and Status)
- NCVweb for interactive data plotting use

The tables and graphs shown at these links contain the techniques used by ARM's data-quality analysts, instrument mentors, and site scientists to monitor and diagnose data quality.

7.2 Data Reviews by Instrument Mentor

- **Quality Control (QC) frequency:** Daily
- **QC delay:** Instantaneous; daily
- **QC type:** Min/max flags, graphical plots, comparisons
- **Inputs:** Raw data
- **Outputs:** Summary reports
- **Reference:**

Data QC procedures for this system are mature.

1. Data Flags:
   A procedure was in place for several years that produced a parallel data stream to the ".a2" data, which consisted of consensus-averaged wind and temperature profiles produced by the wind profiler. These data are unchanged from the original data, but a new data field has been added consisting of flags referring to data that are questionable. The specific flag is determined from differences between successive wind or temperature variables. Comparisons are made both in time and space and both forward and backward (i.e., comparisons with previous and successive values at a given height, as well as comparisons with values above and below at a given time). If the values exceed defined limits, they are flagged.
2. Daily Inspection:
   Vertical time sections of hourly averaged wind and temperature over a 24-hour period are inspected daily for system consistency and operation via data placed at a Web site.

7.3 Data Assessments by Site Scientist/DQO

All DQO and most Site Scientist techniques for checking have been incorporated within DQ HandS and can be viewed there.

7.4 Value-Added Products and Quality Measurement Experiments

Many of the scientific needs of the ARM Climate Research Facility are met through the analysis and processing of existing data products into "value-added" products or VAPs. Despite extensive instrumentation deployed at the ARM Cloud and Radiation Testbed sites, there will always be quantities of interest that are either impractical or impossible to measure directly or routinely. Physical models using ARM instrument data as inputs are implemented as VAPs and can help fill some of the unmet measurement needs of the program. Conversely, ARM produces some VAPs, not to fill unmet measurement needs, but to improve the quality of existing measurements. In addition, when more than one measurement is available, ARM also produces "best estimate" VAPs. A special class of VAP, called a quality measurement experiment (QME), does not output geophysical parameters of scientific interest. Rather, a QME adds value to the input datastreams by providing for continuous assessment of the quality of the input data based on internal consistency checks, comparisons between independent similar

measurements, or comparisons between measurement with modeled results, etc. For more information see:

- VAPs and QMEs
- Site-wide advective tendencies
- Site-wide divergence calculation.

8.0 Instrument Details

8.1 Detailed Description

8.1.1 List of Component

The SODAR wind profiler is manufactured by Scintec, Inc. It consists of a single-phased antenna array. The antenna is approximately 1.5 m square and is oriented in a horizontal plane so the "in-phase" beam travels vertically.

8.1.2 System Configuration and Measurement Methods

The SODAR operates by transmitting in two different vertical planes and receiving backscattered energy from refractive index fluctuations that are moving with the mean wind. By sampling in the vertical direction and in two tilted planes, the three components of motion can be determined. The system consists of a single-phased array antenna that transmits alternately along five pointing directions: one vertical, two in the north-south vertical plane (one south of vertical, one north of vertical), and two in the east-west vertical plane (one east of vertical, one west of vertical). The non-vertical beams are tilted at about 14 degrees from vertical.

Radial components of motion along each pointing direction are determined sequentially. It takes, nominally, 30-45 seconds (dwell time) to determine the radial components from a single pointing direction. Thus, at the AMF site the system cycles through five beams (south, north, east, west, and vertical) at multiple pulse lengths and frequencies. Then the whole process is repeated. About five minutes elapse before the system returns to the beginning of its sequence. Within an averaging interval, the estimates from each beam-power combination are saved (11-12 in a 1-hour period); these values are examined and compared at the end of the period to determine the averaged radial components of motion.

During a single time period while the system operates in a single pointing direction (dwell time), the data that is produced in the ".a1" and ".a0" files is created. The system transmits pulses at about 1-10 Hz rate into the atmosphere. The backscatter from each transmit pulse is sampled at, for example, a 1 kHz rate. This results in 64 samples every 20 m in range. An FFT is performed (one for each range gate) over each set of 64 samples. This process takes on the order of 1 second. A number (about 30) of these spectra are then averaged together during the dwell time. At the end of the dwell time a single averaged spectrum is produced from each range gate along the designated pointing direction. The spectra themselves are placed in the ".a0" data files.

The spectra are analyzed by the system before moving to the next pointing direction. This analysis produces estimates of the SNR, the noise, the mean velocity (proportional to frequency), and the first moment (spectral width) at each range gate. This is the information that is stored in the ".a1" data files. Both the ".a1" and ".a0" data files thus have information at about <dwell time> intervals; however, the data sequences among pointing directions and output powers.

8.1.3 Specifications

- **Frequency:** 2000 - 4500 Hz
- **Maximum Range:** 500 m
- **Range Gate:** 20-50 m
- **Pulse Length:** 20-50 m
- **# Spectra/Ave Spectrum:** 1-100

8.2 Theory of Operation

Not applicable to this instrument.

8.3 Calibration

Not applicable to this instrument.

8.4 Operation and Maintenance

8.4.1 Software Documentation

ARM netCDF file header descriptions may be found at SODAR Data Object Design.

8.4.2 Additional Documentation

A. Calibrations and Related Performance Checks

1. **What are the factory recommended calibration procedures? (Identify National Institute of Standards and Technology traceability.)**
   The only true calibration procedures are carried out during the Acceptance Test Plan (ATP) that is performed immediately before the instruments are put into service. These are/were carried out by Scintec personnel. They include:

   a. Output Power

   b. Center Frequency

   c. Dynamic Range: A signal generator with variable attenuator is used as input to the system to establish a dynamic range of at least 55 decibels (dB).

   d. System Sensitivity: Signal generator is used to establish a minimum detectable level of at least -127 dBm.

2. **What are the factory-recommended performance checks?**
   As detailed in the ATP (Chapter 4), these include visual inspection, system power, timing, data transfer, and antenna integrity. Additional performance checks are detailed on page 100 of the system manual supplied by Scintec and include:
   a. Control lights
   b. Date and time accuracy
   c. Data display operating
   d. Appropriate antenna rotation
   e. Data appearance
   f. SNR levels (should be unchanged).
3. **What are the mentor calibration procedures?**
   There are none other than comparison of data with other available sources of data. This, however, is more of a QC check.
4. **What are the mentor performance checks?**
   These include:
   a. Regular noise level checks (done by Site Ops)
   b. Regular final amplifier current checks (done by Site Ops)
   c. Daily data existence (done by mentor)
   d. Vertical time sections of winds and temperatures (done by mentor)
   e. Continuous (daily) maximum height attained monitoring (done by mentor).
5. **How are calibration and related performance checks documented?**
   a. Where are procedures documented?
      ATP, system Operator's Manual supplied by Scintec Operators manual specific to the SODAR supplied by the instrument mentor.
   b. Have major changes to calibration procedures occurred? **NO**
   c. Are major changes to calibration procedures expected to occur? **NO**
6. **Who implements (mentor) calibration and performance checks?**
   a. ___Mentor: **Site Ops for system hardware, Mentor for data integrity**
   b. ___Factory: **Factory, Site Ops, mentor**
   c. ___Site Ops: **Site Ops**
7. **What is standard schedule of calibrations and checks?**
   Factory calibrations are done at time of installation and are recommended by the mentor for every year.
   Other checks are recommended for Site Ops to perform in the Operator's Manual (p. 7):
   a. Every 3 months operating level comparison
   b. Every 2 years antenna analysis (factory procedure)

c. Monthly: Frame support levels and pointing direction

d. Daily: Operation, data existence

e. Weekly: Data integrity.

8. How are the calibration and check procedures initiated (queued)?

a. ___Work Order: When data existence fails, or other problem is identified by the mentor

b. ___Data Inspection: Daily (data existence, maximum height, and comparison with BBSS) and weekly (vertical time sections of wind vectors and virtual temperature contours)

c. ___Instrument Failure: Site Ops checks daily for operation, monthly for physical level and pointing direction, output levels

9. How long does it take to perform calibration and performance check procedures? (List separately.)

a. Basic factory calibration: 1-2 days

b. System operating: 30 minutes/day

c. Data existence and daily checks: 30 minutes/day

d. Data quality: 2 hours/5 days

10. Are any data affected or lost during calibration or performance check procedures?

a. Basic factory calibration: **All data lost**

b. System operating: **None**

c. Data existence and daily checks: **None**

d. Data quality: **None**

11. What are corrective procedures when calibrations and or performance checks fall behind schedule?
None

B. Calibration Data

1. Where are calibration data documented? (List for each procedure.)

a. Site Data System:

- ___Site Ops Database - Hard Copy: ATP
- ___Site Ops Database - Electronic Copy: Site Ops log
- ___Instrument Mentor - Hard Copy: ATP
- ___Instrument Mentor - Electronic Copy:
- ___Data Logger:
- ___netCDF file:
- ___Special Archive Database:
- ___Special databases accessible via the WWW:
- ___Other: Mentor log book; profiler log book (at profiler).

2. **Where are calibration coefficients and algorithms applied to convert data to geophysical units?**
   In system operating program applied to a1 and a2 data.

C. Maintenance Procedures

1. **What are the factory recommended maintenance procedures: (preventive and corrective)?**

   In System Manual (p. 97):

   a. Clean air filters

   b. Remove dust

   c. Check cables

   d. Inspect antenna, fences, exterior cables, clutter screens, guys, anchors.

2. **What are the mentor preventive and corrective maintenance procedures?**
   In Operators Manual (p. 7). These include the following:

   a. Regular noise level checks (done by Site Ops)

   b. Regular final amplifier current checks (done by Site Ops)

   c. Daily data existence (done by mentor)

   d. Vertical time sections of winds and temperatures (done by mentor)

   e. Continuous (daily) maximum height attained monitoring (done by mentor).

3. **How are maintenance procedures documented?**

   a. Where are procedures documented?
      - In System Operators Manual (pp. 97-100)
      - In Operators Manual (p. 7)
      - Site Ops log at site data system.

   b. Have major changes to maintenance procedures occurred? **NO**

   c. Are major changes to maintenance procedures expected to occur? **NO**

4. **What is the procedure schedule?**

   a. Daily: Check operation, verify data existence

   b. Weekly: Check data quality

   c. Monthly: Check system alignment, cables, output levels, antenna switching

   d. Yearly: Repeat ATP

How are the procedures initiated (queued)?

   a. ___Scheduled Calendar Event: Automatic change of parameter files for temps

   b. ___Work Order: Data nonexistence or apparent malfunction determined by mentor

   c. _X_Data Inspection: Regular and automatic procedure of mentor

   d. ___Instrument Failure:

e. _X_Other: Site Ops standing operation: daily check of operation, monthly checks of hardware

5. **How long does it take to perform maintenance procedure?**
   a. System operating: 30 minutes/day
   b. Data existence and daily checks: 30 minutes/day
   c. Data quality: 2 hours/5 days
6. **Are any data affected or lost during maintenance procedure?**
   No
7. **How are potential effects to data documented?**
   Data Quality Reports
8. **What are corrective procedures when maintenance falls behind schedule?**
   None
9. **Where is actual maintenance work documented?**
   a. Site Ops log
   b. Instrument mentor's personal log

D. Data Integrity and Quality Inspections

1. **What nodes or activities along the data pipeline effect (or can potentially affect) the data stream?**
   a. ___Controller Boxes
   b. ___Microprocessors
   c. ___Data Logger
   d. _X_Communication lines/links
   e. ___Calibration Data files
   f. _X_Ingest Modules
2. **What are current difficulties?**
3. **List and describe any standard or non-standard data inspections (active or planned) under each of the following categories:**
   a. _X_Data Existence check: Daily ingest of "raw" consensus files (active)
   b. ___Mentor QC checks (during ingest): QC delta checks (planned)
   c. ___Mentor QC checks (outside of ingest):
      - Vertical time section of vector and contour plots.(active)
      - Maximum height of wind and temperature profiles/day (active)
      - Comparison with BBSS (active)
   d. ___Within Platform Check:
   e. ___Multiple Platform Check: Comparison with BBSS

f. ___QMEs/VAPs:
   - Establish flags for questionable data (planned)

g. ___Other automated netCDF file checks

h. ___Other analytic tools or algorithms

4. **Does storage media exist on the instrument system to back up data and store it for delayed data ingest? Please identify media and the maximum period of time that the data can be backed up on the media.**
   Yes: The hard disk can hold spectra and average files for approximately 365 days.

8.5 Glossary

See the ARM Glossary.

8.6 Acronyms

See the ARM Acronyms and Abbreviations.

8.7 Citable References

There are numerous references to SODAR profiling—too many to list. A good collection can be found in the following conference proceedings:

- Symposium on Lower Tropospheric Profiling: Needs and Technologies, Boulder, CO 10-13 September 1991. Sponsored by National Oceanic and Atmospheric Administration (NOAA) and National Center for Atmospheric Research (NCAR).
- Third International Symposium on Tropospheric Profiling: Needs and Technologies, Max-Planck-Gesellschaft zur Forderung der Wissenschaften, Hamburg, Germany, 30 August-2 September 1994. Sponsored by NOAA/NCAR.

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