

# General Circulation Model Sensitivity of Globally Averaged Albedo and Outgoing Longwave Radiation to Ice Crystal Shape

*D. L. Mitchell*  
Desert Research Institute  
Reno, Nevada

*J. M. Edwards*  
Hadley Centre for Climate Prediction and Research, Meteorological Office  
Bracknell, United Kingdom

*P. N. Francis*  
Meteorological Research Flight  
Farnborough, United Kingdom

We will first demonstrate the sensitivity of cirrus radiative properties to ice crystal shape by reformulating a recent treatment of radiative properties (Mitchell et al. 1996c) in terms of an effective diameter,  $D_e$ . We then show what impact crystal shape has in general circulation model (GCM) simulations.

## Effective Diameter

Our definition of effective diameter is essentially the same concept as the Foot definition of effective radius (Francis 1994), which has been shown to predict shortwave (SW) and longwave (LW) fluxes in cirrus, based on observed microphysics, in reasonable agreement with observed fluxes (Francis 1994). We use effective diameter instead of radius because this simplifies the definition somewhat:

$$D_e = \frac{IWC}{\rho_i P_t} \quad (1)$$

where IWC = ice water content  
 $\rho_i$  = density of bulk ice (which refractive indexes are referenced to)  
 $P_t$  = size distribution projected area.

If we define the ice particle size distribution as

$$N(D) = N_0 D^{-v} \exp(-\lambda D) \quad (2)$$

where  $D$  = ice particle maximum dimension

and define ice particle mass and projected area as

$$m = \alpha D^\beta \quad (3)$$

$$P = \sigma D^\delta \quad (4)$$

then Equation 1 can be expressed quantitatively. However, it is critical to account for the fact that Equation 3 and sometimes Equation 4 change for a given crystal type near  $D = 100 \mu\text{m}$ . This can be done by expressing  $D_e$  in terms of the incomplete gamma function:

$$D_e = \frac{\frac{\alpha_1 \gamma(\beta_1 + v + 1, D_0 \lambda)}{\lambda^{\beta_1 + v + 1}} + \frac{\alpha_2 \Gamma(\beta_2 + v + 1, D_0 \lambda)}{\lambda^{\beta_2 + v + 1}}}{\frac{\sigma_1 \gamma(\delta_1 + v + 1, D_0 \lambda)}{\lambda^{\delta_1 + v + 1}} + \frac{\delta_2 \Gamma(\delta_2 + v + 1, D_0 \lambda)}{\lambda^{\delta_2 + v + 1}}} \quad (5)$$

where  $D_0 = 100 \mu\text{m}$

“1” subscripts refer to crystals  $\leq D_0$

“2” subscripts crystals  $> D_0$

$\gamma$  denotes the small gamma function

$\Gamma$  denotes the large gamma function

(Abramowitz and Stegun 1965).

The extinction coefficient can now be expressed as

$$\beta_{\text{ext}} = \frac{2 IWC}{\rho_i D_e} \quad (6)$$

while the absorption coefficient is

$$\beta_{\text{abs}} = \bar{Q}_{\text{ab}} P_t \quad (7)$$

where  $\bar{Q}_{abs}$  is the absorption efficiency representative of the entire size distribution. In the thermal IR,  $\bar{Q}_{abs}$  can be expressed in terms of  $D_e$  (Mitchell et al. 1996b, 1996c):

$$\bar{Q}_{abs} = 1 - \exp(-4\pi n_i D_e \Lambda) \quad (8)$$

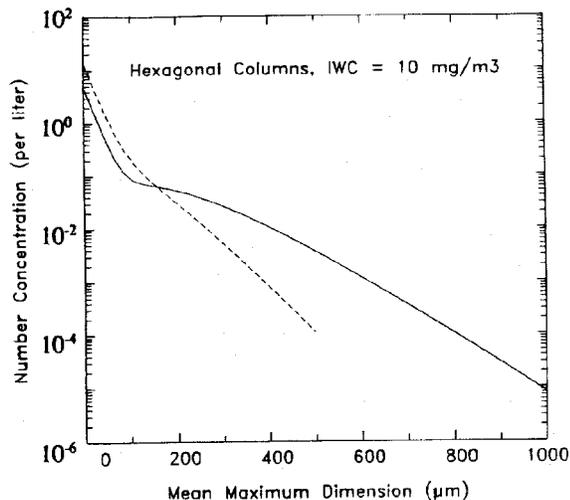
where  $n_i$  = imaginary refractive index  
 $\Lambda$  = wavelength.

In the near infrared (IR), the slightly more complex expression in Mitchell (1996c) can be used, which accounts for internal reflection and refraction. Values of the mass and area constants used in Equation 5 are reported in Mitchell (1996c).

Size spectra are treated as bimodal (Mitchell et al. 1996a), being a composite of a small particle ( $D < 100 \mu\text{m}$ ) exponential distribution,  $N_s(D)$ , and a larger particle gamma distribution,  $N_l(D)$ . Examples are given in Figure 1, where the mean  $D$  for  $N_l(D)$ ,  $\bar{D}_s$ , was  $100 \mu\text{m}$  and  $200 \mu\text{m}$ , respectively. The values of  $v$  was 2 for  $\bar{D} = 200 \mu\text{m}$ , but decreases to 0 for  $\bar{D} < \text{about } 50 \mu\text{m}$ , as predicted by the expression:

$$v = v_{max} \{1 - \exp[-(\bar{D}/k\bar{D}_s)^3]\} \quad (9)$$

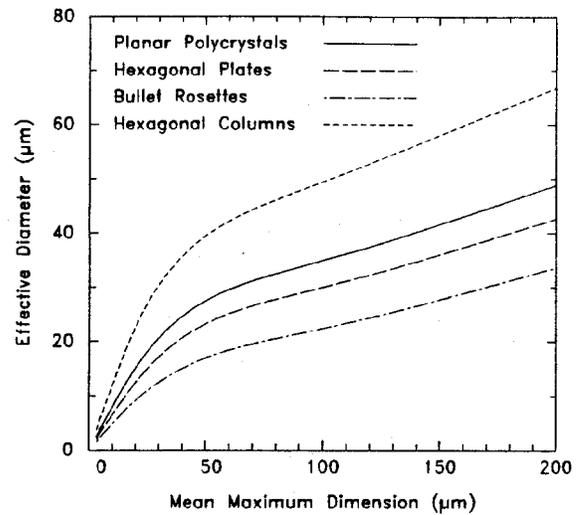
where  $v_{max} = 2$ ,  $k$  and  $\bar{D}_s$  are 2.5 and  $40 \mu\text{m}$  for polycrystals ( $\bar{D}_s$  pertains to  $N_s(D)$ ) and 5.0 and  $20 \mu\text{m}$  for other crystal



**Figure 1.** Examples of bimodal size spectra predicted by the radiation scheme for  $\bar{D} = 100 \mu\text{m}$  (dashed) and  $200 \mu\text{m}$  (solid).

shapes, based on *limited* ice crystal replicator measurements (Mitchell 1996a). Numerous ice spectra from 2D-C probes indicate bimodal behavior generally vanishes when  $\bar{D} < 50 \mu\text{m}$ .

Using this formulation of  $v$ ,  $D_e$  is plotted against  $\bar{D}$  for different crystal types in Figure 2. It is seen that  $D_e$  may vary by more than a factor of 2 for a given  $\bar{D}$ . Similar results were obtained for exponential spectra. If the  $D_e$  dependence on Equations 3 and 4 was based on large crystals only ( $D > 100 \mu\text{m}$ ),  $D_e$  would be grossly overestimated for  $D < 50 \mu\text{m}$ . It was also found that  $D_e$  may vary by up to 50% or more because of changes in  $v$ . Thus,  $D_e$  is sensitive to both crystal shape and size distribution shape.



**Figure 2.** Dependence of  $D_e$  on ice crystal shape over a range of  $\bar{D}$ .

Also, for monomodal size spectra, the albedo, absorptivity, and emissivity predicted by the above  $D_e$  approach are virtually identical to those predicted by the scheme in Mitchell et al. (1996c). This is because both schemes are based on the concept of an “effective photon path” through an ice crystal, defined as

$$d_e = \text{ice crystal volume/projected area.}$$

The difference in this work is that a size distribution value for  $d_e$  was found,  $D_e$ , which was taken outside the integrals for  $\beta_{ext}$  and  $\beta_{abs}$ . However, cirrus radiative properties predicted for bimodal size spectra do differ significantly from those predicted for monomodal spectra having the same  $\bar{D}$ .

## General Circulation Model Calculations

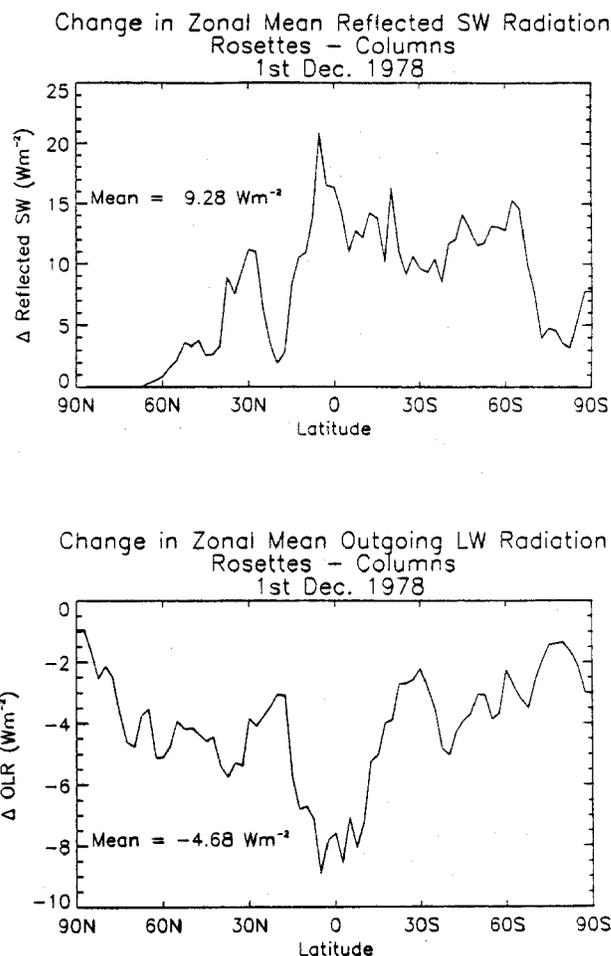
The cirrus radiation treatment described in Mitchell (1996c) was incorporated into the Hadley Centre's GCM, known as the Unified Model (UM), and was based on bimodal size spectra as described here. Based on numerous measurements of ice particle size spectra (e.g., Ryan 1996; Knollenburg et al. 1993; McFarquhar and Heymsfield 1996), the following temperature dependence for  $\bar{D}$  was used:

$$\bar{D} = \exp(0.05522(T-6.5))/9.702 \quad (10)$$

where  $T$  is in  $^{\circ}\text{C}$ . Equation 10 was tuned to conform to 2D-C probe measurements (as opposed to 2D-P size spectra). The Edwards-Slingo (1996) radiation transfer scheme, which treats scattering in the thermal IR, was used. The single scattering albedo, asymmetry parameter, and mass extinction coefficient were parameterized with polynomial fits as functions of  $\bar{D}$ .

To determine initial radiative forcings associated with different crystal shapes, the UM was run for one time-step with a single crystal shape representing the ice phase. Ice spheres of 30- $\mu\text{m}$  diameter, the UM's standard ice phase representation, were also used and contrasted. Hexagonal columns were most similar to ice spheres, while bullet rosettes were least similar, and all crystal types reflected more SW radiation than ice spheres. As shown in Figure 3, the globally averaged, instantaneous (one time-step) difference in reflected SW radiation between a "simulation" assuming bullet rosette ice crystals and that assuming hexagonal columns was  $9.3 \text{ W m}^{-2}$ . This translates to a 3% increase in mean global albedo (rosettes are brightest). The corresponding difference for outgoing LW radiation (OLR) was  $-4.7 \text{ W m}^{-2}$ , giving a net difference of  $4.6 \text{ W m}^{-2}$ . This compares to a net global forcing due to a  $\text{CO}_2$  doubling of about  $4 \text{ W m}^{-2}$ . Differences in zonal mean reflected SW and OLR values between simulations assuming rosettes and ice spheres are shown in Figure 4. While mean OLR differences are similar to rosettes minus columns, columns appear brighter than spheres by about  $1.8 \text{ W m}^{-2}$ .

This high sensitivity of the global radiation balance to ice crystal shape is largely manifested through the dependence of  $D_e$  on crystal shape. These results would be modified somewhat in a multi-year simulation. Nonetheless, they are dramatic enough to indicate that we not only need to represent clouds



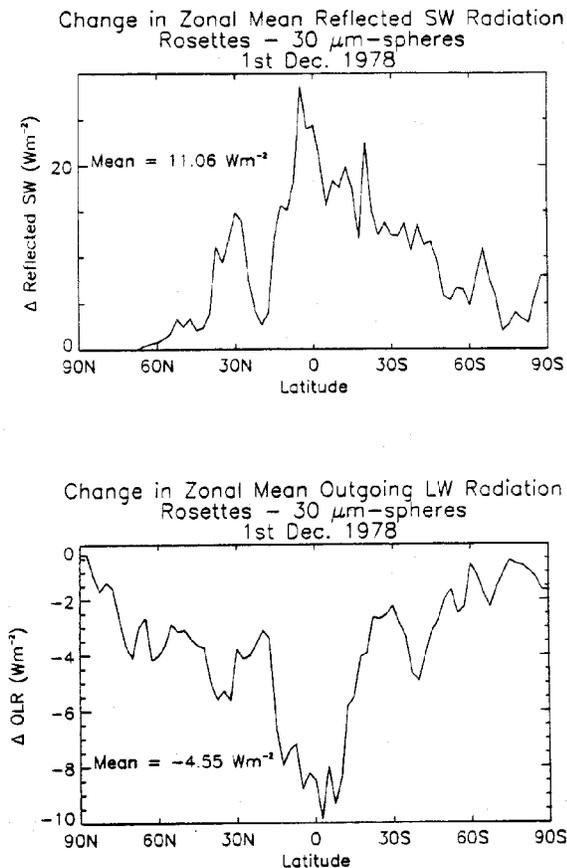
**Figure 3.** Changes in zonal means of reflected SW and outgoing LW radiation due to changing the ice phase from hexagonal columns to bullet rosettes.

adequately in GCMs, but also need to understand what factors determine crystal shape in ice clouds if climate is to be reliably predicted.

Finally, a 15-month UM simulation using planar polycrystals resulted in warming the upper tropical troposphere by about 1.0K, reducing the UM's cold bias there. Better agreement with Earth Radiation Budget Experiment (ERBE) data was found for SW and possibly LW fluxes relative to 30- $\mu\text{m}$  ice spheres.

## Acknowledgment

This work was funded by the Environmental Sciences Division of the U.S. Department of Energy, Atmospheric



**Figure 4.** Changes in zonal means of reflected SW and outgoing LW radiation due to changing the ice phase in the UM from 30- $\mu\text{m}$  ice spheres to bullet rosettes.

Radiation Measurement Program, and carried out while D. Mitchell was on sabbatical at the Hadley Centre, United Kingdom. The work was also supported by the U.K. Department of Environment under contract PECD 7/12/37.

## References

- Abramowitz, M., and I. A. Stegun, 1965: *Handbook of Mathematical Functions*. Dover.
- Edwards, J. M., and A. Slingo, 1996: Studies with a flexible new radiation code. I: Choosing a configuration for a large-scale model. *Q.J.R. Meteorol. Soc.*, **122**, 689-719.
- Francis, P. N., A. Jones, R. W. Saunders, K. P. Shine, A. Slingo, and Zhian Sun, 1994: An observational and theoretical study of the radiative properties of cirrus: Some results from ICE '89. *Q.J.R. Meteorol. Soc.*, **120**, 809-848.
- Knollenburg, R. G., K. Kelly, and J. C. Wilson, 1993: Measurements of high number densities of ice crystals in the tops of tropical cumulonimbus. *J. Geophys. Res.*, **98**, 8639-8664.
- McFarquhar, G. M., and A. J. Heymsfield, 1996: Microphysical characteristics of three anvils sampled during the Central Equatorial Pacific Experiment (CEPEX). *J. Atmos. Sci.*, **53**, 2401-2423.
- Mitchell, D. L., S. K. Chai, Y. Liu, A. J. Heymsfield, and Y. Dong, 1996a: Modeling cirrus clouds. Part I: Treatment of bimodal size spectra and case study analysis. *J. Atmos. Sci.*, **53**, 2952-2966.
- Mitchell, D. L., D. Koracin, and E. Carter, 1996b: Radiative properties of ice clouds. *Proceedings of the Fifth Atmospheric Radiation Measurement (ARM) Science Team Meeting*. March 19-23, 1995, San Diego, California, pp. 215-217. CONF-9503140, U.S. Department of Energy, Washington, D.C.
- Mitchell, D. L., A. Macke, and Y. Liu, 1996c: Modeling Cirrus Clouds. Part II: Treatment of Radiative Properties. *J. Atmos. Sci.*, **53**, 2967-2988.
- Ryan, B. F., 1996: On the global variation of precipitating layer clouds. *Bull. Amer. Meteorol. Soc.*, **77**, 53-70.