



**UAV-based lidar sensor for  
next-generation suborbital platforms**

**-- and --**

**Development of a new airborne lidar instrument for  
aerosol transport studies**



**Presented by Matthew McGill  
with Stan Scott, Shane Wake, Dennis Hlavka, William Hart, and Paul Newman**



# Presentation overview

- 1. CPL: what it is, what it does**
- 1. CPL, CLASIC, and that NASA A-Train**
- 1. UAV-CPL on Global Hawk  
next-generation airborne science**
- 4. Where to next?  
cloud-aerosol transport, a  
new instrument development**



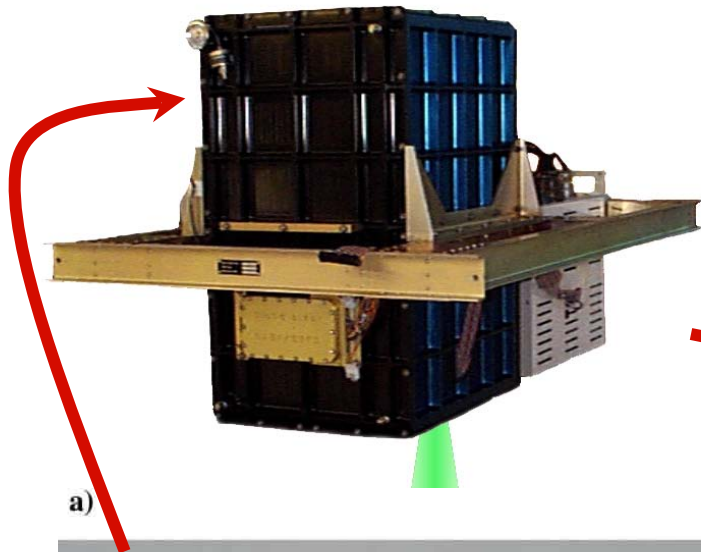
# The NASA Cloud Physics Lidar: science and satellite validation from high-altitude





# The Cloud Physics Lidar

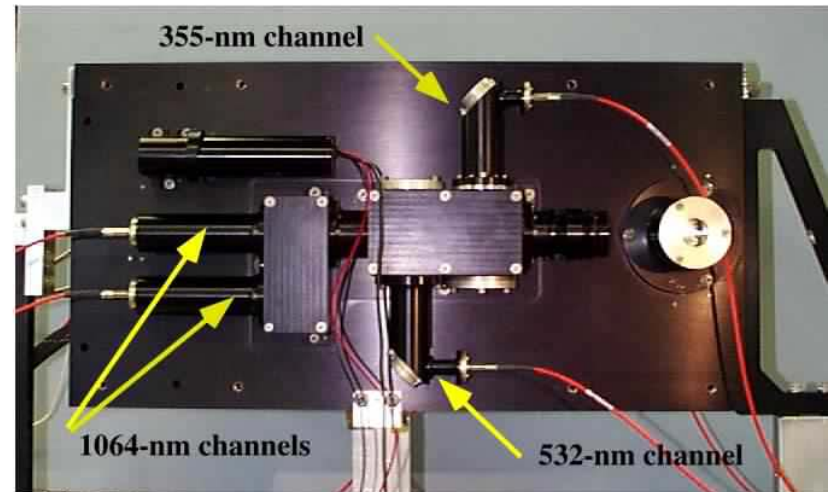
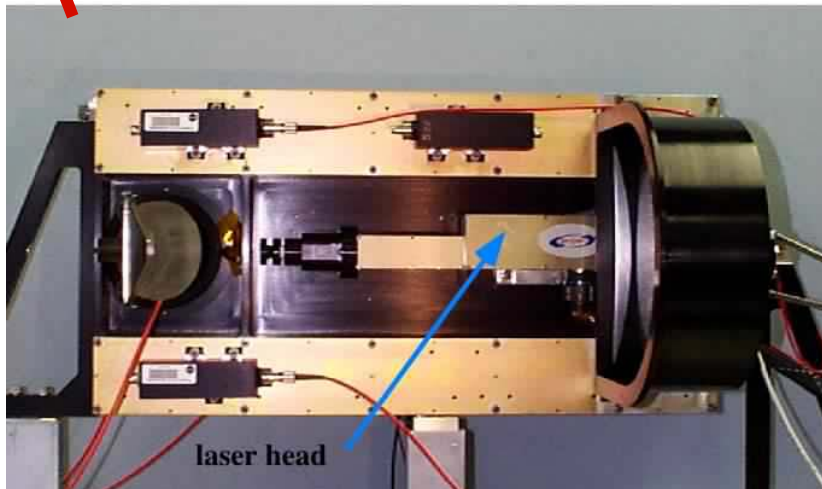
CPL is a self-contained, autonomous backscatter lidar



a)

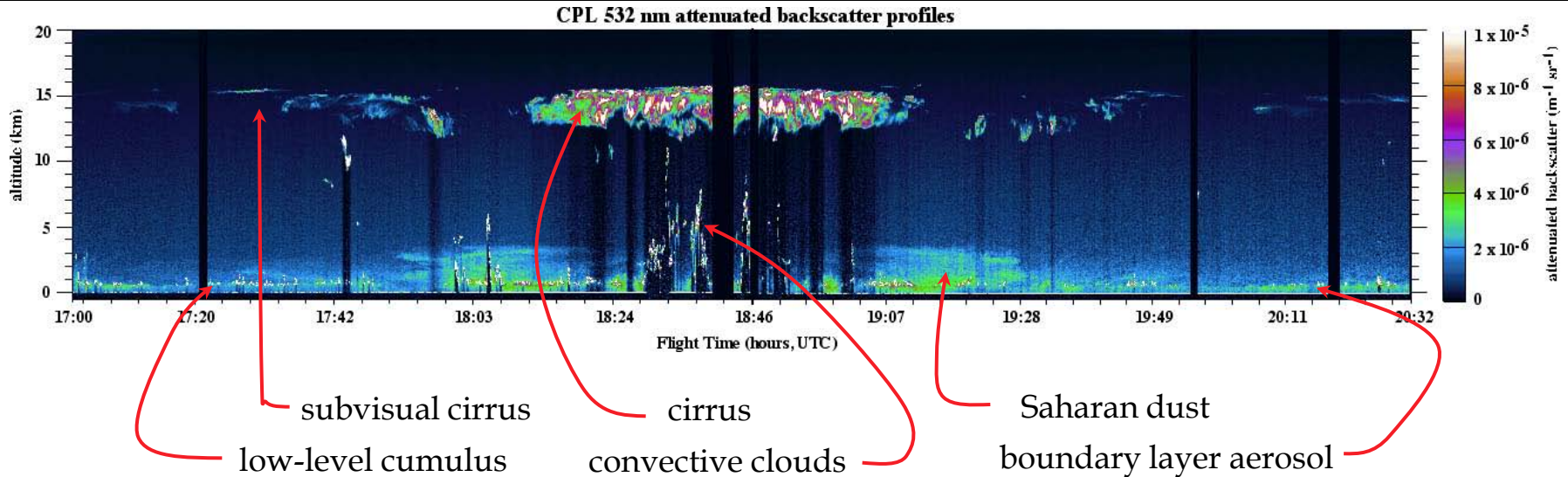


b)





# CPL Data Example



Lidar profiling generates a time-height cross-section of the atmosphere, revealing cloud and aerosol structure.

Multiple cloud/layer features can be measured, *up to the limit of signal attenuation* (O.D. 3-4).

From this data we derive layer boundaries, optical depth, extinction, and depolarization.

The CPL web site is: <http://cpl.gsfc.nasa.gov>



# CPL data products

- 1. Summary images for each flight.**
- 2. Layer boundaries for PBL, elevated aerosol layers, clouds.**
- 3. Optical properties, including**
  - layer optical depth (e.g., PBL, cirrus, total)**
  - layer extinction-to-backscatter ratio (S) used**
  - layer extinction profile**
  - layer transmission profile**
  - images for extinction and optical depth**
  - depolarization ratio (1064 nm only)**

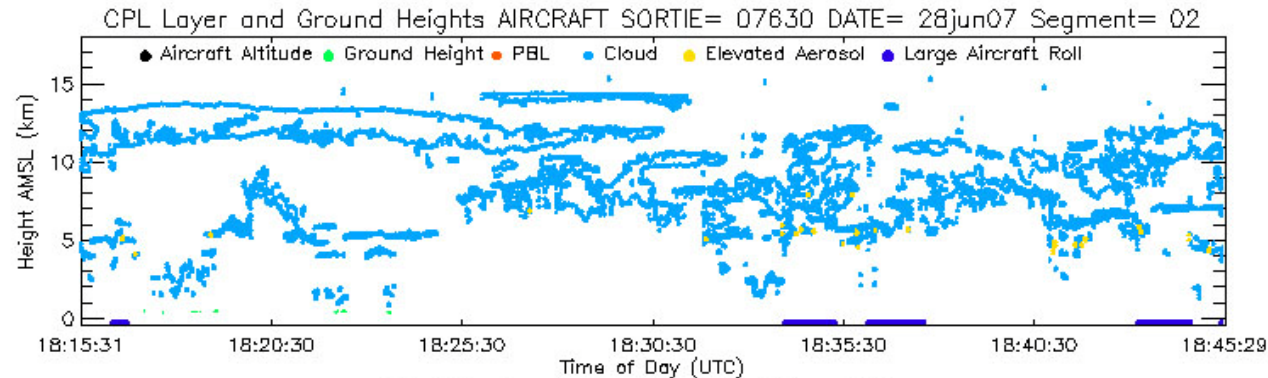
**All data products are 1 second averages produced from the raw 1/10 second data.**

**All data products are produced for each wavelength.**

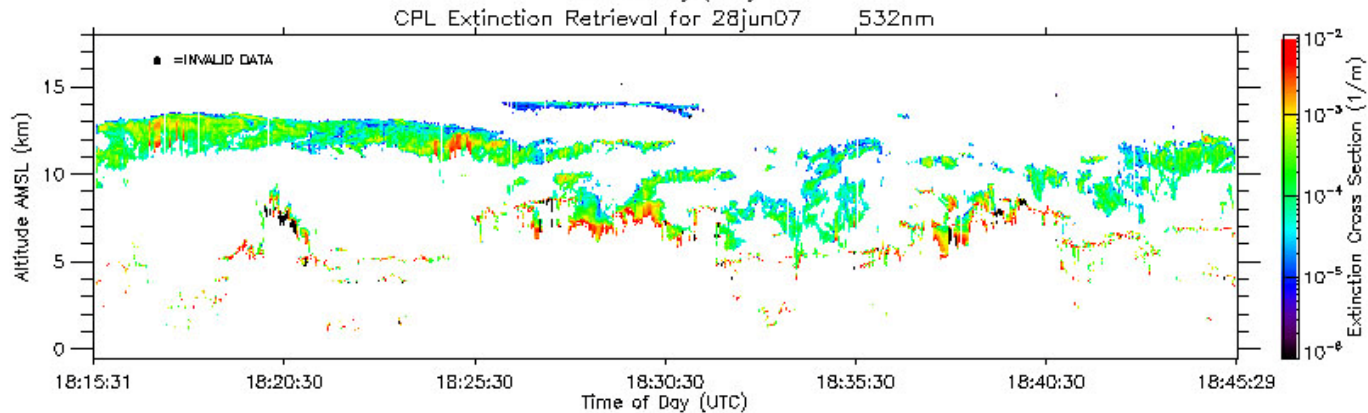
**The CPL web site is <http://cpl.gsfc.nasa.gov>**



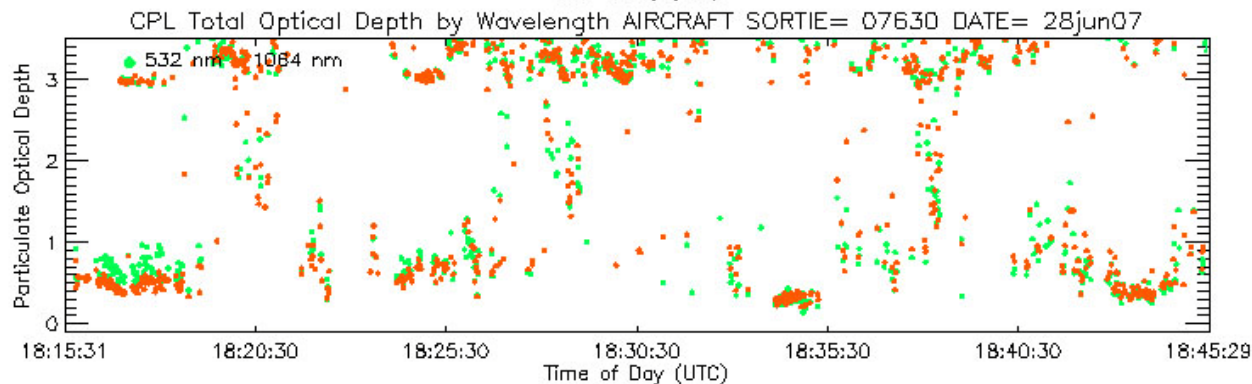
# Example of CPL higher level data products



**layer finding  
and typing**



**extinction  
profiles**



**optical depth  
(total, cloud only,  
aerosol only)**



# CPL data is archived on the CPL web site

Cloud Physics Lidar Home Page

**The ER-2 Cloud Physics Lidar**  
NASA's PREMIERE HIGH-ALTITUDE LIDAR SYSTEM

**Main Menu**  
[Home](#)  
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[Instrument](#)  
[Contact Us!](#)  
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**Experiments**

- [SAFARI 2000](#)
- [CRYSTAL](#)
- [TX-2002](#)
- [THORPEX-PTOST](#)
- [GLAS cal/val](#)
- [THORPEX-ATOST](#)

NEW December 10, 2003: download the CPL movie of July 23 CRYSTAL-FACE cirrus anvil development and the movie of July 23 combined lidar-radar profiling. These are .mov files intended to be viewed with QuickTime.

Click here to download the lidar only movie (15 MB): [CPL movie](#)

Click here to download the combined lidar-radar movie (15 MB): [CPL-CRS movie](#)

NEW May 12, 2003: Extinction images are now available on-line for SAFARI, CRYSTAL, TX2002, and THORPEX. Click on EXTSEG in the experiment pages to view.

NEW: download the CPL poster from the February 24-28 CRYSTAL-FACE science team meeting: [CPL CRYSTAL poster](#)

data available  
by experiment

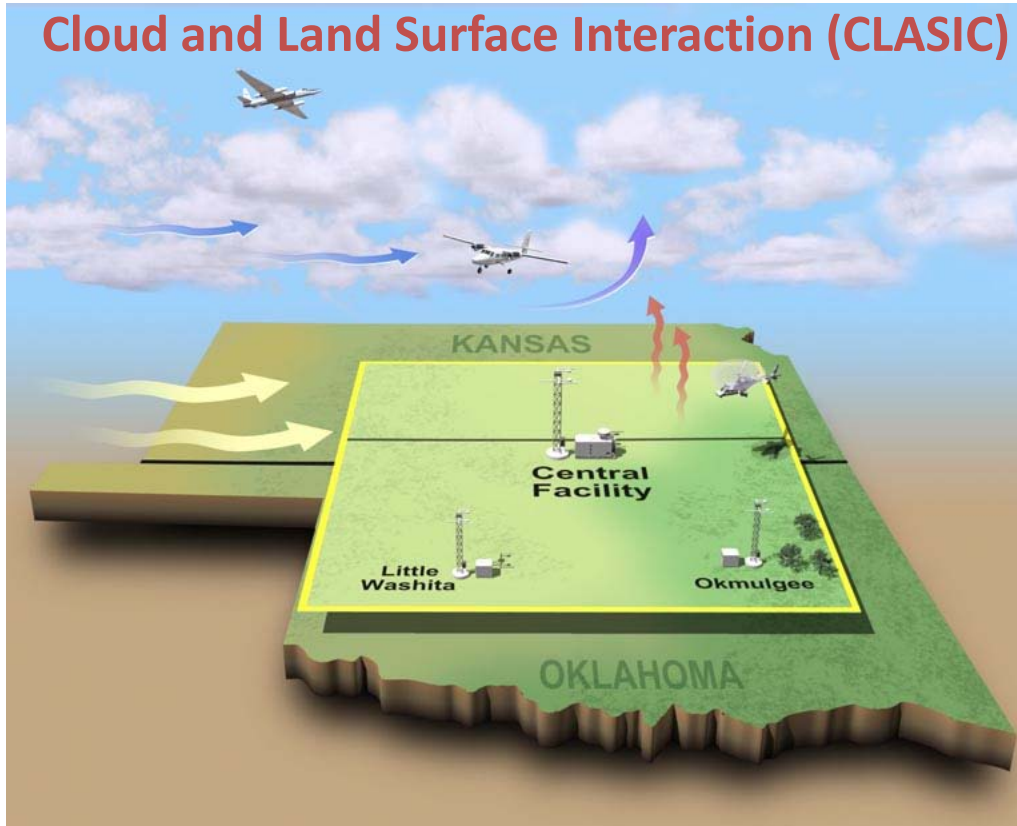
<http://cpl.gsfc.nasa.gov>





# The CLASIC campaign

## Cloud and Land Surface Interaction (CLASIC)



### Summary of flights

**08 JUNE:** transit from Dryden

**11 JUNE:** survey pattern

**12 JUNE:** A-Train underflight

**21 JUNE:** A-Train & survey pattern

**22 JUNE:** survey pattern

**23 JUNE:** survey pattern

**28 JUNE:** A-Train underflight

**29 JUNE:** transit to Dryden

**ER-2 carried the NASA “A-Train simulator” payload of CPL, CRS, and MAS.**

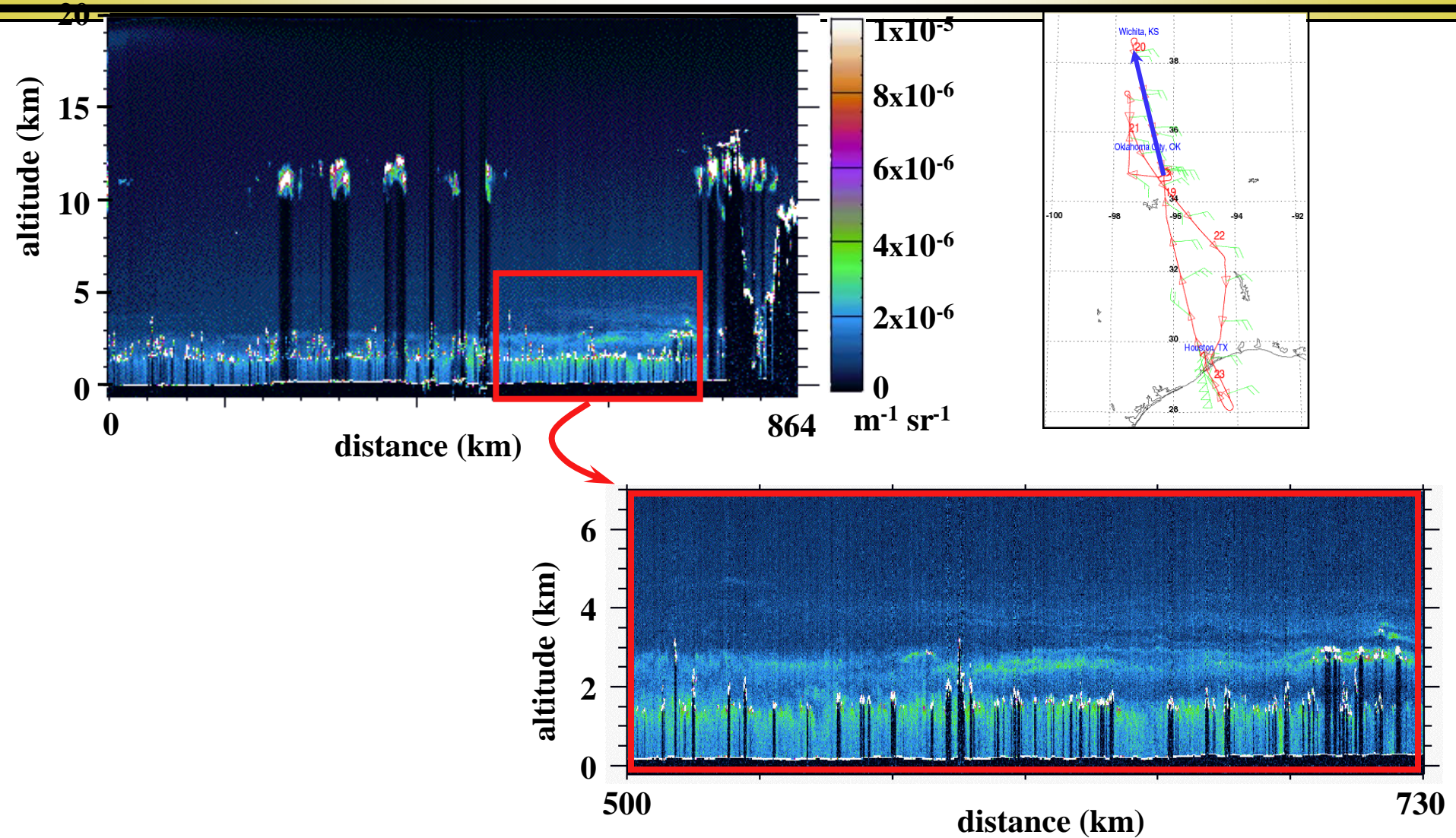
**Three A-Train underpasses provided unique validation opportunity.**

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**NASA thanks DoE for the A-Train validation opportunity.**



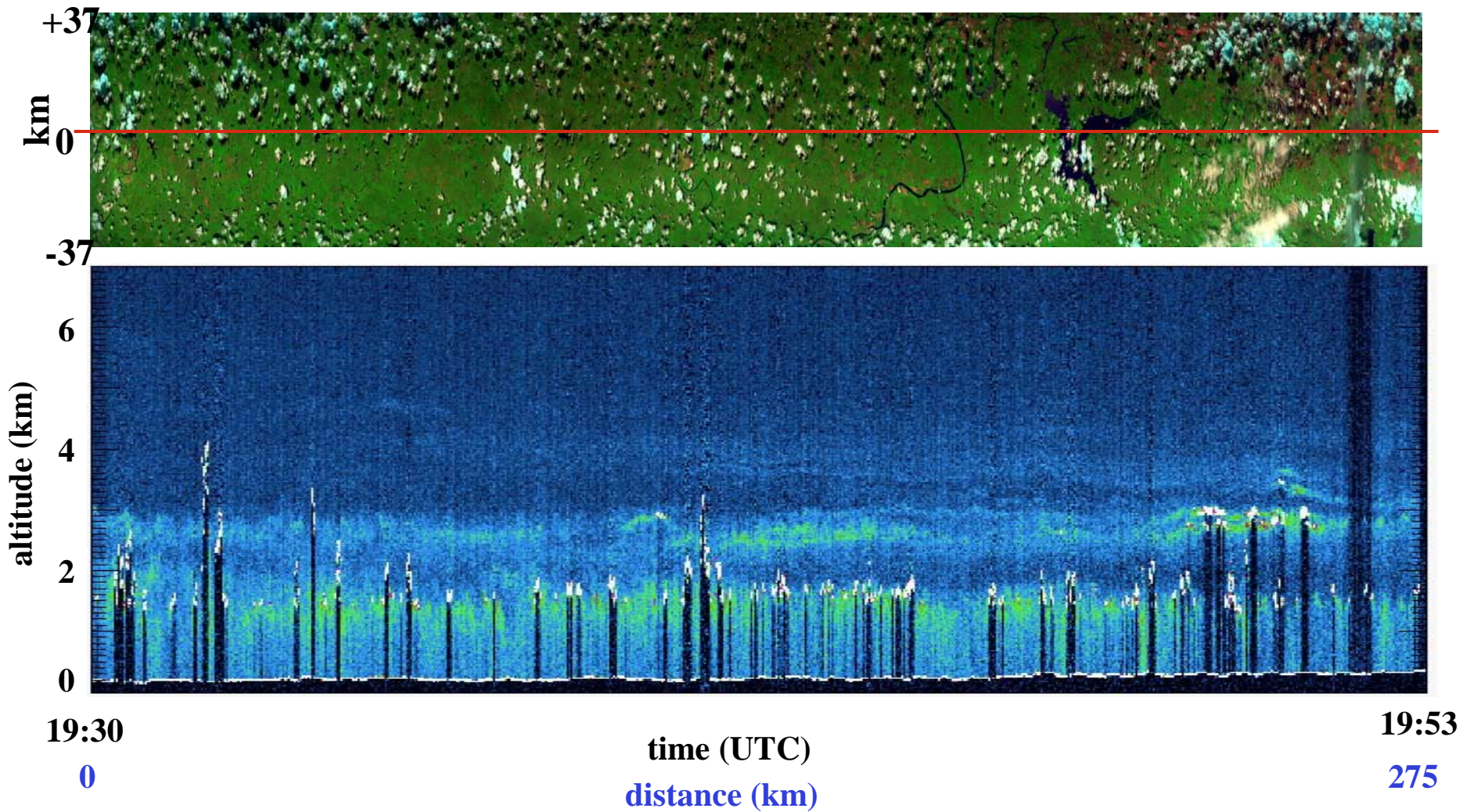
# Example of CPL data from June 12, 2007





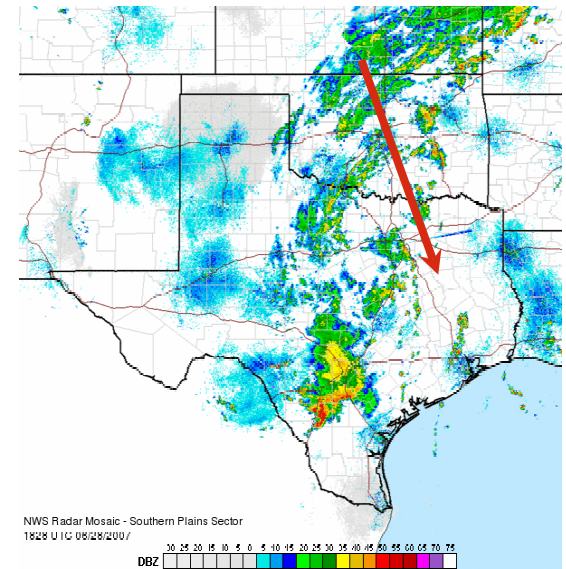
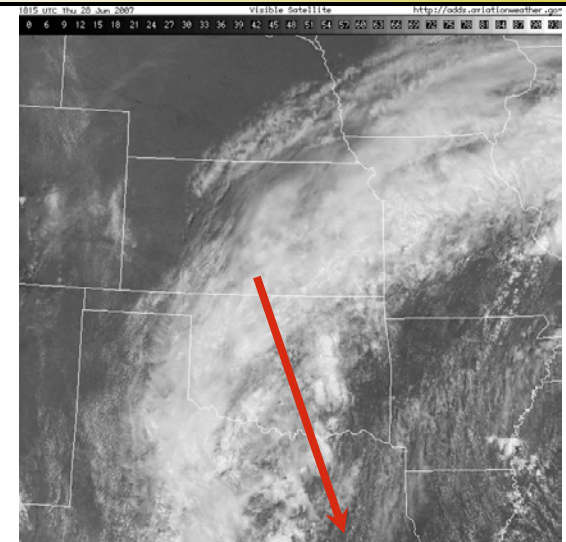
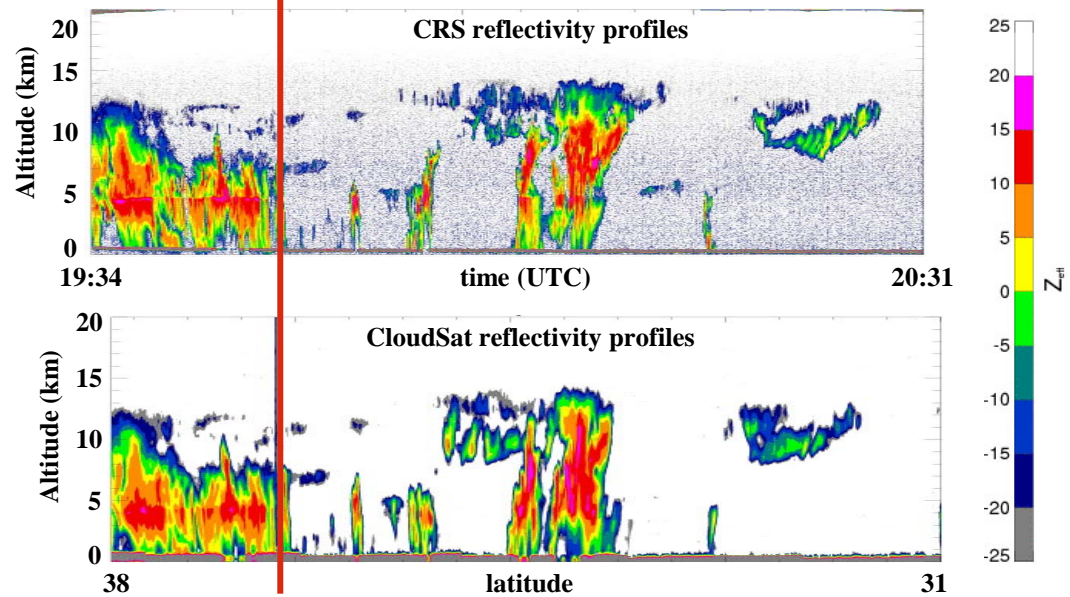
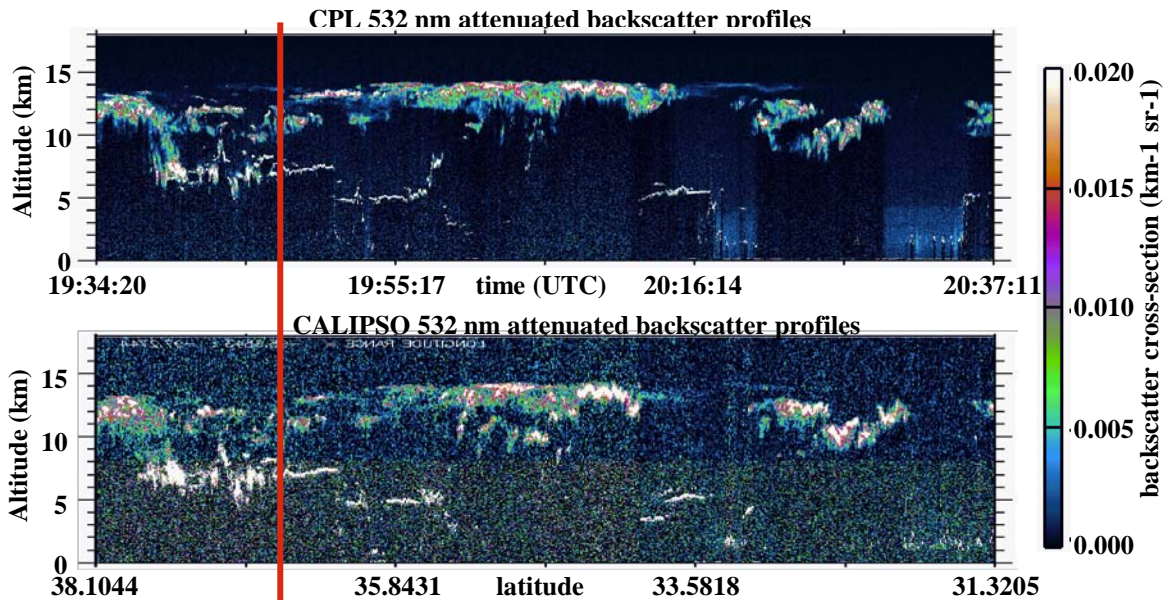
# CPL backscatter profiles and MAS comparison

June 12, 2007





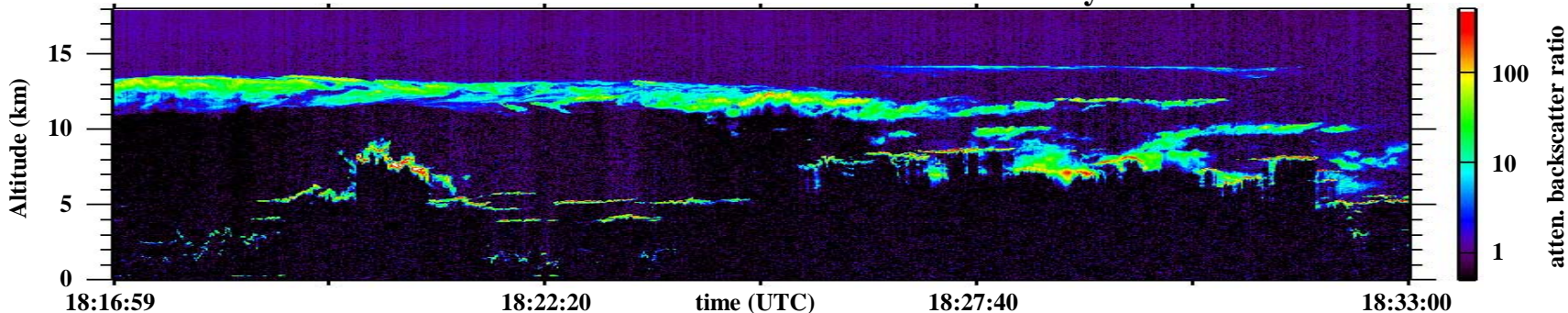
# CPL-CALIPSO comparison: June 28, 2007



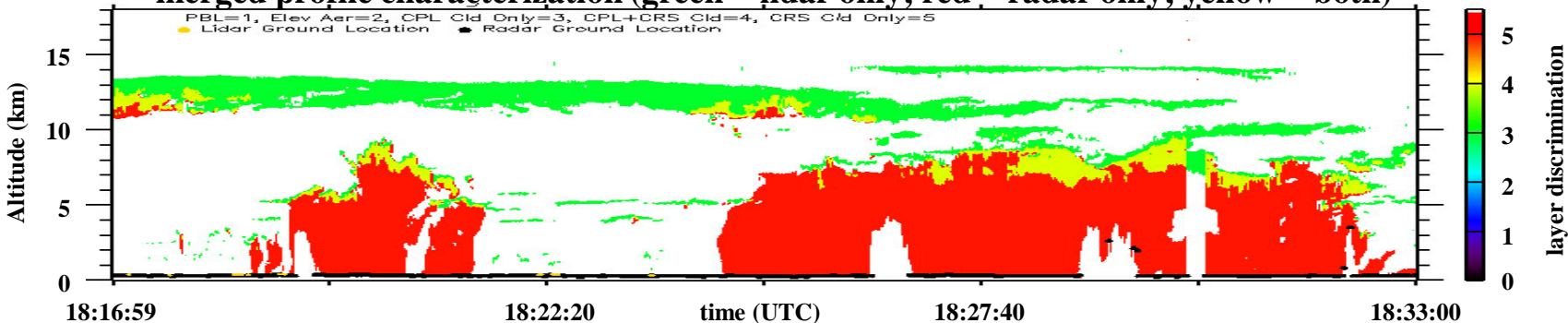


# Combining lidar and radar reveals the whole profile

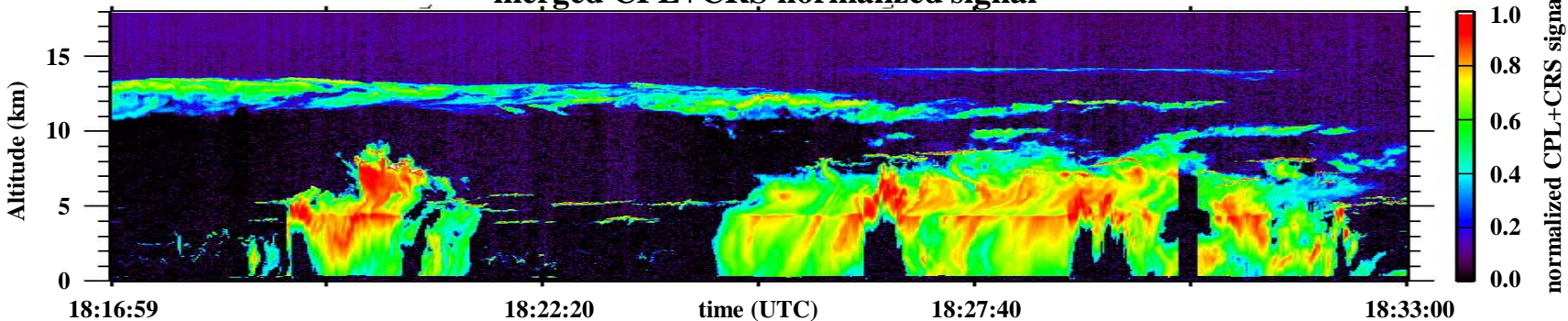
CPL 532 nm attenuated backscatter ratio: 28 July



merged profile characterization (green = lidar only; red = radar only; yellow = both)



merged CPL+CRS normalized signal





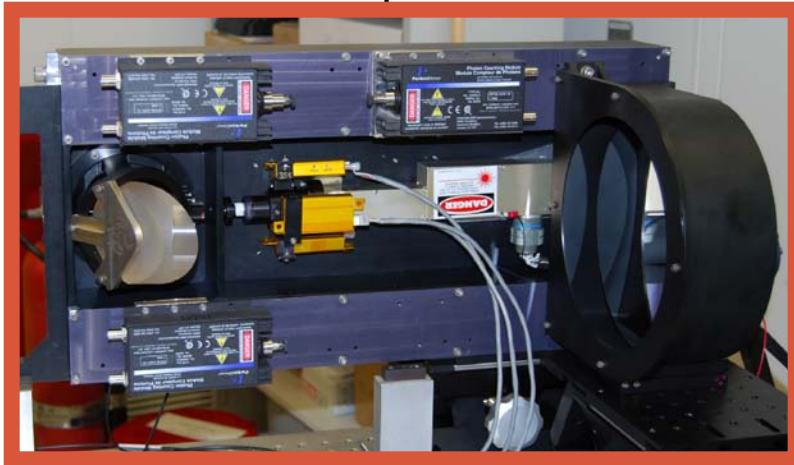
**The new UAV-version of CPL:  
first to be integrated to NASA's Global Hawk**



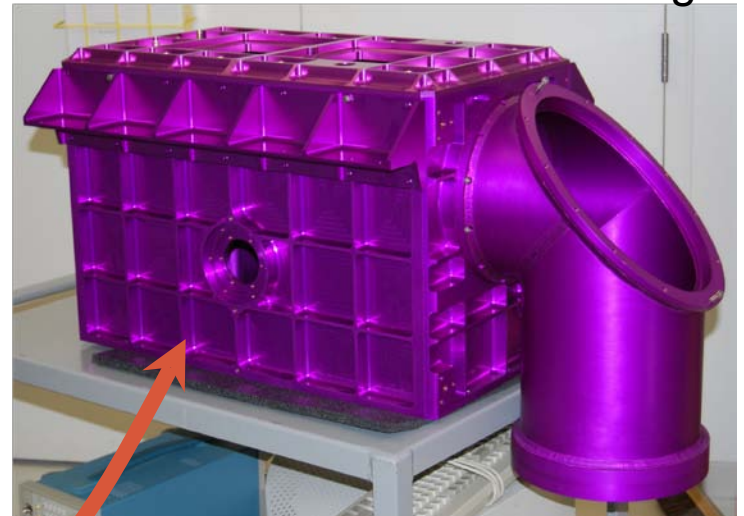
# CPL on Global Hawk



UAV-CPL optical bench



UAV-CPL instrument housing





# UAV-CPL mounting assembly

UAV-CPL handling cart and carrier assembly  
("carrier assembly" is N-G's term for the frame and outer skin assembly)





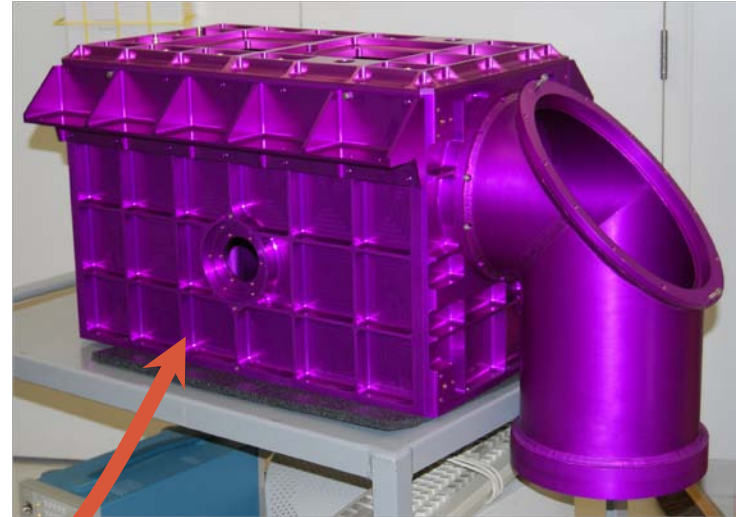


# UAV-CPL components

UAV-CPL optical bench



UAV-CPL instrument housing



laser power supply box



data system box





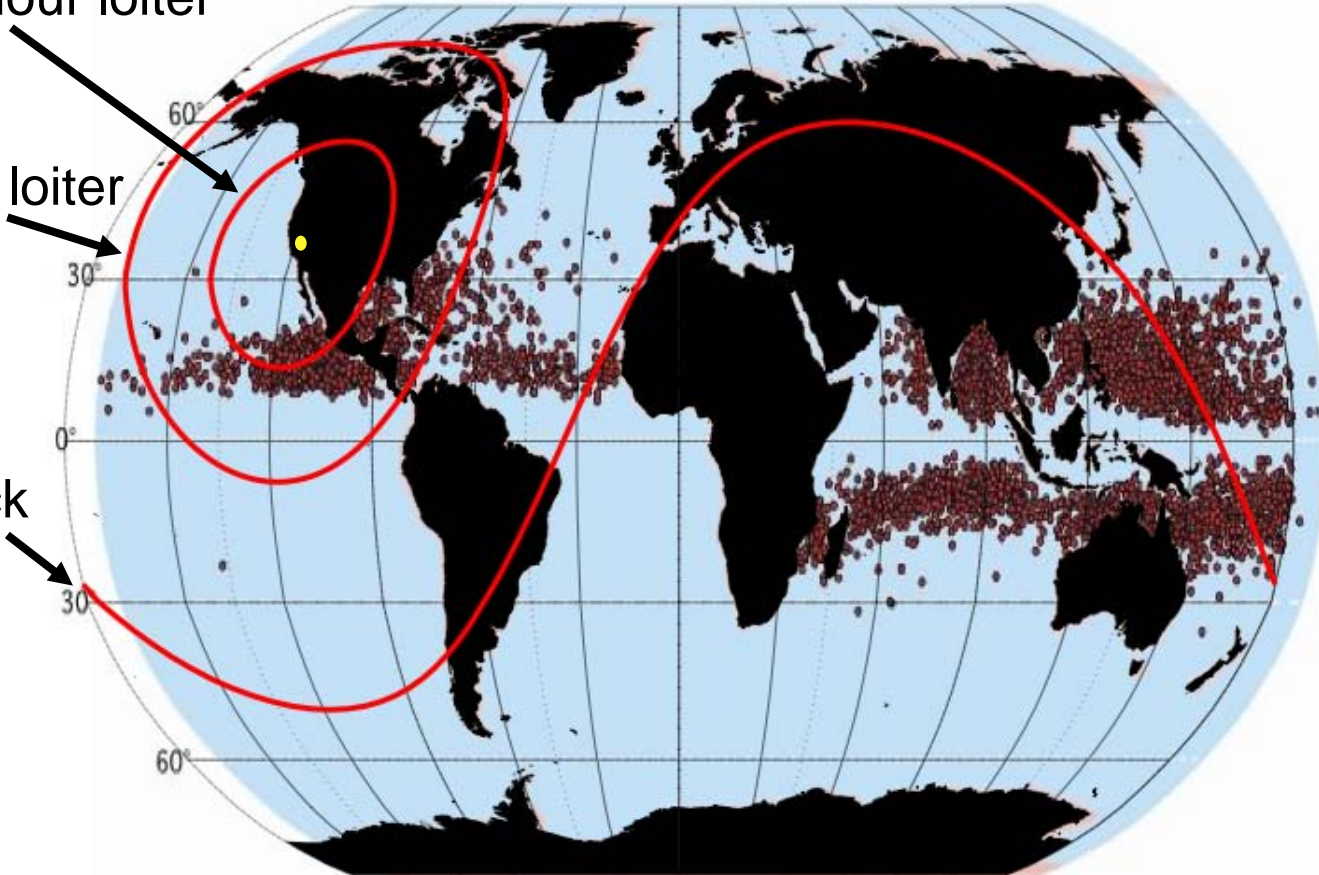
# Global Hawk Capability

30 hour Global Hawk flights from Dryden

22.5 hour loiter

15.0 hour loiter

Out & Back  
No loiter

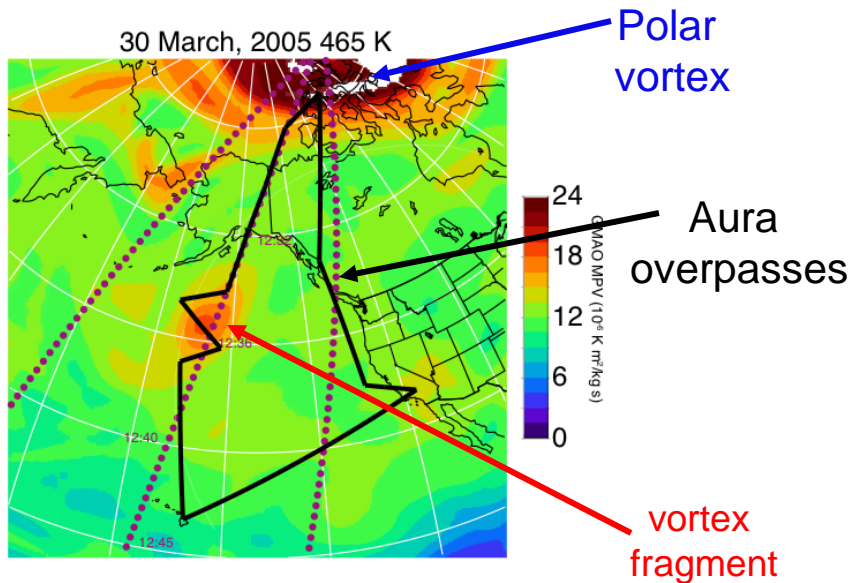


Nearly all Atlantic, East Pacific, and Central Pacific hurricanes are within range



# Intended UAS-AVE Flights

## #1. vortex fragment flight



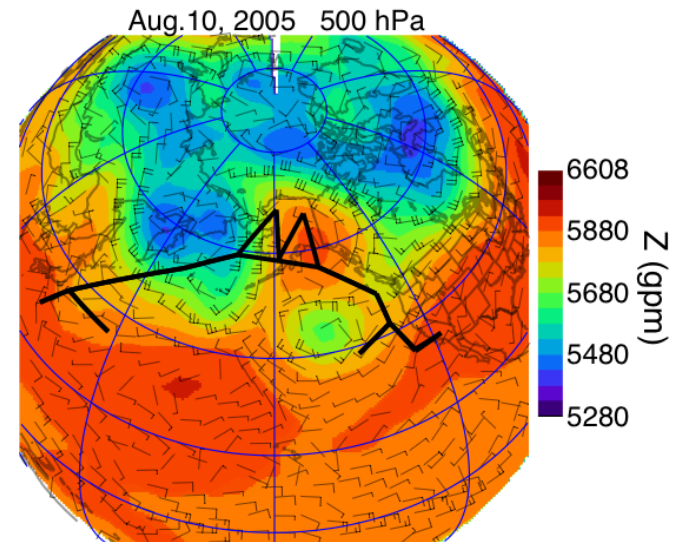
Flight objectives:

- sample polar vortex for ozone depleted air
- sample polar fragment over Pacific
- coordination with Aura satellite overpass
- Pole-to-tropics sampling of air masses
- overflight of Mauna Loa lidar

## #2. aerosol & pollution flight

Flight objectives:

- after takeoff, fly over plume near cyclone
- fly NW to Alaska, zig-zag across fire plumes
- fly SW to just east of Japan and examine aerosol and pollution outflow from Asia
- return along same path



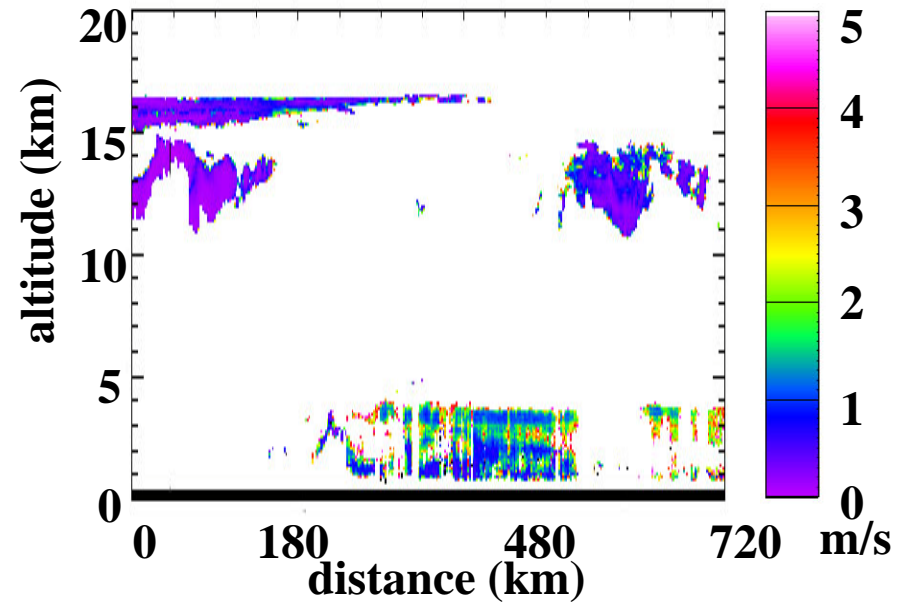
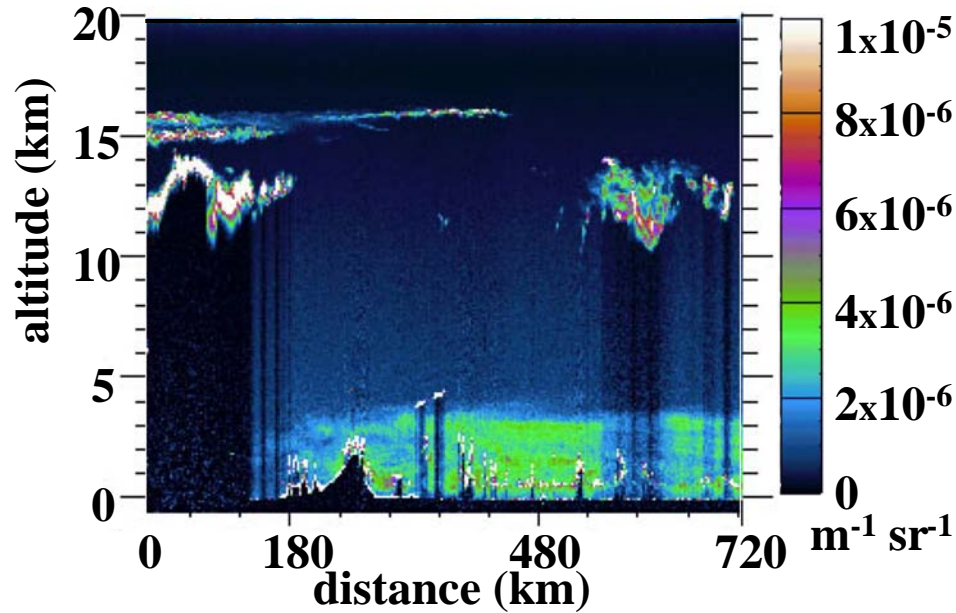


**CATS\*:  
a new instrument for cloud-aerosol transport**

\*Suggestions for better acronym gladly accepted



# Intended CATS data products

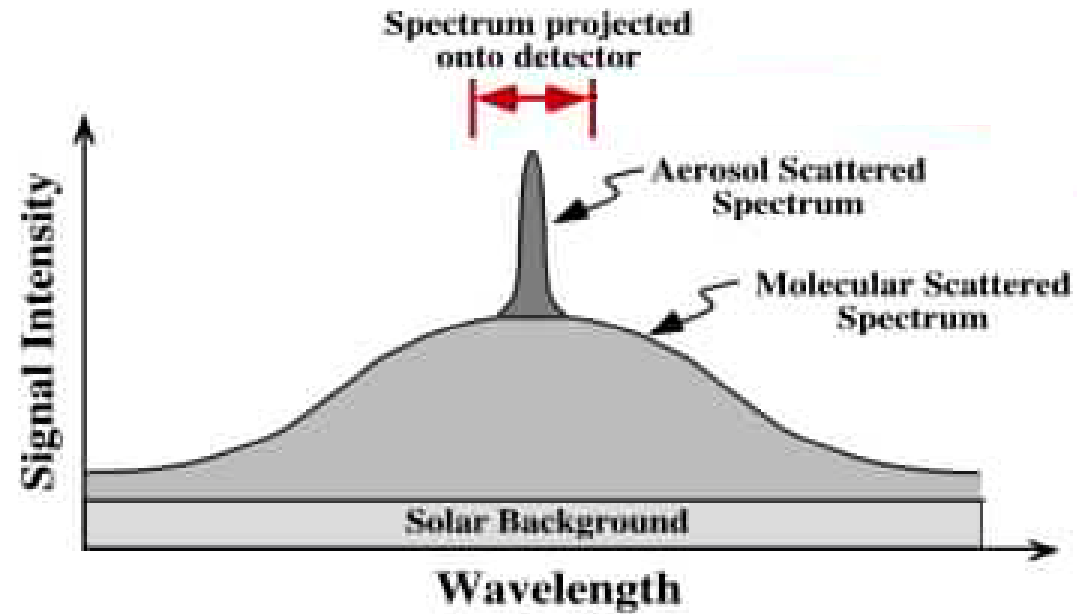


Simultaneous measurement of aerosol and wind, inherently provides off-nadir data.

Primary objective is to enable transport studies,  
Secondary objective is cloud-aerosol interaction.

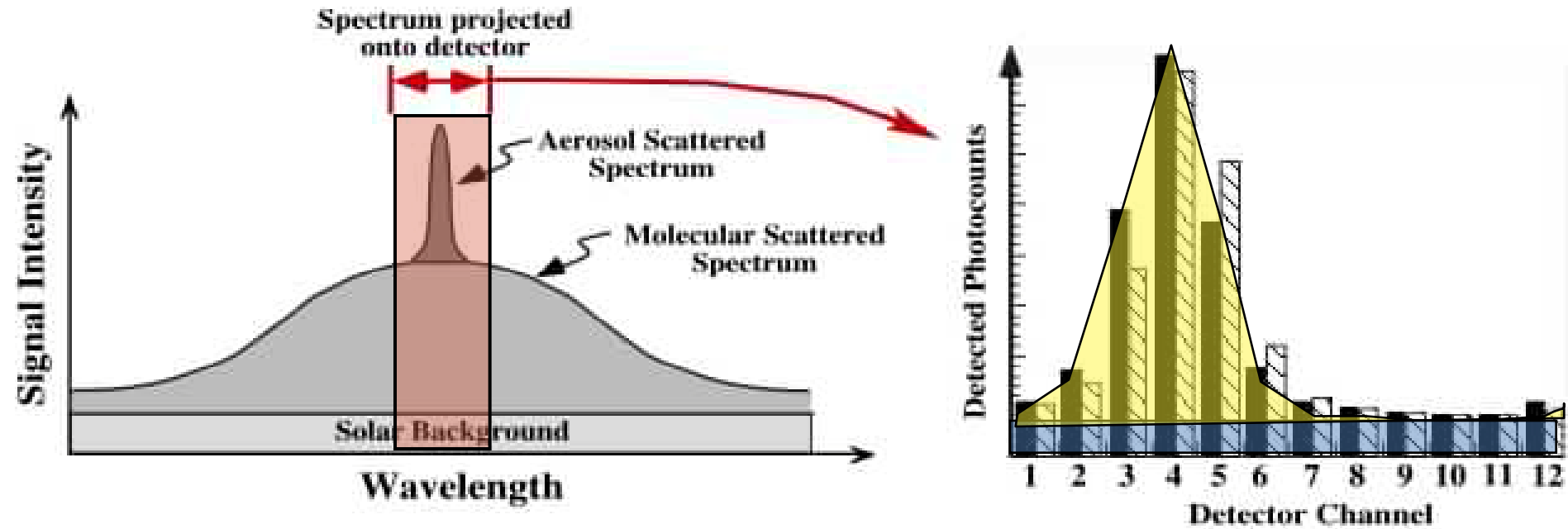


# Measurement concept



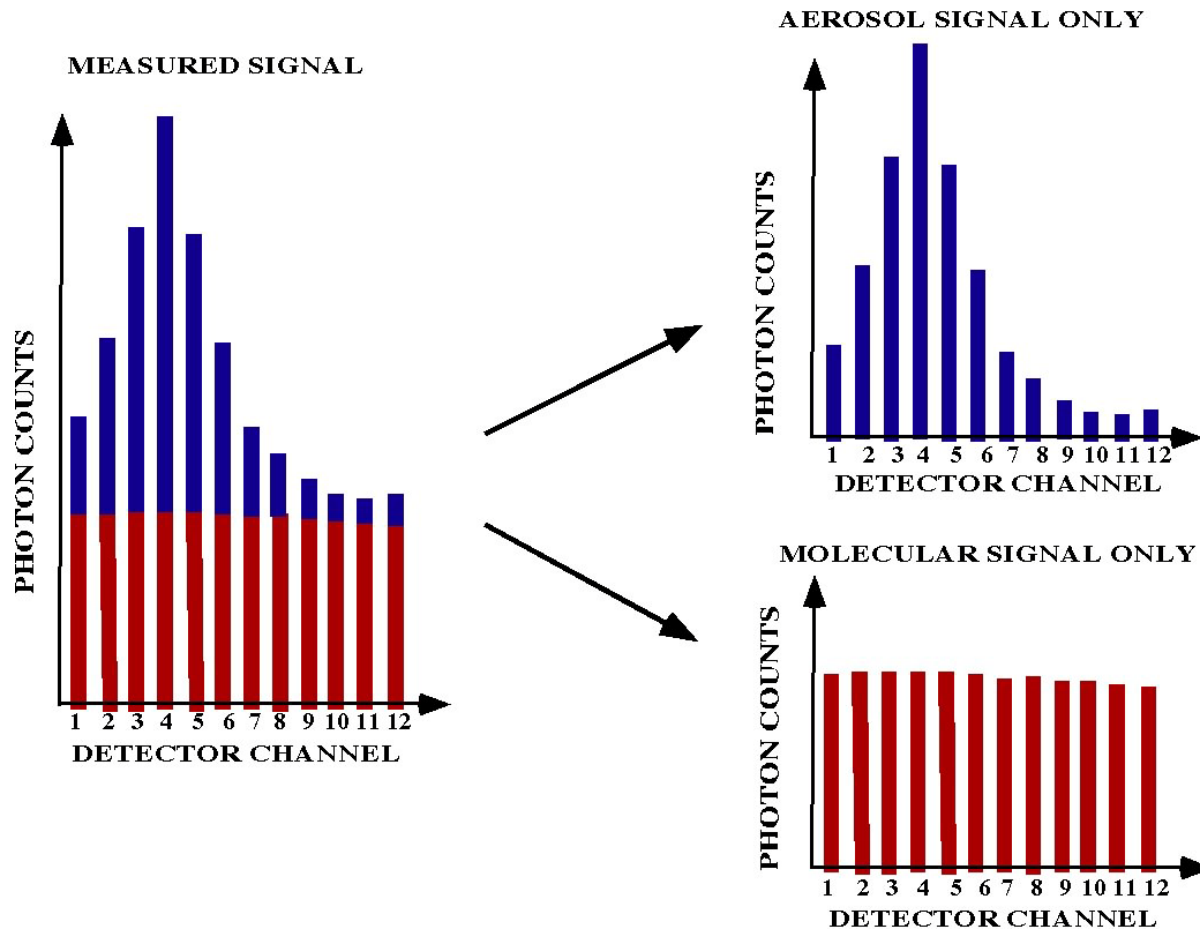


# Measurement concept





# Key: we can separate the components

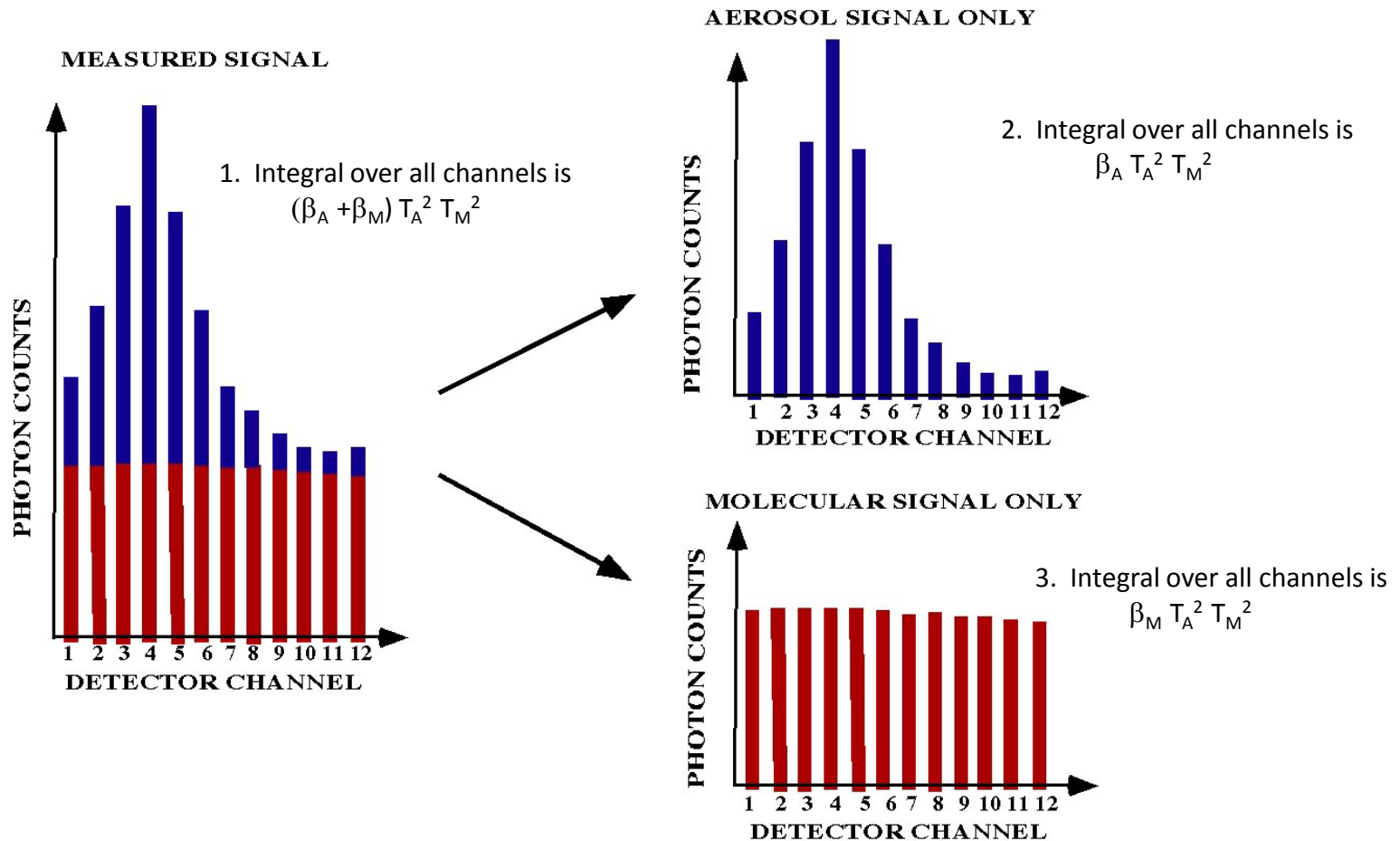


Use of a Fabry-Perot interferometer results in different system response for the aerosol and molecular components of the total signal. In fact, the molecular return is imaged as a nearly flat spectrum that is easily subtracted from the total signal.





# Key: we can separate the components

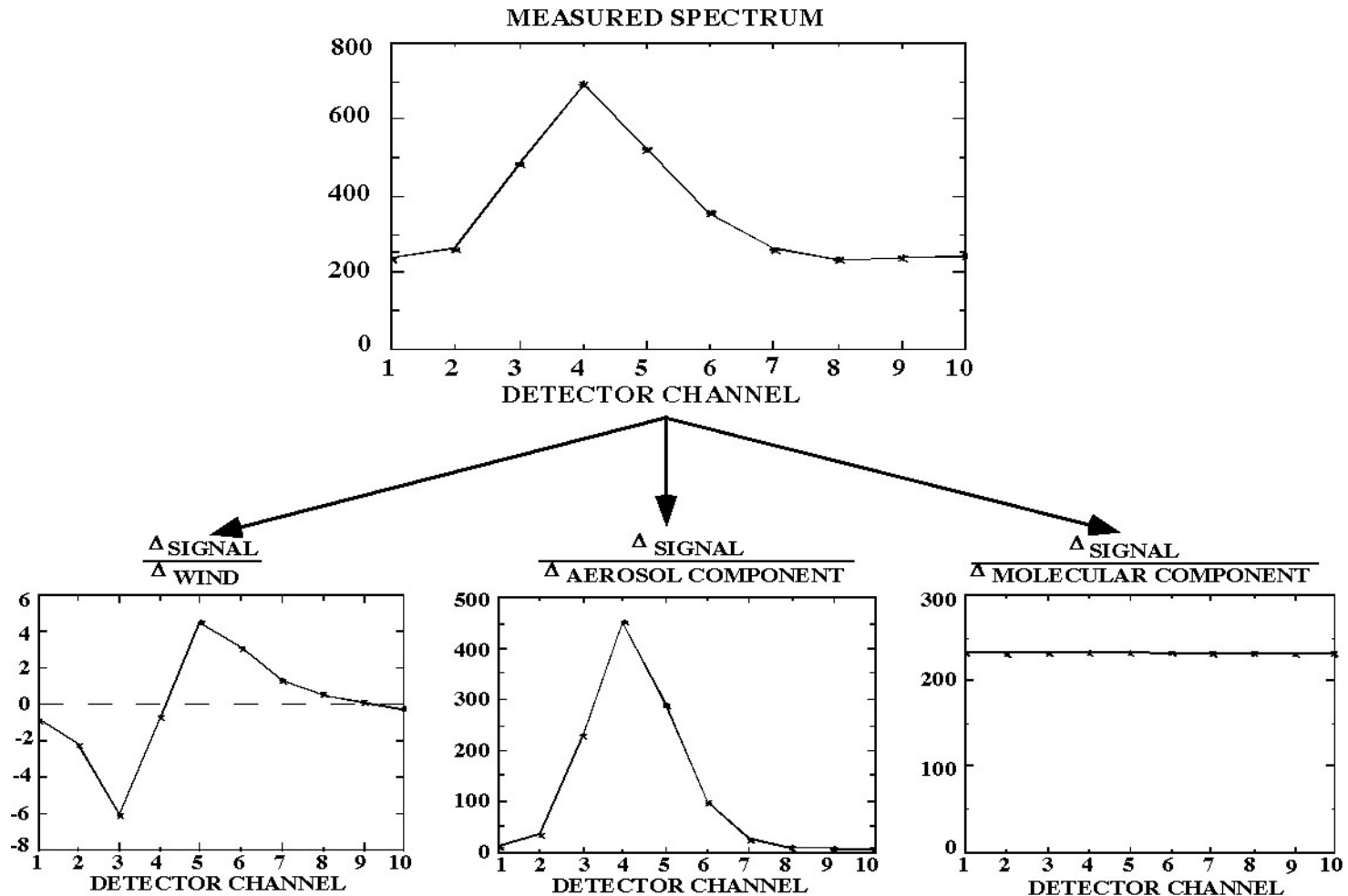


4. We also inherently have the aerosol-molecular ratio,  $(A + M)/M$ .

5. We can use rawinsonde or climatology to get molecular profile,  $\beta_M T_M^2$



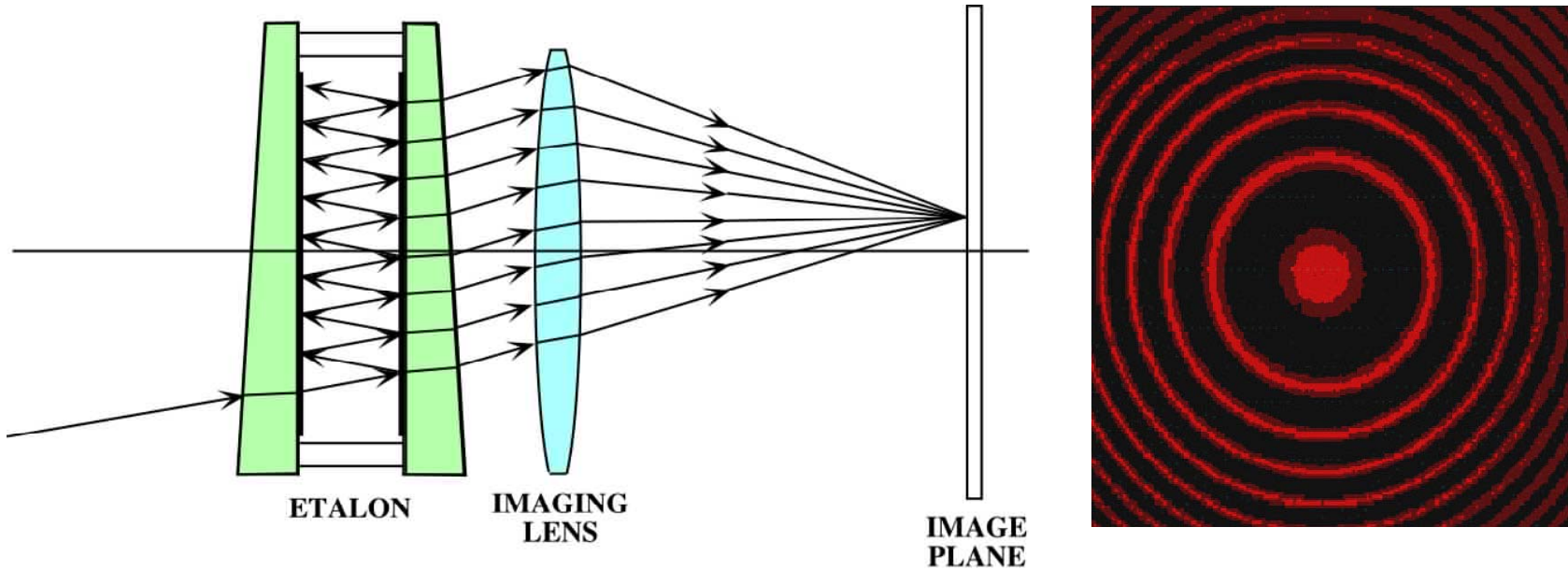
# Inversion process



3 unique and uncorrelated pieces of information. Use of a multi-element detector results in an over-determined set of equations. The three components can be separated using a non-linear least-squares fitting method that uniquely extracts the components of the total signal.



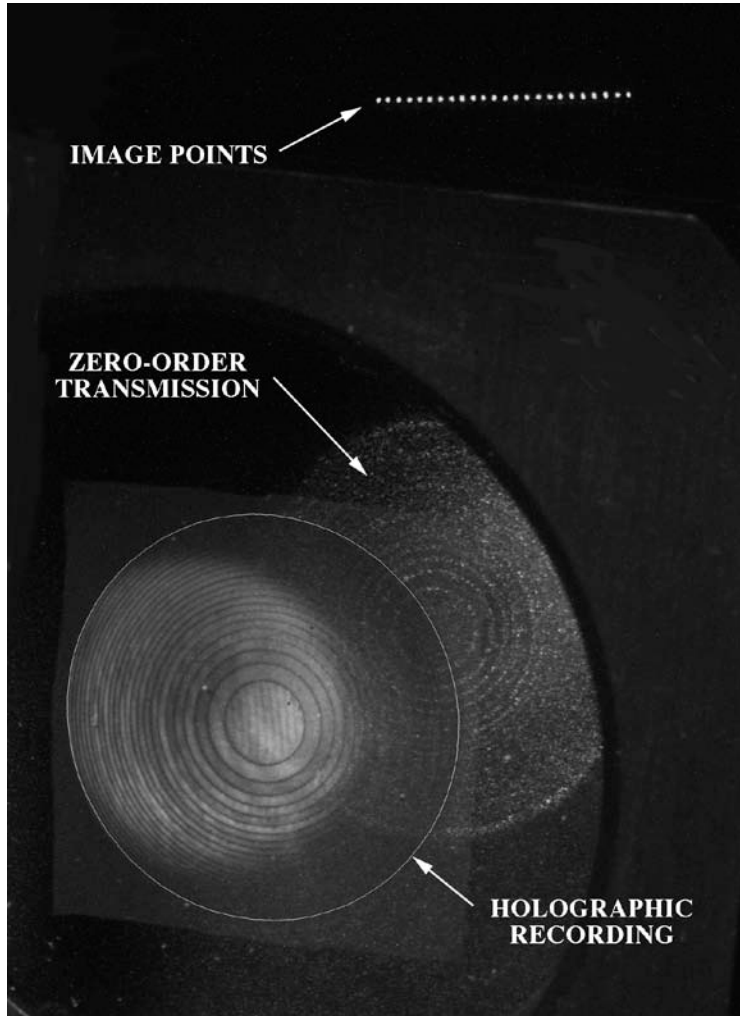
# How does the concept work?



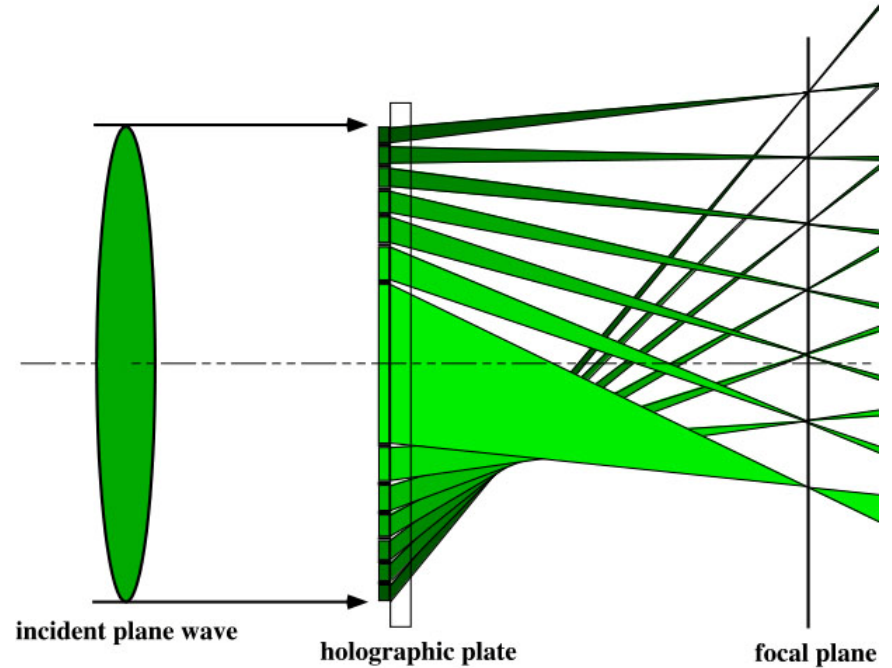
A Fabry-Perot interferometer is used to resolve the spectral signature.  
Wavelength is a function of radius in the image plane.  
The challenge is to efficiently measure the annular image.



# Holographic circle-to-point converter



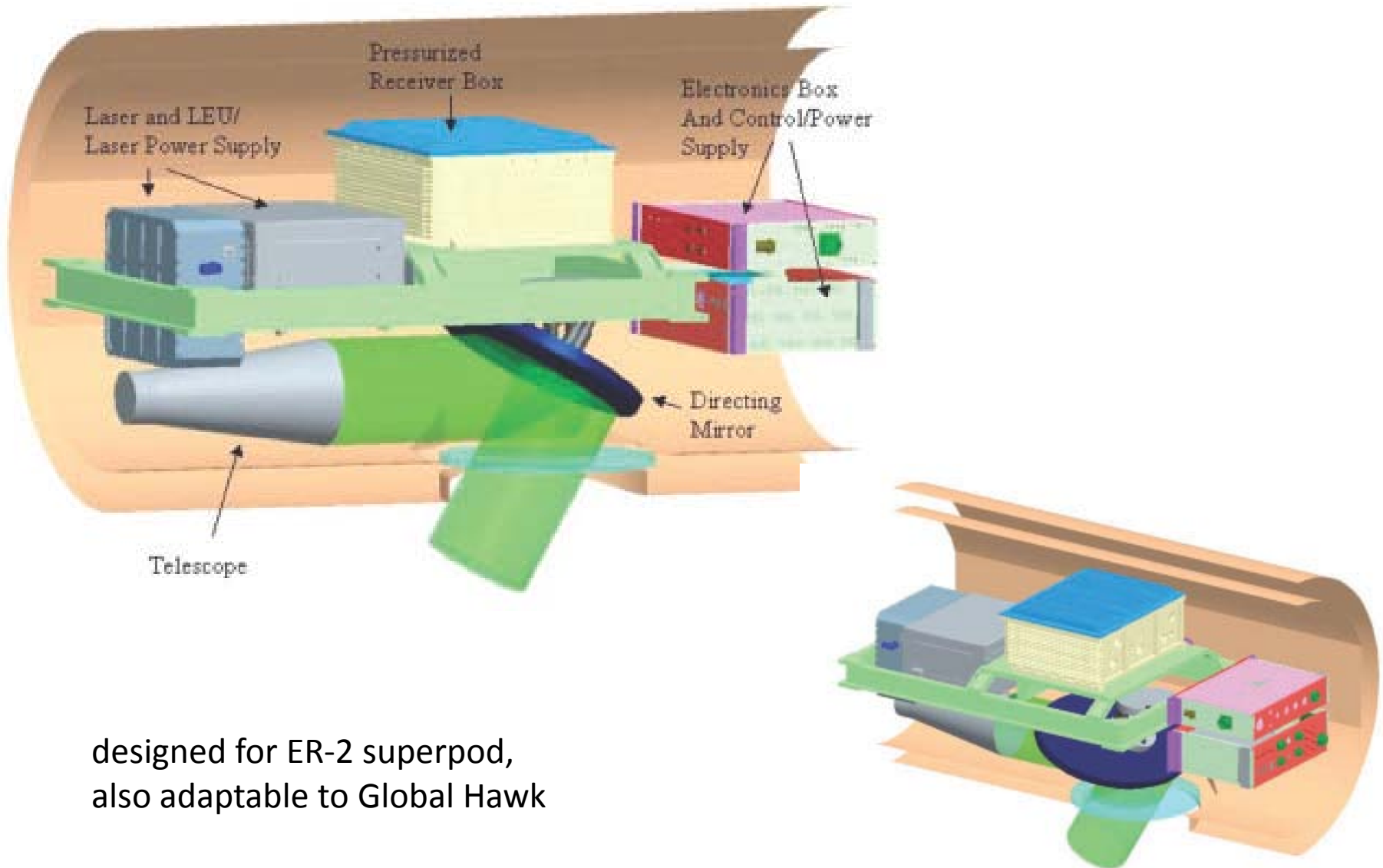
U.S. Patent #631908



Our solution: use a custom holographic optical element with a linear array detector to measure the fringe pattern.



# CATS instrument concept drawing

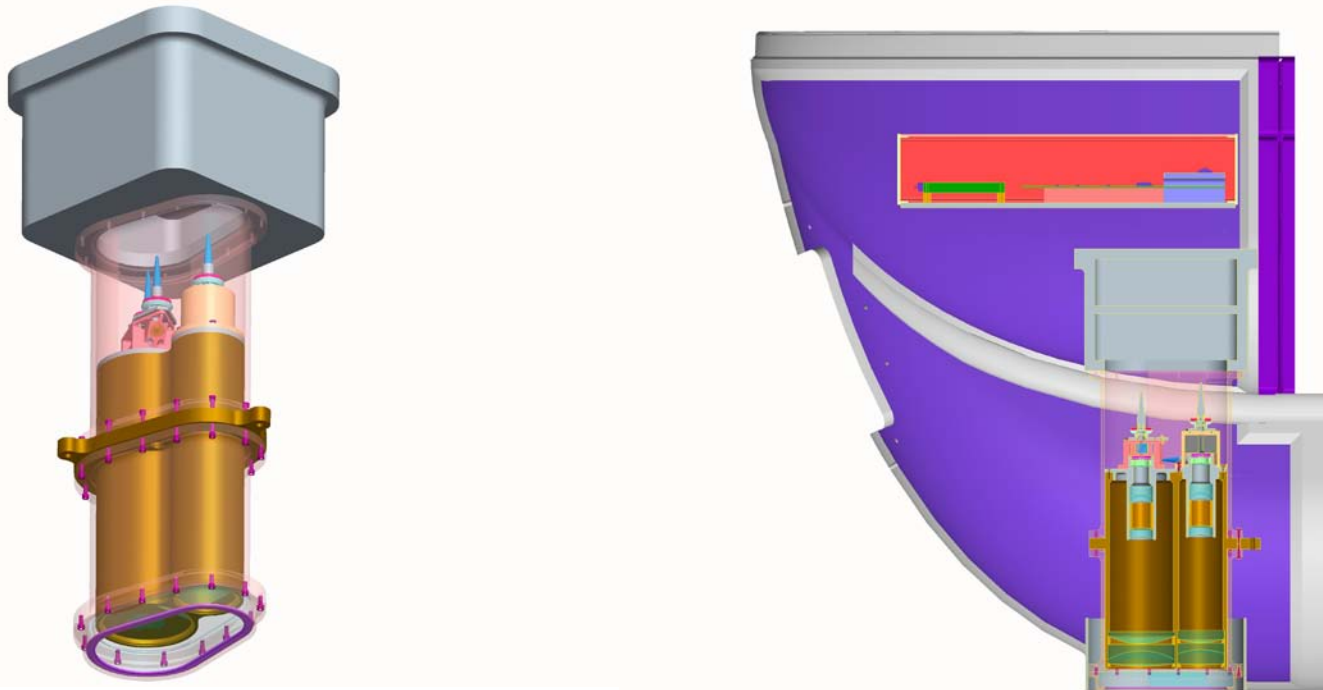


designed for ER-2 superpod,  
also adaptable to Global Hawk



# Nadir-pointing mini-lidar system

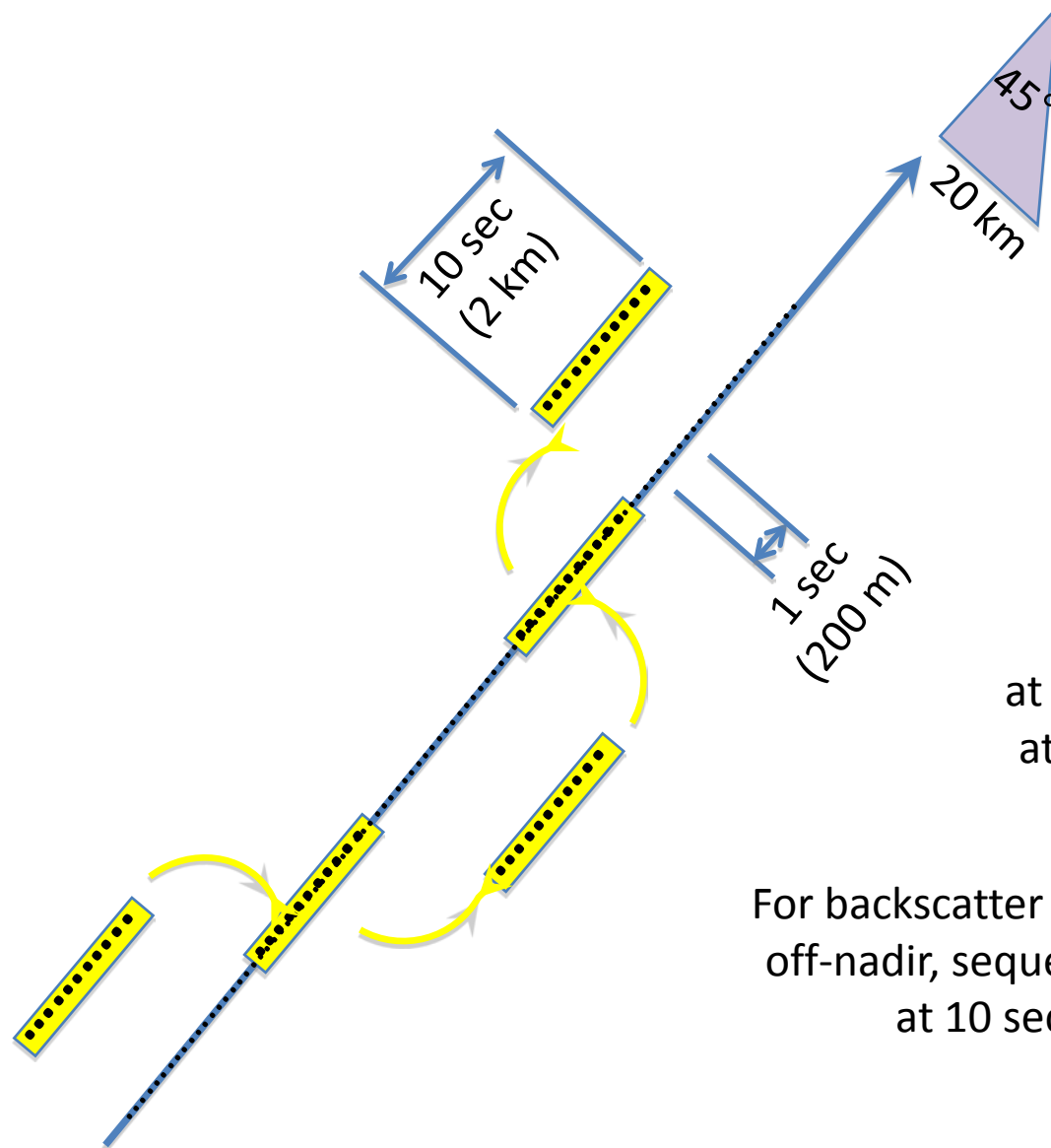
2-wavelength (532, 1064 nm), with depolarization at both wavelengths.  
High rep-rate, photon-counting detection, like CPL, but using a fiber laser.



Originally conceived as a “mini-CPL” for Ikhana or Global Hawk.  
It is funded, it is real...but now we want to combine it with the CATS instrument to provide continuous nadir profiling.



# Measurement geometry



For wind, aerosol products:  
at nadir, continuous measurements  
at 1 sec (200 m) x 30 m resolution

For backscatter aerosol, depolarization products:  
off-nadir, sequential orthogonal measurements  
at 10 sec (2 km) x 60 m resolution



# System parameters

## CATS system parameters

laser type	Nd:YAG, seeded
operating wavelength	532 nm
laser repetition rate	200 Hz
laser output energy	≤10 mJ/pulse
laser beam divergence	100 microradians, full angle
telescope diameter	6 inches
viewing angle	45 degrees
telescope field of view	200 microradians, full angle
bandpass filter	150 pm FWHH
etalon spacing	10 cm
etalon reflectivity	85%
orders imaged	1.2
detector channels	16
dynamic range	400 m/s
vertical resolution (wind)	100 m
vertical resolution (aerosol)	30 m
platform speed	~200 m/s
horizontal resolution (wind)	10 seconds (~2 km)
horizontal resolution (aerosol)	1 second (~200 m)
platform altitude	~20 km (65,000 ft)

## development timeline

Project initiated	Aug 2008
Scanning telescope complete	July 2009
Receiver subsystem complete	Dec 2009
Nadir channel complete	Jan 2010
Initial test flight	Oct 2010





# Summary/conclusion

We have existing capability, as demonstrated by CLASIC ER-2 flights, that DoE-ARM can utilize for science. NASA is generally happy to partner in exchange for satellite validation opportunities.

We will soon have Global Hawk capabilities that DoE-ARM can utilize for science.

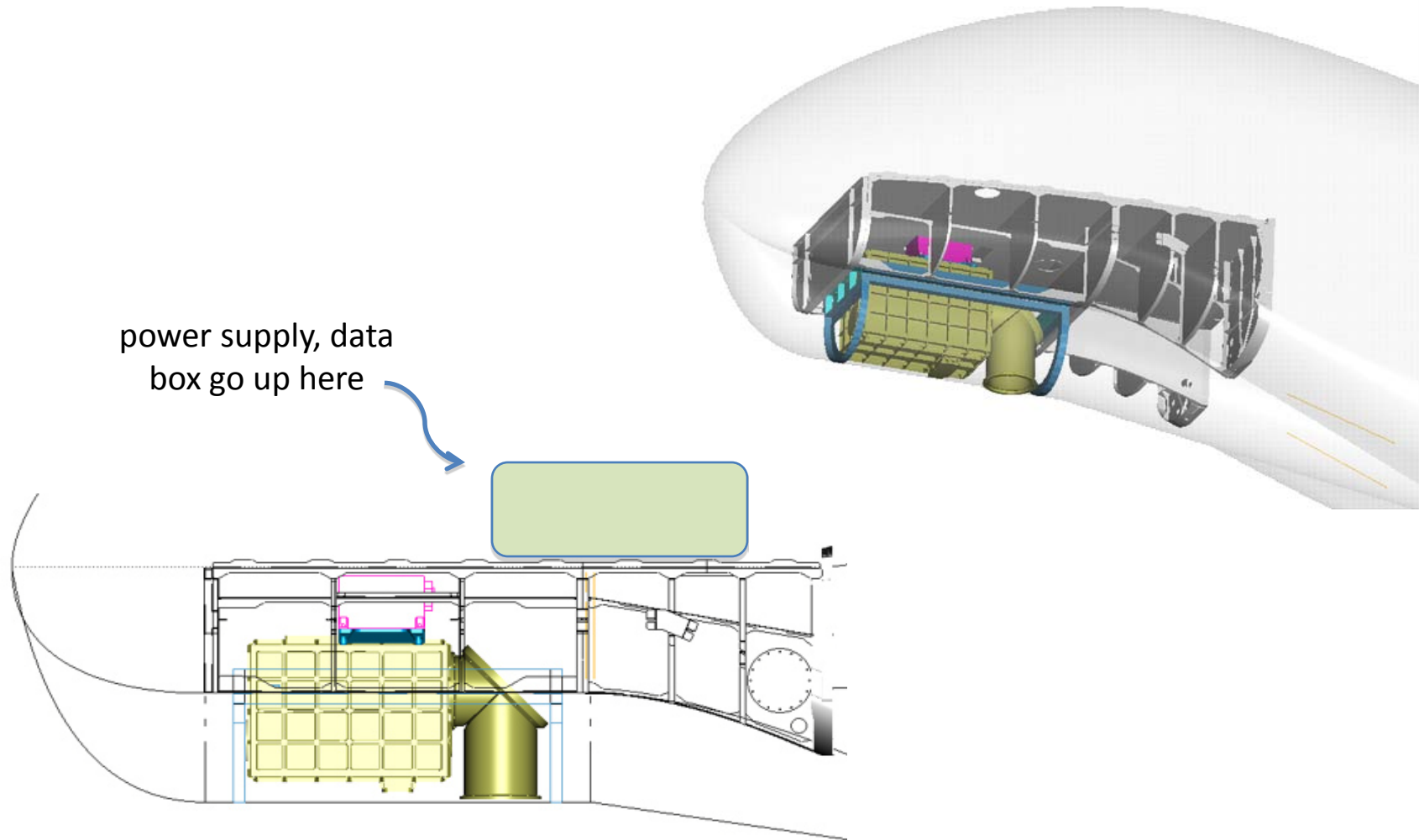
With my group's reputation for building quality, cost-effective instruments, we are embarking on development a new cloud-aerosol transport lidar. Targeted for completion in the 2010-2011 timeframe, this new instrument should be of interest to DoE-ARM.





# UAV-CPL Global Hawk mounting

power supply, data  
box go up here





# UAV-CPL instrument specifications

## Instrument specifications:

### Mechanical and Electrical

- Size:** instrument: 40" H x 16" W x 18" D  
power supply: 18" H x 19" W x 8" D  
data system: 17" H x 19" W x 6" D
- Weight:** instrument, 182 lbs (carrier assembly ~45 lbs);  
power supply, 67 lbs;  
data system, 18 lbs. Total: 312 lbs (est.)
- Power:** laser ~10 Amps 28VDC;  
heaters ~35 Amps 28VDC;  
data system ~2 Amps 110 VAC 400 Hz.
- View ports/windows:** 9" dia. nadir-viewing port (window supplied as part of instrument)

## Programmatics:

- Cloud/aerosol profiling**  
**Prototype has been flying on ER-2 since 2000**  
**Designed to mount in Bay 3**  
**Pathfinder for interfaces, cost estimating, operations**  
**First instrument for integration on GH**

## Instrument Requirements:

### Control and Communication

- # Control Switches:** 2 (master power, laser enable)
- Communication Bandwidth:** as much as possible, but we can sub-sample the CPL data as was done during TC4
- Nav / Time inputs:** yes (similar to ER-2 nav data, presumably)